Principal Mutual Funds

Exchange Plaza, 'B' wing, IIIrd Floor, NSE Building, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051, India.
Tel.: 022-2202 1111. Fax: 022-220444466
Website: www.principalindia.com E-mail: customer@principalindia.com

PDC SIP / SWP / STP Form

Date D D M M Y Y Y Y

Common Account No.

1 APPLICANT AND INVESTMENT DETAILS

Sole / First Holder Name

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Account No. for Existing Investors

Application No. (As mentioned on the main Application Form)

PAN Please attach copy of KYC acknowledgement letter^

Enclosed (please ✓)
☐ PAN copy

Second Holder Name

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Third Holder Name

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Scheme

Plan / Option

Plan / Sub-Option

^ In case the investments of Rs. 50,000 & above , it is mandatory to attach a copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Limited alongwith the application form.

2 SYSTEMATIC INVESTMENT PLAN (SIP) – Registration for SIP Post Dated Cheques

Scheme

Plan / Option

Plan / Sub-Option

MICR Code
This is a 9 digit number next to your Cheque No.

Bank Account No.

Account Type (Please ✓) ☐ Savings ☐ Current ☐ Cash Credit

Bank Name

Branch

City Pin Code

No. of Cheques (Atleast 6)** Amount Per Cheque Rs. Total Amount Rs.

Frequency ☐ Monthly ☐ Quarterly 2nd and subsequent installment Cheque Nos. From To

Second and subsequent installment month SIP Date ☐ 1st ☐ 5th ☐ 15th ☐ 25th (the date on which you want to invest)

Please refer to the SIP Facility Terms and Conditions.

List of Cities for SIP Post Dated Cheque Facility

Agra, Ahmedabad, Ahmednagar, Ajmer, Akola, Alibaug, Aligarh, Allahabad, Alleppey, Alwar, Ambalacantt., Amloh, Amravati, Amreli, Amritsar, Anand/Vallabhvidyanagar, Ananthpur, Angul, Ankleshwar, Asansol, Aurangabad, Bagalkot, Bahadurgarh, Balasore, Balotra, Bangalore, Barabanki, Barbil, Barddhaman/Burdwan, Bardoli, Bareilly, Barnala, Baroda, Batala, Begusarai, Belgaum, Berhampore, Bhagalpur, Bharuch, Bhatinda, Bhavnagar, Bhilai, Bhilwara, Bhiwadi, Bhiwani, Bhopal, Bhubaneshwar, Bhuj, Bhusaval, Bikaner, Boisar, Bokarosteelcity, Borsad, Bulandshahar, Bulsar/Valsad, Calanguti, Calcutta, Calicut, Chalakudy, Chandigarh, Chandimandir C, Changanachery, Chas- Bokaro, Chengannur, Chennai, Chicalim, Chomu, Churchorem, Cochin, Coimbatore, Cuddapah, Cuttack, Dahanu, Dahej, Dahod, Daman, Darbhanga, Darjeeling, Davangere, Deesa, Dehradun, Delhi - Ht House, Deogarh, Dhanbad, Dharamshala, Dhule, Dilsukhnagar, Dombivali, Durgapur, Eranakulam, Erode, Faridabad, Fatehabad, Fazilka, Ferozepur, Gandhidham, Gandhinagar, Gangtok, Gaya, Ghaziabad, Giridih, Godhra, Gondal, Gorakhpur, Gulbarga, Guntur, Gurdaspur, Gurgaon, Guwahati, Gwalior, Hajipur, Haldia, Haldwani, Hamirpur, Hanamkonda (V), Hardoi, Hazaribagh, Himatnagar, Hissar, Hoshiarpur, Hosur, Howrah, Hubli, Hyderabad, Ichalkaranji, Indore, Irinjalakuda, Jabalpur, Jagraon, Jaipur, Jalandhar, Jalgaon, Jalna, Jammu, Jamnagar/Motikavdi, Jamshedpur, Jetpur, Jhansi, Jharsuguda, Jind, Jodhpur, Junagadh, Kadi, Kaithal, Kakinada, Kalka, Kalyan, Kalyani, Kangra, Kannur/Cannanore, Kanpur, Kapadwanj, Kapurthala, Karad, Karimnagar, Karnal, Karur, Katni, Khanna, Khurja, Kolhapur, Kollam, Konnagar, Koparkhairne, Kota, Kotkapura, Kottayam, Kurnool, Kurukshetra, Latur, Lonavala, Lucknow, Ludhiana, Madurai, Malerkotla, Manavadar, Mancherial, Mandi Gobindge, Mangalore, Manimajra, Manjeri, Mansa, Mapusa, Margoa, Mathura, Mathura, Meerut, Mehsana, Mindsaur, Moga, Mohali, Moradabad, Morinda, Morvi, Muktsar, Mumbai, Mumbai - Chandivali , Mumbai - Fort, Muzaffarpur, Muzzafarnagar, Mysore, Nabha, Nadiad, Nagpur, Namakkal, Narnaul, Nasik, Navasari, Nawansaher, Neemuch, Nellore, New Mumbai, Newdelhi, Nhava Sheva, Nigdi, Noida, Palakkad, Palanpur, Pali, Palwal, Panchkula, Panipat, Panjim, Patalganga, Patan, Pathanamthitta, Pathankot, Patiala, Patna, Pehowa, Perintalmanna, Phagwara, Pimpalgaon, Pimpri, Pollachi, Ponda, Pondicherry, Porbandar, Porvorim, Pune, Quilon, Raichur, Raipur, Rajamundry, Rajapalayam, Rajkot, Rajpura, Ramgarh, Rampur, Ranchi, Ranigaunge, Ratlam, Ratnagiri, Rewari, Rishikesh, Rohtak, Roorkee, Ropar/Roopnagar, Rourkela, Rudrapur, Sagar, Saharanpur, Salem, Sambalpur, Samrala, Sanganer, Sangareddy, Sangli, Sangrur, Satara, Satna, Secundrabad, Shillong, Shimla, Sholapur, Siliguri, Silvassa, Sindhanur, Sirhind, Sohana, Solan, Sonepat, Sri Ganganagar, Srinagar, Surat, Surendranagar, Tanuku, Tezpur, Thalassery, Thana District, Theni, Thiruvalla, Tirunelveli, Tirupati, Tiruppur, Tirupunithara, Trichur/Thrissur, Trichy, Trivandrum/Thiruvananthapuram, Udaipur, Udipi, Unjha, Vapi, Varanasi, Vasai, Vascodagama, Vellore, Veraval, Vidisha, Vijayawada, Virar, Vishakhapatanam, Visnagar, Vizianagaram, Warangal, Yamunanagar, Zirakpur

The cities listed above may be modified/removed at any time purely at the discretion of Principal Mutual Fund without assigning any reason or prior notice.

3 SYSTEMATIC WITHDRAWAL PLAN (SWP) – (Available only after the expiry of the scheme specific lock-in period as per the features of the scheme)

I/We would like to enrol for SWP

Scheme

Plan

Option

Start Month End Month (optional) ^^

Frequency ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual SWP Amount

SWP date ☐ 1st ☐ 11th ☐ 21st (the date of the month on which you want to withdraw)

4 SYSTEMATIC TRANSFER PLAN (STP) / SWITCH PLAN (SSP) – ATLEAST 6 TRANSFER – (Available only after the expiry of the scheme specific lock-in period)

I/We would like to transfer units or Rs (in figures) Rs (in words)

From : Scheme Plan Option

To : Scheme Plan Option

Start Month End Month (optional) ^^

Frequency ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual

STP date ☐ 1st ☐ 11th ☐ 21st (the date of the month on which you want to do STP)

I/We have read and understood the contents of the Offer Document of the Scheme(s). Please refer to the SIP facility terms & conditions. I/We would like to enrol for PDC SIP / SWP / STP of the above mentioned Scheme(s) / Plan(s) / Option(s) and agree to abide by the terms and conditions of the respective Scheme(s) / Plan(s) / Option(s). I/We will also inform Principal Mutual Fund, about any changes in my/our bank account. I/We further understand that the contents hereof forms an integral part of the main application form.

Signature of 1st Holder **Signature of 2nd Holder** **Signature of 3rd Holder**

** Cheques to be dated as per the SIP date selected

^^ In case if the investor does not specify the end month the SWP/STP shall be active only upto such date that the funds are available.