

Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
HDFC Bank Ltd	INE040A01026	Banks	160,449	2,042.28	6.69
Housing Development Finance Corp. Ltd	INE001A01036	Finance	113,078	1,575.69	5.16
Maruti Suzuki India Ltd	INE585B01010	Auto	21,671	1,186.99	3.89
ITC Ltd	INE154A01025	Consumer Non Durables	473,766	1,143.43	3.73
State Bank of India	INE062A01020	Banks	444,442	1,116.66	3.66
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	252,267	1,069.49	3.50
Tata Motors Ltd	INE155A01022	Auto	198,185	1,059.99	3.47
Infosys Ltd	INE090A01012	IT	88,162	1,054.37	3.46
Power Grid Corporation of India Ltd	INE752E01010	Power	595,853	1,052.03	3.45
Intsys Ltd	INE009A01021	Software	99,255	1,028.31	3.37
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	78,927	1,018.79	3.34
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	29,046	977.11	3.20
Shree Cements Ltd	INE070A01015	Cement	5,507	938.54	3.08
Kotak Mahindra Bank Ltd	INE237A01028	Banks	113,799	884.22	2.90
Larsen & Toubro Ltd	INE018A01030	Construction Project	60,590	868.35	2.85
ICI Bank Ltd	INE030A01021	Banks	330,829	834.19	2.73
Ultratech Cement Ltd	INE41G01011	Cement	21,600	811.14	2.66
Abbott India Ltd	INE358A01014	Pharmaceuticals	16,908	802.24	2.63
Tata Motors Ltd (A-DVR)	IN9155A01020	Auto	224,453	764.26	2.50
The India Cements Ltd	INE383A01012	Cement	445,035	640.85	2.10
HCL Technologies Ltd	INE860A01027	Software	79,723	637.70	2.09
Reliance Industries Ltd	INE002A01018	Petroleum Products	54,628	592.00	1.94
Tech Mahindra Ltd	INE669C01036	Software	135,162	567.48	1.86
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	86,538	530.13	1.74
United Breweries Ltd	INE686F01025	Consumer Non Durables	55,842	504.81	1.65
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	83,713	499.64	1.64
Castrol India Ltd	INE172A01027	Petroleum Products	92,950	441.47	1.45
Yes Bank Ltd	INE258G01019	Banks	33,902	425.37	1.39
Colgate Palmolive (India) Ltd	INE259A01022	Consumer Non Durables	43,487	422.11	1.38
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	46,642	399.00	1.31
Tata Communications Ltd	INE151A01013	Telecom - Services	67,627	382.53	1.25
Aditya Birla Nuvo Ltd	INE069A01017	Services	24,273	330.93	1.08
Dish TV India Ltd	INE836F01026	Media & Entertainment	352,942	328.59	1.08
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	254,069	319.69	1.05
The Federal Bank Ltd	INE171A01029	Banks	431,907	310.97	1.02
Bank of Baroda	INE028A01039	Banks	181,200	303.33	0.99
Tata Consultancy Services Ltd	INE467B01029	Software	12,277	298.43	0.98
Shriram Transport Finance Company Ltd	INE721A01013	Finance	25,189	292.86	0.96
Axis Bank Ltd	INE238A01034	Banks	50,103	271.23	0.89
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	35,956	267.05	0.88
Mahindra Holidays & Resorts India Ltd	INE998I01010	Hotels, Resorts & Other Recreational Activities	52,444	234.27	0.77
Hindustan Unilever Ltd	INE030A01027	Consumer Non Durables	26,086	226.39	0.74
Bajaj Finserv Ltd	INE918I01018	Finance	6,632	203.57	0.67
Bharat Electronics Ltd	INE263A01016	Industrial Capital Goods	10,626	132.27	0.43
Total				29,790.73	97.63
B) Derivatives					
NIFTY Index Future October 2016	-	Index Future	(11,475)	(991.51)	(3.25)
Total				(991.51)	(3.25)
II. Money Market Instruments					
<i>Reverse Repo and CBL</i>					
				686.49	2.25
Total				686.49	2.25
III. Cash & Cash Equivalents					
<i>Other Receivables - (Payables)</i>					
				7.72	0.03
Total				1,023.84	3.35
Net Assets				30,517.26	100.00

Principal Emerging Bluechip Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Eicher Motors Ltd	INE066A01013	Auto	10,458	2,596.49	4.11
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	441,324	1,870.99	2.96
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	52,968	1,781.84	2.82
IndusInd Bank Ltd	INE095A01012	Banks	141,900	1,697.05	2.69
Shree Cements Ltd	INE070A01015	Cement	9,747	1,661.15	2.63
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	127,860	1,650.42	2.61
Bajaj Finance Ltd	INE296A01024	Finance	151,380	1,598.42	2.53
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	161,745	1,383.65	2.19
Amara Raja Batteries Ltd	INE885A01032	Auto Ancillaries	126,600	1,279.67	2.03
Kotak Mahindra Bank Ltd	INE237A01028	Banks	156,600	1,216.78	1.93
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	74,808	1,214.55	1.92
HDFC Bank Ltd	INE040A01026	Banks	94,308	1,208.04	1.91
ICI Bank Ltd	INE030A01021	Banks	444,600	1,121.06	1.77
Navin Fluorine International Ltd	INE48G01018	Chemicals	50,400	1,120.54	1.77
AIA Engineering Ltd	INE219H01026	Industrial Products	85,890	1,107.51	1.74
Motherhood Sumi Systems Ltd	INE75A01035	Auto Ancillaries	345,600	1,099.01	1.74
Indraprastha Gas Ltd	INE223G01019	Gas	155,000	1,050.44	1.66
Sachdev Engineering Ltd	INE223G01019	Construction Project	369,000	1,038.18	1.64
MRF Ltd	INE883A01011	Auto Ancillaries	1,998	1,016.43	1.61
SRF Ltd	INE47A01010	Textile Products	55,800	991.96	1.57
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	165,180	985.88	1.56
Infosys Ltd	INE090A01012	Software	94,800	982.18	1.55
State Bank of India	INE062A01020	Banks	398,508	978.64	1.55
Petronet LNG Ltd	INE374G01014	Gas	279,900	966.77	1.53
The Ramco Cements Ltd	INE331A01037	Cement	152,088	905.53	1.43
Mold-Tek Packaging Ltd	INE939J01029	Industrial Products	468,000	901.84	1.43
Kajaria Ceramics Ltd	INE217B01028	Construction	64,800	900.17	1.42
Volta Ltd	INE226A01021	Construction Project	235,500	892.78	1.41
Tata Chemicals Ltd	INE092A01019	Chemicals	169,980	891.72	1.41
Finolex Cables Ltd	INE235A01022	Industrial Products	201,600	891.07	1.41
The India Cements Ltd	INE383A01012	Cement	604,800	870.91	1.38
Gateway Distriparks Ltd	INE852F01015	Transportation	345,060	868.52	1.37
City Union Bank Ltd	INE491A01021	Banks	648,000	856.01	1.35
Atul Ltd	INE100A01010	Chemicals	37,308	852.25	1.35
Symphony Ltd	INE225D01027	Consumer Durables	74,916	851.57	1.35
Finolex Industries Ltd	INE183A01016	Industrial Products	186,000	838.95	1.33
NBCCL (India) Ltd	INE095N01023	Construction	324,000	815.67	1.29
Vardhman Textiles Ltd	INE282A01036	Textiles - Cotton	75,900	808.60	1.28
UPL Ltd	INE282A01036	Pesticides	118,800	800.33	1.27
Bharat Financial Inclusion Ltd	INE180K01011	Finance	90,300	800.51	1.27
Dynamatic Technologies Ltd	INE221B01012	Auto Ancillaries	25,590	789.20	1.25
Orient Cement Ltd	INE678N01016	Cement	351,847	756.47	1.20
Gabriel India Ltd	INE524A01029	Auto Ancillaries	639,000	742.52	1.18
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	294,000	686.05	1.09
Triveni Engineering & Industries Ltd	INE256C01024	Consumer Non Durables	1,188,000	684.88	1.08
Gujarat State Petronet Ltd	INE246F01010	Gas	435,000	679.69	1.08
Indian Oil Corporation Ltd	INE424A01010	Petroleum Products	115,800	674.54	1.07
Yes Bank Ltd	INE28G01019	Banks	53,208	667.60	1.06
Manpasand Beverages Ltd	INE122R01018	Consumer Non Durables	88,800	666.98	1.06
S. P. Apparels Ltd	INE212I01016	Textile Products	192,927	650.26	1.03
Orient Paper & Industries Ltd	INE592A01026	Consumer Durables	784,980	633.87	1.00
Sun TV Network Ltd	INE424H01027	Media & Entertainment	123,000	621.33	0.98
Cummins India Ltd	INE298A01020	Industrial Products	67,908	614.91	0.97
Aditya Birla Nuvo Ltd	INE069A01017	Services	45,000	613.51	0.97
KEC International Ltd	INE389H01022	Construction Project	468,000	589.45	0.93
Dwarikesh Sugar Industries Ltd	INE366A01033	Consumer Non Durables	240,000	580.80	0.92
Cyient Ltd	INE136B01020	Software	118,800	559.07	0.88
Pidilite Industries Ltd	INE318A01026	Chemicals	80,700	550.05	0.87
Bata India Ltd	INE176A01028	Consumer Durables	108,960	534.23	0.85
FAG Bearings India Ltd	INE13A01014	Industrial Products	13,050	533.92	0.84
Hindalco Industries Ltd	INE038A01020	Non - Ferrous Metals	339,900	519.03	0.82
Crompton Greaves Consumer Electricals Ltd	INE299J01018	Consumer Durables	328,800	506.19	0.80
Ramkrishna Forgings Ltd	INE399G01015	Industrial Products	135,900	468.58	0.74
Cholamandalam Investment and Finance Company Ltd	INE121A01016	Finance	39,000	451.37	0.71
Navkar Corporation Ltd	INE278M01019	Transportation	237,000	440.94	0.70
Tata Communications Ltd	INE151A01013	Telecom - Services	77,858	440.40	0.70
Hindustan Construction Company Ltd	INE549A01026	Construction Project	1,080,000	378.00	0.60
Transport Corporation of India Ltd	INE688A01022	Transportation	150,000	279.08	0.44
Total				61,677.17	97.60
B) Privately Placed / Unlisted					
<i>Equity Shares</i>					
TCI Express Ltd	INE586V01016	Transportation	75,000	220.39	0.35
Total				220.39	0.35
C) Derivatives					
United Spirits Ltd - October 2016	-	Stock Future	14,250	352.73	0.56
Total				352.73	0.56
II. Money Market Instruments					
<i>Reverse Repo and CBL</i>					
				1,458.83	2.31
Total				1,458.83	2.31
III. Investment Funds/Mutual Funds					
Principal Cash Management Fund - Growth Option	INF173K01DA9	Mutual Fund	9,884	150.91	0.24
Total				150.91	0.24
IV. Cash & Cash Equivalents					
<i>Other Receivables - (Payables)</i>					
				17.39	0.03
Total				(690.99)	(1.09)
Net Assets				63,186.43	100.00

Principal Personal Tax Saver Fund (An open-ended Equity Linked Savings Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
HDFC Bank Ltd	INE040A01026	Banks	171,253	2,179.79	6.67
Housing Development Finance Corp. Ltd	INE001A01036	Finance	122,256	1,703.58	5.21
Maruti Suzuki India Ltd	INE585B01010	Auto	23,130	1,266.90	3.87
ITC Ltd	INE154A01025	Consumer Non Durables	505,657	1,220.40	3.73
State Bank of India	INE062A01020	Banks	474,492	1,192.16	3.65
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	269,256	1,141.51	3.49
IndusInd Bank Ltd	INE095A01012	Banks	94,955	1,135.61	3.47
Power Grid Corporation of India Ltd	INE752E01010	Power	640,329	1,130.50	3.46
Tata Motors Ltd	INE155A01022	Auto	210,563	1,126.20	3.44
Infosys Ltd	INE090A01012	IT	105,934	1,097.53	3.36
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	84,338	1,088.63	3.33
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	31,337	1,054.18	3.22
Shree Cements Ltd	INE070A01015	Cement	5,877	1,001	

Principal Index Fund - Midcap (An open-ended Index Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Piramal Enterprises Ltd	INE140A01024	Pharmaceuticals	417	7.67	2.58
MRF Ltd	INE883A01011	Auto Ancillaries	13	6.61	2.23
Container Corporation of India Ltd	INE11A01017	Transportation	431	5.95	2.00
Cairn India Ltd	INE910H01017	Oil	2,891	5.78	1.95
Siemens Ltd	INE003A01024	Industrial Capital Goods	458	5.68	1.91
Pidilite Industries Ltd	INE318A01026	Chemicals	791	5.39	1.81
Petronet LNG Ltd	INE347G01014	Gas	1,542	5.33	1.79
Power Finance Corporation Ltd	INE134E01011	Finance	4,342	5.22	1.76
Punjab National Bank	INE160A01022	Banks	3,677	5.17	1.74
Apollo Hospitals Enterprise Ltd	INE437A01024	Healthcare Services	393	5.16	1.74
Bharat Financial Inclusion Ltd	INE180K01011	Finance	584	5.14	1.73
Mahindra & Mahindra Financial Services Ltd	INE77A01024	Finance	1,403	5.11	1.72
Cadila Healthcare Ltd	INE010B01027	Pharmaceuticals	1,316	5.10	1.72
Havells India Ltd	INE176B01034	Consumer Durables	1,220	5.10	1.72
Tata Chemicals Ltd	INE092A01019	Chemicals	904	4.74	1.60
Rural Electrification Corporation Ltd	INE020B01018	Finance	3,839	4.62	1.55
Volitas Ltd	INE226A01021	Construction Project	1,190	4.51	1.52
Page Industries Ltd	INE761H01022	Textile Products	29	4.38	1.48
Exide Industries Ltd	INE302A01020	Auto Ancillaries	2,359	4.32	1.45
NMDC Ltd	INE58A01023	Minerals-Mining	4,076	4.31	1.45
Amara Raja Batteries Ltd	INE885A01032	Auto Ancillaries	4,214	4.26	1.43
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	252	4.09	1.38
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	3,102	4.05	1.36
The Ramco Cements Ltd	INE331A01037	Cement	661	3.94	1.32
Reliance Infrastructure Ltd	INE036A01016	Power	703	3.88	1.30
TVS Motor Company Ltd	INE49A01023	Auto	1,050	3.84	1.29
Bharat Electronics Ltd	INE263A01016	Industrial Capital Goods	308	3.83	1.29
Vakrangee Ltd	INE051B01021	Software	1,578	3.78	1.27
Emami Ltd	INE548C01032	Consumer Non Durables	315	3.69	1.24
GiaxoSmithKline Consumer Healthcare Ltd	INE264A01027	Consumer Non Durables	61	3.68	1.24
Oracle Financial Services Software Ltd	INE881D01022	Software	114	3.67	1.24
Reliance Capital Ltd	INE013A01013	Finance	623	3.41	1.15
DLF Ltd	INE271C01023	Construction	2,292	3.35	1.13
Procter & Gamble Hygiene and Health Care Ltd	INE179A01014	Consumer Non Durables	48	3.27	1.10
Biocon Ltd	INE376G01013	Pharmaceuticals	349	3.26	1.10
Apollo Tyres Ltd #	INE438A01022	Auto Ancillaries	1,465	3.22	1.08
United Breweries Ltd	INE986F01025	Consumer Non Durables	3,353	3.19	1.07
Rajesh Exports Ltd	INE343B01030	Consumer Durables	698	3.19	1.07
ABB India Ltd	INE17A01022	Industrial Capital Goods	272	3.11	1.05
Strides Shasun Ltd	INE939A01011	Pharmaceuticals	308	3.10	1.04
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	1,303	3.04	1.02
Tata Global Beverages Ltd	INE192A01025	Consumer Non Durables	2,141	2.99	1.01
NHPC Ltd	INE848E01016	Power	11,949	2.99	1.01
Canara Bank	INE476A01014	Banks	949	2.93	0.98
The Karur Vysya Bank Ltd	INE036D01010	Banks	614	2.88	0.97
Oil India Ltd	INE274J01014	Oil	711	2.84	0.96
Indraprastha Gas Ltd	INE203G01019	Gas	360	2.80	0.94
MindTree Ltd	INE018I01017	Software	578	2.79	0.94
CRISIL Ltd	INE007A01025	Finance	121	2.70	0.91
Century Textiles & Industries Ltd	INE055A01016	Cement	281	2.65	0.89
Kansai Nerolac Paints Ltd	INE531A01024	Consumer Non Durables	720	2.59	0.87
Sun TV Network Ltd	INE42H01027	Media & Entertainment	506	2.56	0.86
SRF Ltd	INE647A01010	Textile Products	142	2.52	0.85
Arvind Ltd	INE034A01011	Textile Products	743	2.50	0.84
L&T Finance Holdings Ltd	INE498L01015	Finance	2,705	2.48	0.83
Gujarat Pipavav Port Ltd	INE517F01014	Transportation	1,416	2.46	0.83
Steel Authority of India Ltd	INE114A01011	Ferrous Metals	5,308	2.46	0.83
Reliance Communications Ltd	INE330H01018	Telecom - Services	5,245	2.43	0.82
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	403	2.41	0.81
Ajanta Pharma Ltd	INE031B01049	Pharmaceuticals	118	2.37	0.80
Gujarat State Petronet Ltd	INE477A01013	Gas	1,477	2.31	0.78
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	810	2.30	0.77
Suzlon Energy Ltd	INE040H01021	Industrial Capital Goods	15,225	2.26	0.76
Mphasis Ltd	INE356A01018	Software	432	2.24	0.76
Jubilant Life Sciences Ltd	INE700A01033	Pharmaceuticals	360	2.21	0.74
IPCA Laboratories Ltd	INE571A01020	Pharmaceuticals	350	2.10	0.71
CESC Ltd	INE486A01013	Power	341	2.08	0.70
Tata Communications Ltd	INE151A01013	Telecom - Services	366	2.07	0.70
Sanofi India Ltd	INE058A01016	Pharmaceuticals	47	1.94	0.65
The Great Eastern Shipping Company Ltd	INE017A01032	Transportation	543	1.91	0.64
IRB Infrastructure Developers Ltd	INE821I01014	Construction	775	1.90	0.64
Thermax Ltd	INE152A01029	Industrial Capital Goods	221	1.87	0.63
Godrej Industries Ltd	INE233A01035	Consumer Non Durables	432	1.86	0.62
Dish TV India Ltd	INE836F01026	Media & Entertainment	1,972	1.84	0.62
Union Bank of India	INE992A01016	Banks	1,307	1.80	0.60
Reliance Power Ltd	INE614G01033	Power	3,605	1.72	0.58
Interglobe Aviation Ltd	INE46L01027	Transportation	185	1.69	0.57
Alencio Pharmaceuticals Ltd	INE901L01018	Pharmaceuticals	252	1.65	0.56
Torrent Power Ltd	INE813H01021	Power	865	1.56	0.52
DCB Bank Ltd	INE503A01015	Banks	1,229	1.54	0.52
Bata India Ltd	INE176A01028	Consumer Durables	310	1.52	0.51
The South Indian Bank Ltd	INE683A01023	Banks	6,942	1.52	0.51
Persistent Systems Ltd	INE282H01013	Software	234	1.50	0.50
GMR Infrastructure Ltd	INE776C01039	Construction Project	11,789	1.50	0.50
Jubilant Foodworks Ltd	INE797F01012	Consumer Non Durables	152	1.47	0.49
Crompton Greaves Ltd	INE067A01029	Industrial Capital Goods	1,927	1.45	0.49
Sintex Industries Ltd	INE429C01035	Industrial Products	1,830	1.43	0.48
NCC Ltd	INE868B01028	Construction Project	1,686	1.38	0.47
Jindal Steel & Power Ltd	INE749A01030	Ferrous Metals	1,787	1.36	0.46
CEAT Ltd	INE482A01020	Auto Ancillaries	102	1.35	0.46
Bank of India	INE08A01016	Banks	1,200	1.35	0.45
IDBI Bank Ltd	INE008A01015	Banks	1,905	1.31	0.44
Wockhardt Ltd	INE049B01025	Pharmaceuticals	148	1.30	0.44
JSW Energy Ltd	INE121E01018	Power	1,770	1.29	0.44
Adani Power Ltd	INE814H01011	Power	5,141	1.28	0.43
Tata Elxsi Ltd	INE670A01012	Software	88	1.23	0.41
Sun Pharma Advanced Research Co. Ltd	INE232I01014	Pharmaceuticals	356	1.12	0.38
Housing Development & Infrastructure Ltd	INE191H01012	Construction	1,289	1.03	0.35
Adani Enterprises Ltd	INE423A01024	Trading	1,413	0.93	0.31
Just Dial Ltd	INE599M01018	Software	175	0.75	0.25
Total				295.40	99.41
II. Money Market Instruments					
<i>Reverse Repo and CBLI</i>					
				1.10	0.37
Total				1.10	0.37
III. Cash & Cash Equivalents					
				0.05	0.02
IV. Other Receivables - (Payables)					
				0.68	0.23
Net Assets				297.22	100.00

Principal Cash Management Fund (An open-ended Liquid Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Non-Convertible Debentures**</i>					
10.25% Reliance Capital Ltd-M-20-Dec-2016	INE013A07YK1	BWR AAA	135	1,357.86	1.53
Total				1,357.86	1.53
II. Money Market Instruments					
<i>Certificate of Deposit**</i>					
The South Indian Bank Ltd-M-30-Nov-2016	INE683A16Y6	CARE A1+	7,200	7,123.19	8.04
DCB Bank Ltd-M-17-Nov-2016	INE503A16DQ3	[ICRA]A1+	7,000	6,934.82	7.83
IDFC Bank Ltd-M-25-Nov-2016	INE092T16223	[ICRA]A1+	150	148.52	0.17
Total				14,206.53	16.04
<i>Commercial Paper**</i>					
JM Financial Products Ltd-M-07-Oct-2016	INE523H14XK2	[ICRA]A1+	1,040	5,192.32	5.86
Aadhar Housing Finance Ltd-M-28-Oct-2016	INE538L14409	CRISIL A1+	960	4,773.05	5.39
Kibhoo Shyam Fertilizers Ltd-M-09-Dec-2016	INE486H14649	CRISIL A1+	860	4,240.68	4.79
Cox & Kings Ltd-M-16-Nov-2016	INE008I14FJ1	CARE A1+	800	3,961.36	4.47
HCL Infosystems Ltd-M-29-Nov-2016	INE236A14GJ3	[ICRA]A1	860	2,753.71	3.11
Kibhoo Shyam Fertilizers Ltd-M-06-Dec-2016	INE486H14631	CRISIL A1+	440	2,170.95	2.45
HCL Infosystems Ltd-M-18-Oct-2016	INE236A14GD6	[ICRA]A1	352	1,751.80	1.98
Total				24,843.88	28.05
<i>Treasury Bill</i>					
TBILL 91 DAY-M-29-Dec-2016	IN002016X264	Sovereign	27,500,000	27,076.14	30.58
TBILL 91 DAY-M-01-Dec-2016	IN002016X223	Sovereign	16,200,000	16,028.83	18.10
TBILL 91 DAY-M-03-Nov-2016	IN002016X181	Sovereign	14,500,000	14,411.41	16.28
TBILL 91 DAY-M-20-Oct-2016	IN002016X165	Sovereign	4,750	4.73	0.01
Total				57,521.11	64.97
<i>Reverse Repo and CBLI</i>					
				10.67	0.01
Total				10.67	0.01
III. Cash & Cash Equivalents					
				2.72	0.00
IV. Other Receivables - (Payables)					
				(9,396.03)	(10.61)
Net Assets				88,546.75	100.00

Principal Tax Savings Fund (An open-ended Equity Linked Savings Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
HDFC Bank Ltd	INE040A01026	Banks	126,082	1,604.83	5.59
ITC Ltd	INE154A01025	Consumer Non Durables	436,716	1,054.01	3.67
ICICI Bank Ltd	INE090A01021	Banks	391,252	986.54	3.44
State Bank of India	INE062A01020	Banks	380,001	954.75	3.32
Tata Motors Ltd	INE155A01022	Auto	158,092	845.56	2.94
The India Cements Ltd	INE383A01012	Cement	585,557	843.20	2.94
Reliance Industries Ltd	INE002A01018	Petroleum Products	72,626	787.05	2.74
Castrol India Ltd	INE172A01027	Petroleum Products	147,458	700.35	2.44
Larsen & Toubro Ltd	INE018A01030	Construction Project	47,875	686.12	2.39
Infosys Ltd	INE009A01021	Software	63,727	660.24	2.30
Maruti Suzuki India Ltd	INE585B01010	Auto	11,291	618.44	2.15
Housing Development Finance Corp. Ltd	INE001A01036	Finance	44,070	614.09	2.14
Tata Communications Ltd	INE151A01013	Telecom - Services	105,555	597.07	2.08
Escorts Ltd	INE042A01014	Auto	156,898	589.31	2.05
Bajaj Electricals Ltd	INE193E01025	Consumer Durables	226,133	576.41	2.01
Jain Irrigation Systems Ltd	INE175A01038	Industrial Products	592,985	531.02	1.85
Bombay Burmah Trading Corporation Ltd	INE050A01025	Consumer Non Durables	91,121	520.16	1.81
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	15,366	516.91	1.80
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	180,835	513.21	1.79
Bank of Baroda	INE028A01039	Banks	303,565	508.17	1.77
Century Textiles & Industries Ltd	INE055A01016	Cement	49,511	466.91	1.63
KEC International Ltd	INE388H01022	Construction Project	370,018	466.04	1.62
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	50,861	435.09	1.51
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	102,960	433.96	1.51
United Spirits Ltd	INE854D01016	Consumer			

Principal Arbitrage Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry/Rating	Quantity	Market Value (₹ in lakhs)	% of NAV	Derivatives % of NAV
I. Equity & Equity Related Instruments						
A) Cash Future Arbitrage						
HDFC Bank Ltd	INE040A01026	Banks	60,500	770.07	9.42	
HDFC Bank Ltd - Oct. 2016		Stock Future	(60,500)	(776.46)		(9.50)
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	84,000	623.87	7.63	
Sun Pharmaceuticals Industries Ltd - Oct. 2016		Stock Future	(84,000)	(626.01)		(7.66)
Reliance Industries Ltd	INE020A01018	Petroleum Products	49,500	536.43	6.56	
Reliance Industries Ltd - Oct. 2016		Stock Future	(49,500)	(538.78)		(6.59)
Dewan Housing Finance Corp. Ltd - Oct. 2016	INE202B01012	Finance	150,000	425.70	5.21	
Dewan Housing Finance Corp. Ltd - Oct. 2016		Stock Future	(150,000)	(427.88)		(5.24)
IRB Infrastructure Developers Ltd - Oct. 2016	INE821010104	Construction	170,000	416.76	5.10	
IRB Infrastructure Developers Ltd - Oct. 2016		Stock Future	(170,000)	(419.73)		(5.14)
Maruti Suzuki India Ltd	INE585B01010	Auto	6,150	336.85	4.12	
Maruti Suzuki India Ltd - Oct. 2016		Stock Future	(6,150)	(339.02)		(4.15)
Century Textiles & Industries Ltd	INE050A01016	Cement	34,100	321.58	3.94	
Century Textiles & Industries Ltd - Oct. 2016		Stock Future	(34,100)	(323.23)		(3.96)
Bharat Financial Inclusion Ltd	INE180K01011	Finance	30,000	264.20	3.23	
Bharat Financial Inclusion Ltd - Oct. 2016		Stock Future	(30,000)	(265.22)		(3.25)
The India Cements Ltd	INE386A01012	Cement	168,000	241.92	2.96	
The India Cements Ltd - Oct. 2016		Stock Future	(168,000)	(243.18)		(2.98)
Housing Development Finance Corp. Ltd	INE001A01036	Finance	17,000	236.89	2.90	
Housing Development Finance Corp. Ltd - Oct. 2016		Stock Future	(17,000)	(237.66)		(2.91)
Tata Steel Ltd	INE081A01012	Ferrous Metals	60,000	224.55	2.75	
Tata Steel Ltd - Oct. 2016		Stock Future	(60,000)	(226.14)		(2.77)
Infosys Ltd	INE009A01021	Software	20,000	207.21	2.54	
Infosys Ltd - Oct. 2016		Stock Future	(20,000)	(206.04)		(2.52)
Larsen & Toubro Ltd	INE018A01030	Construction Project	14,000	200.64	2.46	
Larsen & Toubro Ltd - Oct. 2016		Stock Future	(14,000)	(201.40)		(2.46)
IDFC Ltd	INE043D01016	Finance	250,800	168.54	2.06	
IDFC Ltd - Oct. 2016		Stock Future	(250,800)	(169.04)		(2.07)
LIC Housing Finance Ltd	INE115A01026	Finance	27,500	159.17	1.95	
LIC Housing Finance Ltd - Oct. 2016		Stock Future	(27,500)	(159.83)		(1.96)
Axis Bank Ltd	INE238A01034	Banks	25,200	136.42	1.67	
Axis Bank Ltd - Oct. 2016		Stock Future	(25,200)	(137.30)		(1.68)
Dish TV India Ltd	INE836F01026	Media & Entertainment	133,000	123.82	1.52	
Dish TV India Ltd - Oct. 2016		Stock Future	(133,000)	(124.42)		(1.52)
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	10,500	89.82	1.10	
Aurobindo Pharma Ltd - Oct. 2016		Stock Future	(10,500)	(90.12)		(1.10)
Tata Communications Ltd	INE151A01013	Telecom - Services	11,200	63.35	0.78	
Tata Communications Ltd - Oct. 2016		Stock Future	(11,200)	(63.55)		(0.78)
Cairn India Ltd	INE910H01017	Oil	14,000	28.01	0.34	
Cairn India Ltd - Oct. 2016		Stock Future	(14,000)	(28.20)		(0.35)
Asian Paints Ltd	INE021A01026	Consumer Non Durables	2,400	27.86	0.34	
Asian Paints Ltd - Oct. 2016		Stock Future	(2,400)	(28.03)		(0.34)
Moleod Russel India Ltd	INE942G01012	Consumer Non Durables	6,000	10.20	0.12	
Moleod Russel India Ltd - Oct. 2016		Stock Future	(6,000)	(10.27)		(0.13)
Total				5,613.85	68.70	(69.06)
II. Debt Instruments						
A) Listed - awaiting listing on the stock exchanges						
<i>Non-Convertible Debentures**</i>						
10.00% Alico Capital India Private Ltd	INE587O07032	IND AA-	3	300.13	3.67	
9.10% Dewan Housing Finance Corp. Ltd	INE202B07HB2	BWR AAA	10	100.19	1.23	
Total				400.32	4.90	
<i>Certificate of Deposit**</i>						
National Bank For Agriculture and Rural Development	INE261F16207	CRISIL A1+	300	290.82	3.56	
Total				290.82	3.56	
<i>Commercial Paper**</i>						
JM Financial Products Ltd	INE523H14XK2	[ICRA]A1+	100	499.26	6.11	
HCL Infosystems Ltd	INE236A14GJ3	[ICRA]A1	20	98.35	1.20	
Total				597.61	7.31	
<i>Reverse Repo and CBLO</i>						
Total				55.94	0.68	
IV. Investment Funds/Mutual Funds						
Principal Cash Management Fund - Growth Option	INF173K01D49	Mutual Fund	64,845	990.05	12.12	
Total				990.05	12.12	
V. Cash & Cash Equivalents						
Total				0.27	0.00	
VI. Other Receivables - (Payables)						
Total				222.48	2.72	
Net Assets				8,171.33	100.00	

Principal Dividend Yield Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	M (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed - awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Hindustan Unilever Ltd	INE030A01027	Consumer Non Durables	83,460	724.31	6.22
Hero MotoCorp Ltd	INE158A01026	Auto	20,700	706.64	6.07
State Bank of India	INE062A01020	Banks	207,600	521.60	4.48
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	109,500	464.23	3.99
Dalmia Bharat Ltd	INE439L01019	Cement	24,300	454.65	3.91
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	70,620	432.62	3.72
HDFC Bank Ltd	INE040A01026	Banks	32,300	411.13	3.53
Maruti Suzuki India Ltd	INE585B01010	Auto	6,876	376.62	3.24
ITC Ltd	INE154A01025	Consumer Non Durables	152,850	369.90	3.17
Colgate Palmolive (India) Ltd	INE295A01022	Consumer Non Durables	33,708	327.19	2.81
SML Isuzu Ltd	INE294B01019	Auto	25,650	325.42	2.80
Bajaj Holdings & Investment Ltd	INE118A01012	Finance	15,900	303.63	2.61
Bajaj Auto Ltd	INE91701010	Auto	10,200	288.42	2.48
ICI Bank Ltd	INE090A01021	Oil	112,800	284.43	2.45
ICI & Natural Gas Corporation Ltd	INE213A01029	Banks	106,800	274.10	2.34
Castrol India Ltd	INE172A01027	Petroleum Products	55,608	264.11	2.27
Tata Motors Ltd (A-DVR)	IN9155A01020	Auto	72,708	247.57	2.13
VST Industries Ltd	INE710A01016	Consumer Non Durables	10,800	245.07	2.11
Tata Consultancy Services Ltd	INE467B01029	Software	9,780	237.23	2.04
UltraTech Cement Ltd	INE481G01011	Cement	6,030	232.25	2.00
IndusInd Bank Ltd	INE095A01012	Banks	19,200	229.62	1.97
Infosys Ltd	INE009A01021	Software	21,900	226.89	1.95
NBCCL (India) Ltd	INE095N01023	Construction	78,900	198.63	1.71
PI Industries Ltd	INE030J01030	Pesticides	21,600	179.58	1.54
SRF Ltd	INE474A01010	Textile Products	10,080	179.19	1.54
Indraprastha Gas Ltd	INE203G01019	Gas	22,800	177.41	1.52
Cummins India Ltd	INE298A01020	Industrial Products	17,958	162.61	1.40
Reliance Industries Ltd	INE029A01018	Petroleum Products	14,700	159.30	1.37
Gateway Distriparks Ltd	INE852F01015	Transportation	60,900	153.29	1.32
City Union Bank Ltd	INE491A01021	Banks	115,800	152.97	1.31
Balrampur Chini Mills Ltd	INE119A01028	Consumer Non Durables	135,000	145.46	1.25
Kotak Mahindra Bank Ltd	INE237A01028	Banks	18,600	144.52	1.24
Tata Chemicals Ltd	INE092A01019	Chemicals	27,000	141.64	1.22
Petronet LNG Ltd	INE347G01014	Gas	39,900	137.81	1.18
KEC International Ltd	INE389H01022	Construction Project	108,000	136.03	1.17
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	57,600	134.41	1.15
Gujarat State Petronet Ltd	INE246F01010	Gas	79,800	124.69	1.07
Phillips Carbon Black Ltd	INE602A01015	Chemicals	48,900	122.81	1.06
Orient Bank & Industries Ltd	INE592A01026	Consumer Durables	151,080	122.00	1.05
Cyient Ltd	INE136B01020	Software	25,500	120.00	1.03
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	15,900	118.09	1.01
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	13,500	115.49	0.99
GHCL Ltd	INE539A01019	Chemicals	45,000	113.47	0.97
Asahi India Glass Ltd	INE439A01020	Auto Ancillaries	59,848	112.04	0.96
Hindalco Industries Ltd	INE038A01020	Non - Ferrous Metals	66,900	102.16	0.88
Shivam Autotech Ltd	INE637H01024	Auto Ancillaries	213,108	95.47	0.82
Larsen & Toubro Ltd	INE018A01030	Construction Project	6,548	93.84	0.81
Cox & Kings Ltd	INE008I01026	Transportation	29,231	64.57	0.55
Apollo Tyres Ltd*	-	Auto Ancillaries	16,500	0.00	0.00
Total				11,454.59	98.41
B) Privately Placed / Unlisted**					
<i>Equity Shares</i>					
Sandur Laminates Ltd**	-	Construction Project	200,000	0.02	0.00
Crystal Cable Industries Ltd**	INE759J01022	Telecom - Services	50,000	0.01	0.00
Tirrihannah Company Ltd**	-	Consumer Non Durables	50,000	0.01	0.00
Western Paques (India) Ltd**	-	Construction	900	0.00	0.00
Minerava Holdings Ltd**	-	Finance	20	0.00	0.00
Total				0.04	0.00
C) Derivatives					
DLF Ltd October 2016	-	Stock Future	45,000	66.11	0.57
Total				66.11	0.57
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				203.40	1.75
III. Investment Funds/Mutual Funds					
Principal Cash Management Fund - Growth Option	INF173K01D49	Mutual Fund	1,318	20.12	0.17
Total				20.12	0.17
IV. Cash & Cash Equivalents					
Total				10.69	0.09
V. Other Receivables - (Payables)					
Total				(114.65)	(0.98)
Net Assets				11,640.29	100.00

Principal Long Duration Fund (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
7.65% National Bank For Agriculture and Rural Development M-26-Sep-2017	INE261F08634	CRISIL AAA	260	2,609.77	3.55
8.17% Power Finance Corporation Ltd-M-18-Aug-2018	INE134E08HT1	CRISIL AAA	250	2,534.12	3.45
10.30% Manappuram Finance Ltd-M-28-Jul-2018	INE522D07941	CARE AA-	250	2,510.38	3.42
9.10% Dewan Housing Finance Corp. Ltd-M-18-Mar-2019	INE202B07HD8	BWR AAA	250	2,499.20	3.40
9.10% Dewan Housing Finance Corp. Ltd-M-09-Sep-2019	INE202B07K11	BWR AAA	250,000	2,499.14	3.40
9.10% Dewan Housing Finance Corp. Ltd-M-16-Aug-2019	INE202B07HQ0	BWR AAA	250,000	2,498.62	3.40
8.13% Tata Motors Ltd-M-18-Jul-2018	INE155A08290	CARE AA+	235	2,368.09	3.22
9.70% Music Broadcast Private Ltd-M-04-Mar-2017	INE591907013	CRISIL AA	200	2,008.43	2.73
10.00% Altico Capital India Pvt. Ltd-M-05-Sep-2017	INE587O07032	IND AA-	19	1,900.81	2.59
8.60% LIC Housing Finance Ltd-M-29-Jul-2020	INE115A07HR4	CRISIL AAA	150	1,536.93	2.09
10.70% Aspire Home Finance Corp. Ltd-M-05-Jun-2019	INE658R07141	[ICRA]AA	140	1,418.75	1.93
12.55% Manappuram Finance Ltd-M-01-Feb-2018	INE522D07479	BWR AA-	100	1,029.46	1.40
13.00% Muthoo Finance Ltd-M-12-Jan-2017	INE414G07159	[ICRA]AA	60	606.20	0.83
9.10% Dewan Housing Finance Corp. Ltd-M-16-Mar-2018	INE202B07HB2	BWR AAA	42	420.80	0.57
11.84% Asirvad Microfinance Pvt. Ltd-M-24-Jan-2017	INE516C07085	[ICRA]A+(SO)	8,471	106.26	0.14
11.84% Future Financial Services Ltd-M-24-Jan-2017	INE801M07056	[ICRA]A+(SO)	8,471	106.24	0.14
9.45% LIC Housing Finance Ltd-M-14-Oct-2016	INE115A07EM2	[ICRA]A+(SO)	10	100.06	0.14
11.84% Aron Financial Services Pvt. Ltd-M-24-Jan-2017	INE808K07097	[ICRA]A+(SO)	6,353	79.67	0.11
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA+	6	60.62	0.08
11.84% Sonata Finance Private Ltd-M-24-Jan-2017	INE509M07113	[ICRA]A+(SO)</			

Principal Pnb Fixed Maturity Plan - Series B10

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 731 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.52% Rural Electrification Corp. Ltd-M-25-Mar-2017	INE020B0711	CRISIL AAA	47	475.04	17.17
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA+	45	454.62	16.43
9.18% National Bank for Agriculture and Rural Development-M-07-Feb-2017	INE261F09HE9	CRISIL AAA	37	372.41	13.46
9.70% Music Broadcast Private Ltd-M-04-Mar-2017	INE91907013	CRISIL AA	17	170.72	6.17
9.33% Power Finance Corporation Ltd-M-17-Feb-2017	INE134E08ED2	CRISIL AAA	11	110.82	4.00
8.81% L&T Finance Ltd-M-06-Apr-2017	INE523E07BA0	[ICRA]AA+	4	100.41	3.63
Total				1,684.02	60.86
<i>Zero Coupon Bonds</i>					
Cholamandalam Investment and Finance Company Ltd-M-06-Apr-2017	INE121A07J00	[ICRA]AA	46	525.25	18.96
Dewan Housing Finance Corp. Ltd-M-06-Apr-2017	INE202B07EE3	CARE AAA	36	411.43	14.87
Total				936.68	33.85
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				78.67	2.84
III. Cash & Cash Equivalents					
Total				0.28	0.01
IV. Other Receivables - (Payables)					
Total				67.60	2.44
Net Assets				2,767.25	100.00

Principal Pnb Fixed Maturity Plan - Series B13

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 731 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.52% Rural Electrification Corp. Ltd-M-25-Mar-2017	INE020B0711	CRISIL AAA	43	434.61	17.19
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA+	41	414.21	16.38
9.18% National Bank for Agriculture and Rural Development-M-07-Feb-2017	INE261F09HE9	CRISIL AAA	31	312.02	12.34
9.70% Music Broadcast Private Ltd-M-04-Mar-2017	INE91907013	CRISIL AA	17	170.72	6.75
9.33% Power Finance Corp. Ltd-M-17-Feb-2017	INE134E08ED2	CRISIL AAA	11	110.82	4.38
8.81% L&T Finance Ltd-M-06-Apr-2017	INE523E07BA0	[ICRA]AA+	4	100.41	3.97
Total				1,542.79	61.01
<i>Zero Coupon Bonds</i>					
Cholamandalam Investment and Finance Company Ltd-M-06-Apr-2017	INE121A07J00	[ICRA]AA	42	479.57	18.96
Dewan Housing Finance Corp. Ltd-M-06-Apr-2017	INE202B07EE3	CARE AAA	33	377.15	14.91
Total				856.72	33.87
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				67.20	2.66
III. Cash & Cash Equivalents					
Total				0.24	0.01
IV. Other Receivables - (Payables)					
Total				61.87	2.45
Net Assets				2,528.82	100.00

Principal Pnb Fixed Maturity Plan - Series B14

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 731 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.52% Rural Electrification Corp. Ltd-M-25-Mar-2017	INE020B0711	CRISIL AAA	42	424.50	16.99
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA+	40	404.11	16.17
9.18% National Bank for Agriculture and Rural Development-M-07-Feb-2017	INE261F09HE9	CRISIL AAA	32	322.06	12.89
9.70% Music Broadcast Private Ltd-M-04-Mar-2017	INE91907013	CRISIL AA	16	160.67	6.43
9.33% Power Finance Corporation Ltd-M-17-Feb-2017	INE134E08ED2	CRISIL AAA	11	110.82	4.43
8.81% L&T Finance Ltd-M-06-Apr-2017	INE523E07BA0	[ICRA]AA+	4	100.41	4.02
Total				1,522.61	60.93
<i>Zero Coupon Bonds</i>					
Cholamandalam Investment and Finance Company Ltd-M-06-Apr-2017	INE121A07J00	[ICRA]AA	42	479.57	19.19
Dewan Housing Finance Corp. Ltd-M-06-Apr-2017	INE202B07EE3	CARE AAA	32	365.72	14.63
Total				845.29	33.82
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				69.20	2.77
III. Cash & Cash Equivalents					
Total				0.23	0.01
IV. Other Receivables - (Payables)					
Total				61.82	2.47
Net Assets				2,499.14	100.00

Principal Pnb Fixed Maturity Plan - Series B17

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 729 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.33% Power Finance Corporation Ltd-M-17-Feb-2017	INE134E08ED2	CRISIL AAA	47	473.52	17.11
9.28% Rural Electrification Corp. Ltd-M-15-Feb-2017	INE020B08658	CRISIL AAA	46	463.37	16.74
9.25% Cholamandalam Investment and Finance Company Ltd-M-15-May-2017	INE121A07J00	[ICRA]AA	40	402.68	14.55
9.43% Piramal Enterprises Ltd-M-17-Mar-2017	INE140A08SA3	[ICRA]AA	35	351.91	12.71
8.81% L&T Finance Ltd-M-15-May-2017	INE523E07BU8	[ICRA]AA+	9	226.21	8.17
9.33% Power Grid Corp. of India Ltd-M-15-Dec-2016	INE752E07EY9	CRISIL AAA	12	150.69	5.44
Total				2,068.39	74.72
<i>Zero Coupon Bonds</i>					
Dewan Housing Finance Corp. Ltd-M-15-May-2017	INE202B07ET1	CARE AAA	35	394.30	14.24
Total				394.30	14.24
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				143.58	5.19
III. Cash & Cash Equivalents					
Total				0.45	0.02
IV. Other Receivables - (Payables)					
Total				161.30	5.83
Net Assets				2,768.02	100.00

Principal Credit Opportunities Fund (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.32% Power Finance Corp. Ltd-M-19-Aug-2017	INE134E08GC9	CRISIL AAA	80	813.42	10.59
9.40% Rural Electrification Corp. Ltd-M-20-Jul-2017	INE020B08757	CRISIL AAA	70	711.12	9.26
10.00% Atico Capital India Pvt. Ltd-M-05-Sep-2017	INE587007032	IND AA-	7	700.30	9.12
10.30% Manappuram Finance Ltd-M-28-Jul-2018	INE522D07941	CARE AA-	50	502.08	6.54
9.10% Dewan Housing Finance Corp. Ltd-M-16-Aug-2017	INE202B07HQ0	BWR AAA	50,000	499.72	6.51
8.85% East-North Interconnection Co. Ltd-M-31-Mar-2017	INE565S07012	CRISIL AAA	150	376.08	4.90
12.55% Manappuram Finance Ltd-M-01-Feb-2018	INE522D07479	BWR AA-	20	205.89	2.68
8.85% Rural Electrification Corp. Ltd-M-20-Jan-2017	INE020B07CQ7	CRISIL AAA	11	110.56	1.44
10.85% Aspire Home Finance Corp. Ltd-M-08-Jun-2018	INE658R07042	CRISIL A+	5	50.62	0.66
9.28% Rural Electrification Corp. Ltd-M-15-Feb-2017	INE020B08658	CRISIL AAA	3	30.22	0.39
9.90% National Bank For Agriculture and Rural Development-M-30-Mar-2017	INE261F09CW2	CRISIL AAA	2	20.26	0.26
9.90% Power Finance Corp. Ltd-M-30-Mar-2017	INE134E08AB4	CRISIL AAA	2	20.25	0.26
9.96% Power Finance Corp. Ltd-M-18-May-2017	INE134E08AC2	CRISIL AAA	1	10.15	0.13
9.85% Export-Import Bank of India-M-15-Mar-2017	INE514E08357	CRISIL AAA	1	10.11	0.13
Total				4,060.78	52.87
II. Money Market Instruments					
<i>Commercial Paper**</i>					
HCL Infosystems Ltd-M-18-Oct-2016	INE236A14GD6	[ICRA]A1	148	736.55	9.59
Cox & Kings Ltd-M-03-Feb-2017	INE008114F13	CARE A1+	150	730.46	9.51
Kribhoo Shyam Fertilizers Ltd-M-31-Jul-2017	INE486H14615	CRISIL A1+	140	654.80	8.53
JM Financial Products Ltd-M-07-Oct-2016	INE523H14XK2	[ICRA]A1+	80	399.41	5.20
Piramal Enterprises Ltd-M-21-Jul-2017	INE140A14MU2	[ICRA]A1+	78	366.55	4.77
Piramal Enterprises Ltd-M-17-Feb-2017	INE140A14J11	[ICRA]A1+	56	271.98	3.54
Total				3,159.75	41.14
<i>Reverse Repo and CBLO</i>					
Total				374.10	4.87
III. Cash & Cash Equivalents					
Total				1.16	0.02
IV. Other Receivables - (Payables)					
Total				81.75	1.06
Net Assets				7,677.55	100.00

Principal Bank CD Fund (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
10.00% Sundaram BNP Paribas Home Finance Ltd-M-03-Feb-2017	INE667F08046	CARE AA	54	544.11	7.10
7.92% National Housing Bank-M-22-Mar-2017	INE557F08EY7	CRISIL AAA	21	210.75	2.75
Total				754.86	9.85
<i>Zero Coupon Bonds</i>					
Housing Development Finance Corp. Ltd-M-06-Feb-2017	INE001A07HU0	CRISIL AAA	50	775.32	10.11
Total				775.32	10.11
II. Money Market Instruments					
<i>Certificate of Deposit**</i>					
Export-Import Bank of India-M-10-Feb-2017	INE514E16AL9	CRISIL A1+	1,995	1,947.56	25.41
Small Industries Development Bank of India-M-10-Mar-2017	INE556F16077	CRISIL A1+	950	922.76	12.04
IndusInd Bank Ltd-M-13-Mar-2017	INE095A16RZ1	CRISIL A1+	950	922.08	12.03
RBI Bank Ltd-M-16-Mar-2017	INE978G16DK1	[ICRA]A1+	950	920.85	12.01
ICICI Bank Ltd-M-01-Mar-2017	INE090A161F2	[ICRA]A1+	900	875.55	11.42
Axis Bank Ltd-M-28-Feb-2017	INE238A16K05	CRISIL A1+	500	486.49	6.35
Total				6,075.29	79.26
<i>Reverse Repo and CBLO</i>					
Total				22.23	0.29
III. Cash & Cash Equivalents					
Total				0.07	0.00
IV. Other Receivables - (Payables)					
Total				37.38	0.49
Net Assets				7,665.16	100.00

Principal Pnb Fixed Maturity Plan - Series B16

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 1094 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed - awaiting listing on the stock exchanges**					
<i>Non-Convertible Debentures</i>					
9.52% Rural Electrification Corp. Ltd-M-25-Mar-2017	INE020B0711	CRISIL AAA	40	404.29	16.02
9.90% Bajaj Finance Ltd-M-25-Apr-2017	INE296A07BC7	CRISIL AA+	40	404.09	16.01
9.69% LIC Housing Finance Ltd-M-27-Mar-2017	INE115A07FG1	CRISIL AAA	40	403.95	16.00
9.18% National Bank for Agriculture and Rural Development-M-07-Feb-2017	INE261F09HE9	CRISIL AAA	39	392.54	15.55
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA+	18	181.85	7.20
9.33% Power Finance Corp. Ltd-M-17-Feb-2017	INE134E08ED2	CRISIL AAA	17	171.27	6.79
Total				1,957.98	77.57
B) Privately Placed - Unlisted**					
<i>Non-Convertible Debentures</i>					
8.00% Mahindra Vehicle Manufacturers Ltd-M-14-Mar-2017	INE244N07016	[ICRA]AAA	37	419.30	16.61
Total				419.30	16.61
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				40.68	1.61
III. Cash & Cash Equivalents					
Total				0.15	0.01
IV. Other Receivables - (Payables)					
Total				105.93	4.20
Net Assets				2,524.04	100.00

*** Value below 0.01% of NAV ** Thinly traded / Non - traded / Unlisted securities. ⁹⁹ Illiquid securities
 # Valued at Nil as these equity shares have been pending under objection for considerable period of time.
 - The percentage to the Net Asset Value is equal to zero @ Pending Listing on Stock Exchange
 --- The shares have been acquired on account of merger of Principal Equity Fund with Principal Dividend Yield Fund.

Notes :

- No NPA's were provided for any of the Schemes during the half year.
- Total value and percentage of illiquid shares to NAV is NIL except for Principal Growth Fund, Principal Tax Savings Fund, Principal Dividend Yield Fund and Principal Personal Tax Saver Fund. The value of illiquid securities and percentage of the same to the NAV in aforementioned schemes have been mentioned in their respective portfolio statement and the percentage of the same to the NAV is less than 0.01% of the AUM of the said Schemes.
- For NAV at the beginning of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2016, provided herein.
- For dividend declared during the half year ended please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2016, provided herein.
- For NAV at the end of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2016, provided herein.
- Total outstanding exposure in derivative instruments as on September 30, 2016 is as under:

Name of the Scheme	Amount (₹ Lakh)
Principal Arbitrage Fund	(5,641.47)
Principal Dividend Yield Fund	66.11
Principal Emerging Bluechip	

Annexure I (Contd.) :-

Sr. No.	Name of the Schemes	Principal Arbitrage Fund				Principal Bank CD Fund				Principal Credit Opportunities Fund				Principal Equity Savings Fund					
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan			
		Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Half-yearly Dividend ⁵	Quarterly Dividend	Growth	Half-yearly Dividend ⁵	Quarterly Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	N.A.	N.A.	N.A.	N.A.	1941.3100	1130.6071	1967.0931	1131.8338	2343.0338	1238.6339	2372.1165	1241.6417	10.8589	12.3239	28.7673	11.0468	12.6108	29.4680
2.	NAV at the end of the year/period (₹ per Unit)	10.2955	10.2955	10.3274	10.3274	2013.2134	1126.5883	2045.0717	1127.7959	2440.3165	1238.4301	2473.3494	1241.4421	11.6152	12.2789	30.7953	11.8169	12.8729	31.6578
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	30.16862956	-	32.11134904	-	33.90270344	-	34.90839132	0.00586039	0.60111081	-	0.03193410	0.43890524	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	32.56260415	-	34.65948446	-	36.59298841	-	37.67848075	0.00632543	0.64881082	-	0.03446817	0.47373374	-

Sr. No.	Name of the Schemes	Principal Low Duration Fund				Principal Short Term Income Fund				Principal Dynamic Bond Fund									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth		
1.	NAV at the beginning of the year/period (₹ per unit)	2380.3724	1003.4214	1004.3348	1143.6613	2439.4418	1003.3517	1006.2714	1145.7716	12.8727	26.4576	12.9074	27.0633	11.6025	13.2152	25.4421	11.9743	13.2788	26.0663
2.	NAV at the end of the year/period (₹ per Unit)	2489.3262	1003.7016	1003.7823	1141.6324	2556.7399	1004.9367	1005.4619	1143.6885	12.8427	27.7979	12.8771	28.5516	11.3235	13.2823	27.3732	11.6456	13.3500	28.1476
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	29.85768259	30.42795815	35.62807817	-	30.49921227	32.14553081	37.42659262	0.44633297	-	0.48333779	-	0.77636510	0.61576553	-	0.86088062	0.64995985	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	32.22698257	32.84251127	38.45527697	-	32.91941964	34.69637869	40.39650914	0.48175088	-	0.52169214	-	0.83797208	0.66462843	-	0.92919418	0.70153619	-

Sr. No.	Name of the Schemes	Principal Cash Management Fund				Principal Pnb Fixed Maturity Plan - Series B5				Principal Government Securities Fund									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Dividend	Growth	Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1000.5695	1006.9895	1025.2348	1470.7393	1000.5695	1007.5234	1025.2680	1474.7592	N.A.	12.6603	N.A.	12.6771	12.0861	13.5502	29.5589	12.1248	13.9940	30.0376
2.	NAV at the end of the year/period (₹ per Unit)	1000.8893	1006.6331	1024.0703	1526.7879	1000.6367	1007.1460	1024.1006	1531.4983	N.A.	12.9821	N.A.	13.0016	12.1722	13.2606	32.3050	12.2165	13.6649	32.9513
3.	a. Net Dividend - Corporate (₹ Per Unit)	24.83752792	25.44564868	26.46874999	-	25.23644826	25.71018417	26.71366435	-	-	-	-	-	0.68007227	1.03804007	-	0.71245985	1.12841274	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	26.80846297	27.46484004	28.56912763	-	27.23903895	27.75036722	28.83347670	-	-	-	-	-	0.73403812	1.11907770	-	0.76899576	1.21795580	-

Sr. No.	Name of the Schemes	Principal Retail Money Manager Fund				Principal Debt Savings Fund - Retail Plan				Principal Pnb Fixed Maturity Plan - Series B13								
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan						
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth		
1.	NAV at the beginning of the year/period (₹ per unit)	1715.3279	1005.4300	1015.0480	1061.3340	1720.2305	N.A.	N.A.	1061.3898	26.1963	10.2808	12.0559	26.8800	N.A.	N.A.	11.8834	N.A.	11.9791
2.	NAV at the end of the year/period (₹ per Unit)	1784.2592	1005.4300	1018.8587	1060.5270	1789.9805	N.A.	N.A.	1060.5806	27.7130	10.2679	12.1089	28.5434	N.A.	N.A.	12.4039	N.A.	12.5226
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	26.53853186	27.01497868	-	-	-	-	28.81832174	-	0.39688170	0.42532120	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	28.64444694	29.15870128	30.83212895	-	-	-	31.10514519	-	0.42837548	0.45907176	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Pnb Fixed Maturity Plan - Series B10		Principal Pnb Fixed Maturity Plan - Series B14		Principal Pnb Fixed Maturity Plan - Series B16		Principal Pnb Fixed Maturity Plan - Series B17		Principal Asset Allocation Fund-of-Funds											
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Moderate Plan		Conservative Plan		Aggressive Plan			
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth		
1.	NAV at the beginning of the year/period (₹ per unit)	N.A.	12.0576	12.1724	10.7906	11.8665	N.A.	11.9577	11.8546	11.8546	11.9916	11.9916	11.7026	10.7241	11.7175	9.8975	9.9222	10.1570	10.1812	9.8671	9.8931
2.	NAV at the end of the year/period (₹ per Unit)	N.A.	12.5847	12.7237	11.2627	12.3856	N.A.	12.4996	12.3340	12.3340	12.5141	12.5141	12.2236	11.2066	12.2446	10.9030	10.9609	10.8648	10.8991	11.3462	11.4070
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note: - indicates NIL. * Half Yearly Dividend Option changed to Monthly Dividend Option w.e.f. 18th September 2016. \$ Monthly Dividend Option changed to Half Yearly Dividend Option w.e.f. 23rd June 2016.

Annexure II (Contd.) :-

Disclosure for investments in derivative instruments

Hedging Positions through Futures as on 30th September 2016 :

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (Hedging positions) as a %age to net assets
Principal Large Cap Fund	FUTURE NIFTY (October-2016)	Short	8,687.50	8640.65	99.40	-3.25%
Principal Smart Equity Fund	HDFC Bank Ltd. (October-2016)	Short	1,311.70	1283.4	181.11	-8.02%
Principal Smart Equity Fund	Larsen And Toubro Ltd. (October-2016)	Short	1,476.00	1438.55	9.00	-0.40%
Principal Smart Equity Fund	Axis Bank Ltd. (October-2016)	Short	567.27	544.85	72.60	-3.22%
Principal Smart Equity Fund	Ambuja Cements Ltd. (October-2016)	Short	261.83	253.45	2.97	-0.13%
Principal Smart Equity Fund	Jsw Steel Ltd. (October-2016)	Short	1,758.53	1741.75	29.43	-1.31%
Principal Smart Equity Fund	Housing Development Fin. Co. Ltd. (October-2016)	Short	1,407.24	1398	105.01	-4.66%
Principal Smart Equity Fund	Reliance Power Ltd. (October-2016)	Short	52.17	47.85	16.90	-6.64%
Principal Smart Equity Fund	Moleod Russel India Ltd. (October-2016)	Short	182.91	171.15	5.92	-0.25%
Principal Smart Equity Fund	Sun Pharmaceuticals Ltd. (October-2016)	Short	781.75	745.25	34.30	-1.52%
Principal Smart Equity Fund	Tata Motors Ltd. - DVR (October-2016)	Short	348.89	341.85	64.08	-2.84%
Principal Smart Equity Fund	Century Textiles Ltd. (October-2016)	Short	934.81	947.9	48.76	-1.96%
Principal Smart Equity Fund	Aurobindo Pharma Ltd. (October-2016)	Short	859.33	858.25	83.28	-3.30%
Principal Smart Equity Fund	Reliance Industries Ltd. (October-2016)	Short	1,119.36	1088.4	161.85	-7.18%
Principal Smart Equity Fund	Indiabulls Housing Finance Ltd. (October-2016)	Short	812.81	830.25	10.45	-0.46%
Principal Smart Equity Fund	Coal India Ltd. (October-2016)	Short	334.29	323.25	43.01	-1.91%
Principal Smart Equity Fund	Sun TV Ltd. (October-2016)	Short	501.93	507.35	33.33	-1.20%
Principal Smart Equity Fund	Shriram Transport Finance Co. Ltd. (October-2016)	Short	1,178.02	1167.2	3.43	-0.15%
Principal Smart Equity Fund	Grasim Industries Ltd. (October-2016)	Short	4,926.50	4866.85	3.42	-0.15%
Principal Smart Equity Fund	Hindustan Unilever Ltd. (October-2016)	Short	891.67	870.7	103.00	-4.57%
Principal Smart Equity Fund	Infosys Ltd. (October-2016)	Short	1,029.18	1030.2	58.41	-2.58%
Principal Equity Savings Fund	HDFC Bank Ltd. (October-2016)	Short	1,314.86	1283.4	29.18	-9.49%
Principal Equity Savings Fund	Century Textiles Ltd. (October-2016)	Short	931.34	947.9	23.48	-6.91%
Principal Equity Savings Fund	Exide Industries Ltd. (October-2016)	Short	193.62	184.2	17.30	-5.64%
Principal Equity Savings Fund	Cairn India Ltd. (October-2016)	Short	197.89	201.4	11.03	-3.59%
Principal Equity Savings Fund	Sun TV Ltd. (October-2016)	Short	504.83	507.35	5.88	-1.55%
Principal Equity Savings Fund	Dewan Housing Finance Corp Ltd. (October-2016)	Short	295.96	285.25	17.60	-4.36%
Principal Equity Savings Fund	Hexaware Technologies Ltd. (October-2016)	Short	196.47	189.85	13.07	-4.26%
Principal Equity Savings Fund	IRB Infrastructure Developers Ltd. (October-2016)	Short	258.38	246.9	5.56	-1.57%
Principal Equity Savings Fund	Infosys Ltd. (October-2016)	Short	1,028.40	1030.2	6.49	-2.10%
Principal Equity Savings Fund	Ashok Leyland Company Ltd. (October-2016)	Short	81.84	79.85	12.36	-3.42%
Principal Arbitrage Fund	HDFC Bank Ltd. (October-2016)	Short	1,313.19	1283.4	120.63	-9.50%
Principal Arbitrage Fund	Larsen And Toubro Ltd. (October-2016)	Short	1,466.58	1438.55	31.50	-2.46%
Principal Arbitrage Fund	Axis Bank Ltd. (October-2016)	Short	559.63	544.85	21.47	-1.68%
Principal Arbitrage Fund	Housing Development Fin. Co. Ltd. (October-2016)	Short	1,417.81	1398	37.19	-2.91%
Principal Arbitrage Fund	Moleod Russel India Ltd. (October-2016)	Short	184.45	171.15	1.69	-0.13%
Principal Arbitrage Fund	IDFC Ltd. (October-2016)	Short	62.13	67.4	35.36	-2.07%
Principal Arbitrage Fund	Sun Pharmaceuticals Ltd. (October-2016)	Short	775.42	745.25	97.99	-7.66%
Principal Arbitrage Fund	Century Textiles Ltd. (October-2016)	Short	930.66	947.9	55.99	-3.96%
Principal Arbitrage Fund	Aurobindo Pharma Ltd. (October-2016)	Short	856.73	858.25	15.81	-1.10%
Principal Arbitrage Fund	Asian Paints Ltd. (October-2016)	Short	1,193.85	1167.8	4.38	-0.34%

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Arbitrage Fund	Reliance Industries Ltd. (October-2016)	Short	1,121.79	1088.4	84.23	-6.59%
Principal Arbitrage Fund	Maruti Suzuki India Ltd. (October-2016)	Short	5,620.85	5512.45	52.98	-4.15%
Principal Arbitrage Fund	LIC Housing Finance Ltd. (October-2016)	Short	578.08	581.2	25.01	-1.96%
Principal Arbitrage Fund	Tata Steel Ltd. (October-2016)	Short	375.97	376.9	35.40	-2.77%
Principal Arbitrage Fund	Cairn India Ltd. (October-2016)	Short	196.88	201.4	4.41	-0.35%
Principal Arbitrage Fund	India Cements Ltd. (October-2016)	Short	149.66	144.75	49.15	-2.98%
Principal Arbitrage Fund	Dewan Housing Finance Corp. Ltd. (October-2016)	Short	297.62	285.25	87.99	-5.24%
Principal Arbitrage Fund	Tata Communications Ltd. (October-2016)	Short	531.62	567.45	13.71	-0.78%
Principal Arbitrage Fund	Bharat Financial Inclusion Ltd. (October-2016)	Short	865.11	884.05	55.45	-3.25%
Principal Arbitrage Fund	IRB Infrastructure Developers Ltd. (October-2016)	Short	260.89	246.9	75.66	-5.14%
Principal Arbitrage Fund	Infosys Ltd. (October-2016)	Short	1,029.11	1030.2	32.45	-2.52%
Principal Arbitrage Fund	Dish TV India Ltd (October-2016)	Short	98.03	93.55	25.41	-1.52%

For the period 01st April 2016 to 30th September 2016, following hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were sold (opening balance)	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were sold (opening balance)	Gross Notional Value of contracts where futures were bought	Gross Notional Value of contracts where futures were sold	Net Profit/ Loss value on all contracts combined
Principal Large Cap Fund	-	100	100	-	64,997,250	59,990,415	(5,006,835)
Principal Smart Equity Fund	140	3,941	3,801	73,680,369	2,143,651,955	2,040,749,562	(29,222,024)
Principal Equity Savings Fund	(8)	488	496	(4,681,320)	309,248,245	301,476,463	(12,453,103)
Principal Arbitrage Fund	-	4,975	4,975	-	2,739,655,444	2,652,730,178	(86,925,266)

Other than Hedging Positions through Futures as on 30th September 2016 :

Scheme	Underlying	Long / Short	Futures Price when purchased
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