

Annexure – IV:

(To be given by the Legal Heirs)

(To be Franking/Executed on Non-judicial Stamp paper of ₹ 300/-)

AFFIDAVITS

(In case of Transmission of Mutual Fund Units held by a Single Holder / on death of all unit holders in case of Joint Holding and where there is **No Nominee Registration**)

We do hereby solemnly affirm and sincerely state on oath as follows,

I/We state that (#)“ Name of deceased unit holder ” passed away on “ date of Death ” and the deceased was holding the following mutual fund units in his /her name as single holder/joint holders:

Sr. No.	Name of Mutual Fund	Scheme Name	Folio/Account No.	No. of Units Held

I/We state that the following are the only legal heir(s) of late Mr. / Mrs. . (#) Name of deceased unit holder ” for which we are attaching a Legal Heirship Certificate/ Succession Certificate/Indemnity Bond.

Sr. No.	Name of the Legal Heirs	Address	Age	Relationship with the deceased
1.				
2.				
3.				

That out of aforesaid legal heirs Master / Kumari. Name of Minor Aged Age years is a minor and he / she is being represented by Mr. / Ms. (\$)“ Name of Guardian ” who is none other than his / her father / mother as natural guardian.

I/We also confirm that there are no other legal heir as stated above to the deceased,(#) Name of the deceased unit holder ”

I/We, the undersigned legal heirs, undertake and confirm that i/we have released/relinquished/discharged all my/our right, title, interest and benefit in the said units in favour of _____ and have no objection in transferring the units held under the afore mentioned folio in favour of Name of claimant/legal heir . In this regard I/we have approached you with a request to transfer the aforesaid Mutual Fund units in the name of Name of claimant/legal heir ” .

In consideration therefore of my/our request to transfer the above said Mutual Fund units to the name of “Name of claimant/legal heir ” I/we hereby jointly and severely agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, suits, proceedings, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said Mutual Fund units as herein above mentioned, to “ Name of claimant/legal heir ” .

I/We also indemnify the concerned mutual fund and its authorized Registrar and Transfer Agent in a separate indemnity bond with third party sureties.

1.		
2.		
3.		
	Name of the Deponent/Legal Heir/s	Signature of the Deponent /Legal Heir/s

VERIFICATION

I/We hereby solemnly affirm and state on oath that what is stated herein above is true and correct Original Death Certificate or original attested copy of the death certificate and nothing has been concealed therein and that I/we am/are competent to contract and entitled to rights and benefits of the above mentioned mutual fund units of the deceased.

Solemnly affirmed at

Signed before me at _____ on _____

Signature of Notary with Official Seal of Notary

Note: 1. Each deponent shall sign separate affidavits.

(#) = Name of the deceased unit holder (*) = Name of the legal heir \$ = Name of the guardian