

Date:

To

Principal Mutual Fund
 NSE Plaza, Ground Floor, B Wing
 Bandra Kurla Complex
 Bandra (E)
 Mumbai 400051

Dear Sir/Madam,

Sub: Confirmation of Execution Only Transaction [OR] EUIN Update

With respect to the below mentioned transaction, I wish to advise the following update:

| | | | |
|-------------------|--------------------------------------------------------------------------------------------------------|---------------------|--|
| Folio No. | | | |
| First Holder Name | | | |
| Scheme Name | | Amount | |
| Transaction Type | <input type="checkbox"/> Purchase <input type="checkbox"/> Switch <input type="checkbox"/> SIP/SWP/STP | Date of Transaction | |

Execution Only Transaction Declaration

I hereby confirm that no EUIN is applicable as this is an “execution-only” transaction without any interaction or advice by the employee/ relationship manager/ sales person of the distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/ sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

| | |
|--------------------------------------------|--|
| Signature of Sole/ First Applicant/ Holder | |
|--------------------------------------------|--|

Distributor Details (No change in existing ARN code of the transaction permitted)

| | | |
|---------------------|--|--------------------------------|
| ARN Code | | Signature with ARN Name & Seal |
| Sub Broker ARN Code | | |
| EUIN | | |
| Sub Broker Code | | |