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# NOMINATION FORM

First Holder : \_\_\_\_\_  
 Second Holder : \_\_\_\_\_  
 Third Holder : \_\_\_\_\_  
 Common Account No. : \_\_\_\_\_ Date

Please (3 )

Appointment of Nominee

Change in Nominee

| D | D | M | M | Y | Y | Y | Y |

## NOMINEE(S)

I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be valid discharge by Principal Asset Management Pvt. Ltd. (Formerly known as Principal Pnb Asset Management Company Private Limited) / Principal Mutual Fund (Fund) / Principal Trustee Company Pvt. Ltd. (TC).

NAME OF FIRST NOMINEE  Mr.  Ms.  
 \_\_\_\_\_

Date of Birth (In case of minor) | D | D | M | M | Y | Y | Y | Y |

NAME OF PARENT / LEGAL GUARDIAN (in case of minor)  Mr.  Ms.  
 \_\_\_\_\_

Specimen Signature of Nominee / Guardian

ADDRESS OF FIRST NOMINEE / LEGAL GUARDIAN  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ Pin Code \_\_\_\_\_

Percentage of Investment Allocation (integer)  
 \_\_\_\_\_ %

NAME OF SECOND NOMINEE  Mr.  Ms.  
 \_\_\_\_\_

Date of Birth (In case of minor) | D | D | M | M | Y | Y | Y | Y |

NAME OF PARENT / LEGAL GUARDIAN (in case of minor)  Mr.  Ms.  
 \_\_\_\_\_

Specimen Signature of Nominee / Guardian

ADDRESS OF SECOND NOMINEE / LEGAL GUARDIAN  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ Pin Code \_\_\_\_\_

Percentage of Investment Allocation (integer)  
 \_\_\_\_\_ %

NAME OF THIRD NOMINEE  Mr.  Ms.  
 \_\_\_\_\_

Date of Birth (In case of minor) | D | D | M | M | Y | Y | Y | Y |

NAME OF PARENT / LEGAL GUARDIAN (in case of minor)  Mr.  Ms.  
 \_\_\_\_\_

Specimen Signature of Nominee / Guardian

ADDRESS OF THIRD NOMINEE / LEGAL GUARDIAN  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ Pin Code \_\_\_\_\_

Percentage of Investment Allocation (integer)  
 \_\_\_\_\_ %

The total percentage of investment allocation (across all Nominee/s) can not exceed 100% .

#### SIGNATURE(S) OF THE UNIT HOLDER(S)

Name	Signature and Date
First Unit Holder	
Second Unit Holder	
Third Unit Holder	

#### INSTRUCTIONS FOR FILLING NOMINATION FORM

- (1) Nomination shall be maintained at folio /account level and shall be applicable for investments in all schemes in the folio /account. For existing investors Folio No., is mandatory, in absence of which the form is liable to be rejected.
- (2) Nomination can be made only by individuals applying for /holding units on their own behalf singly or jointly.
- (3) Nomination shall not be allowed in a Folio held on behalf of a Minor. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
- (4) Where a Folio has Joint Holders, all Joint Holders should sign the request for nomination/cancellation of nomination even if the mode of holding is not " Joint".
- (5) Minor(s) can be nominated and in that event, the name and address of the guardian of the minor nominee(s) shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, Local authority any person designated by virtue of his office or a religious or charitable trust.
- (6) The Nominee shall not be a trust other than religious/charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- (7) Nomination in respect of the units stands rescinded upon the transfer of units.
- (8) Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and their allocation/share should be in whole numbers without any decimals making a total of 100 percent.  
In the event of the Unitholders not indicating the percentage of allocation/share in favour of each of the nominees, Principal Mutual Fund /Principal Asset Management Private Limited (Formerly known as Principal Pnb Asset Management Company Private Limited), by invoking default option shall settle the claim equally amongst all the nominees.
- (9) Transfer of units /payment of redemption proceeds, in favour of a Nominee shall be valid discharge by the AMC against the legal heirs.
- (10) The cancellation /change of nomination can be made only by those individuals who hold units on their own behalf singly or jointly.
- (11) On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of such Nominee(s).
- (12) Every new nomination for a folio /account will overwrite the existing nomination.



For investment related enquiries, Investor Grievance please contact:

Principal Mutual Fund

Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.

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