Mutual Fund

Principal Asset Management Pvt. Ltd.
(Formerly known as Principal Pnb Asset Management Company Private Limited)
Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex,
Bandra (East), Mumbai-400 051.
Toll Free - **1800 425 5600** | Fax: **91-22-6772 0512** | Website: **www.principalindia.com**

AADHAAR LINKING FORM - INFORMATION TO INVESTORS

Ministry of Finance (Dept. of Revenue) in consultation with Reserve Bank of India (RBI) has issued a Notification No 439 dated June1, 2017, carrying out certain amendments to Prevention of Money Laundering (Maintenance of Records) Rules, 2005.

As per the new rules, linking of Aadhaar number with Mutual Fund investments is mandatory, for all the holders., failing which, the folios shall cease to be operational post December 31, 2017. Investor will only be able to transact upon successfully seeding the folios with Aadhaar.

This requirement being mandatory the purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws / rules / regulations. Post obtaining the Aadhaar number, the same shall be authenticated in accordance with the Aadhaar Act, 2016. Your demographic information shall be used only to comply with applicable laws / rules / regulations.

Please fill in your details below :

Account/Folio No. ☐☐☐☐☐☐☐☐ Please (✓) any one ☐ Residential Individual ☐ Guardian ☐ POA

	1st/Primary Holder	Joint Holder 1	Joint Holder 2
Name			
PAN			
PEKRN/CKIN			
Aadhaar No.			
Gender	☐ Male ☐ Female	☐ Male ☐ Female	☐ Male ☐ Female
Date of Birth	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y

Note : In order to update/change PAN/E-mail ID/Mobile number under the above Account/Folio No., kindly submit the separate "Change In Account Information Form" duly filled and signed as per mode of holding at nearest Investor Service Center. You can download the said form from http://www.principalindia.com/Uploads/Downloads/Change_in_Account_Information_Name_Contact_Details_Mode_of_Holding_PAN_KYC_etc_20160223.pdf.

Consent

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating / authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

	1st/Primary Holder	Joint Holder 1	Joint Holder 2
Signature			

Place

Date D D M M Y Y Y Y

For your convenience, Karvy Computershare Pvt Ltd (Registrar & Transfer Agent for Principal Mutual Fund) is collecting this mandatory information for authentication and seeding the Aadhaar Number.

Please submit the form duly filled, signed, for all the holders and submit at your nearest Karvy Computershare Pvt Ltd branch or you can dispatch the hard copy to:

Karvy Computershare Pvt. Ltd.,
Karvy Selenium Tower B, Principal Mutual Fund/Unit – CPZ - Aadhaar Updation,
Plot Nos. 31 & 32 | Financial District | Nanakramguda Serilingampally Mandal | Hyderabad - 500032 | India.

ACKNOWLEDGMENT

Date D D M M Y Y Y Y

From Mr/Mrs./Ms

PAN

Signature of Karvy Branch Official

Received subject to verification with UIDAI and seeding the Aadhaar for your Mutual Fund Investments.