Mutual Fund

# APPLICATION FOR REGULAR WITHDRAWAL PLAN (RWP)

Principal®

**REQUEST FOR** ☐ **REGISTRATION (Default)** ☐ **CANCELLATION**

Date of Request D D M M Y Y Y Y

**Folio / Application Number**

**Name of First / Sole Unit holder** F I R S T N A M E M I D D L E N A M E

L A S T N A M E

## SUBSCRIPTION DETAILS

**Scheme** (Please ✓ any one) ☐ Principal Hybrid Equity Fund ☐ Principal Balanced Advantage Fund ☐ Principal Equity Savings Fund

**Plan** ☐ Direct ☐ Regular **Option** ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment

**Amount**

Kindly register **Regular Withdrawal Plan (RWP)** as per details given below for the above subscription

## WITHDRAWAL DETAILS

**Frequency** ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual

**Withdrawal Amount*** *Minimum withdrawal 6 instalment of ₹ 1,000/- **OR** Withdrawal Units

Start From ☐ Next Month ☐ 13th Month (Default) ☐ Other Start Date M M Y Y End Date M M Y Y

Regular Withdrawal Plan (RWP) Date ☐ 1st (Default) ☐ 11th ☐ 21st

| Signature of Sole/First Holder | Signature of Second Holder | Signature of Third Holder |
|---|---|---|
| | | |

## INSTRUCTIONS

a) The withdrawal under the Regular Withdrawal Plan (RWP) will be a processed on FIFO basis.

b) The investors with existing holdings intending to avail of the Regular Withdrawal Plan (RWP) facility should check the applicable load structure / period of holding before submission of Regular Withdrawal Plan (RWP) request.

c) The unitholder has an option to select 1st, 11th or 21st day of the month/ quarter/ half-year/ annual on which the Regular Withdrawal is to be made.

d) The unitholder may set up a Regular Withdrawal Plan (RWP) on a monthly, quarterly, semi-annual or annual basis to: • Redeem a fixed number of units • Redeem a fixed amount.

e) The Unitholder can select to opt for Regular Withdrawal Plan (RWP) by providing Fixed Number of Units or Amount. Where in the Application form, the Unitholder states both Number of Units and Amount, in terms of the SID , the Rupee amount shall be considered for the purpose of Regular Withdrawal Plan (RWP).

f) Once the unitholder sets up a Regular Withdrawal Facility, the plan would continue until:
- The unitholder instructs the Fund to stop periodic withdrawal in writing; or
- The unitholder's account balance is zero
- On expiry of the time/period specified by the unitholder

g) Investor can opt for this facility from the next month onwards or from 13th month or from any other specified date as opted by the investor, provided a minimum time gap of 15 days from the date of request. In case start date is not selected/not legible/not clear/if multiple dates are opted, Regular Withdrawal Plan (RWP) will start from 13th month (default).

h) Investor has to select either REGISTRATION or CANCELLATION by ticking the appropriate box. In case no option or both the options are selected the application will be considered for REGISTRATION by default. The Regular Withdrawal Plan (RWP) will terminate automatically if no balance is available in the respective scheme on the date of installment trigger or if the enrollment period expires; whichever is earlier.

i) The applicant will have the right to discontinue the Regular Withdrawal Plan (RWP) at any time, if he / she so desires, by providing a written request subject to an advance notice of 15 days.

j) If the total valuation in a scheme at the time of Regular Withdrawal Plan (RWP) registration is less than the amount specified/selected by the investor, or where the installment is less than the prescribed minimum parameter, the Regular Withdrawal Plan (RWP) request is liable for rejection.

k) If the sub-option is not selected by the investor the same will be registered in the available option of the respective folio, however, incase of multiple options in the folio or any ambiguity in the request the AMC reserves the right to reject the request.

l) Conversion of physical unit to demat mode will nullify any existing / future Regular Withdrawal Plan (RWP) registration request and the request cannot be re-submitted.

m) AMC reserves the right to amend/terminate this facility at any time, keeping in view business/operational exigencies and the same shall be in the best interest of the investors.

n) The withdrawal under the Regular Withdrawal Plan (RWP) will be subject to exit load as applicable.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

## ACKNOWLEDGMENT - APPLICATION FOR REGULAR WITHDRAWAL PLAN

Principal®

Date D D M M Y Y Y Y Folio/Application Number

**REQUEST FOR**

☐ **REGISTRATION** ☐ **CANCELLATION**

**Name of First / Sole Unit holder** F I R S T M I D D L E L A S T N A M E

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**Scheme** (Please ✓ any one) ☐ Principal Hybrid Equity Fund ☐ Principal Balanced Advantage Fund ☐ Principal Equity Savings Fund **Plan** ☐ Direct ☐ Regular

**Option** ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment **Amount**

## WITHDRAWAL DETAILS

**Frequency** ☐ Monthly ☐ Quarterly ☐ Semi-annual ☐ Annual **Amount** **OR Units**

Start From ☐ Next Month ☐ 13th Month (Default) ☐ Other Start Date M M Y Y End Date M M Y Y Regular Withdrawal Plan (RWP) Date ☐ 1st (Default) ☐ 11th ☐ 21st