

FORM FOR NOMINATION / CANCELLATION OF NOMINATION (For Individual(s) holding units in Non - Demat Form)

I/We _____, (Unit Holder1)
 _____ and
 _____ (Unit Holder2)
 _____ (Unit Holder3)

* do hereby nominate the person(s) more particularly described hereunder / and* / cancel the nomination made by me / us on the _____ day of _____ in respect of the Units under Folio No. _____ (*strike out which is not applicable)

NOMINEE(S)

Name of First Nominee Mr. Ms.

Name of Parent / Legal Guardian (in Case of Minor) Mr. Ms.

Address of First Nominee / Legal Guardian

 City _____ Pin Code _____

Date of Birth (In case of minor)

D	D	M	M	Y	Y	Y	Y
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Specimen Signature of Nominee / Guardian

Percentage of Investment Allocation (integer)
 _____ %

Name of Second Nominee Mr. Ms.

Name of Parent / Legal Guardian (in Case of Minor) Mr. Ms.

Address of Second Nominee / Legal Guardian

 City _____ Pin Code _____

Date of Birth (In case of minor)

D	D	M	M	Y	Y	Y	Y
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Specimen Signature of Nominee / Guardian

Percentage of Investment Allocation (integer)
 _____ %

Name of Third Nominee Mr. Ms.

Name of Parent / Legal Guardian (in Case of Minor) Mr. Ms.

Address of Third Nominee / Legal Guardian

 City _____ Pin Code _____

Date of Birth (In case of minor)

D	D	M	M	Y	Y	Y	Y
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Specimen Signature of Nominee / Guardian

Percentage of Investment Allocation (integer)
 _____ %

The total percentage of investment allocation (across all Nominee/s) can not exceed 100%.

SIGNATURE(S) OF THE UNIT HOLDER(S)

Name	Signature and Date
First Unit Holder _____	_____
Second Unit Holder _____	_____
Third Unit Holder _____	_____

INSTRUCTIONS FOR FILLING NOMINATION FORM

1. Nomination ensures all rights and/or amount(s) payable in respect of the holdings in Schemes of Principal Mutual Fund would vest in and be transferred to the nominee upon death of the Unit holder. The nominee receives the units only as agent and trustee for the legal heirs or legatees as the case may be. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future.
2. Nomination by a unit holder shall be applicable for investments in all schemes in the folio or account.
3. Every new nomination for a folio/account will overwrite the existing nomination. Nomination will be subject to the provisions of the respective Scheme Information Document.
4. Nomination shall be mandatory for new folios/accounts opened by an individual especially with sole holding and no new folios/accounts for individuals (with sole holding) would be opened without nomination. However, investors who do not wish to nominate must sign separately confirming their non-intention to nominate. In case nomination/non-intention to nominate is not provided by Individual (with sole holding) the application is liable to be rejected.
5. The nomination can be made only by individuals applying for/ holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. Nomination shall not be allowed in a folio held on behalf of a minor. In case a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint".
6. Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
8. Nomination in respect of the units stands rescinded upon the transfer of units. Transfer of units in favour of Nominee(s) shall be valid discharge by the AMC against the legal heirs.
9. Cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
10. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee(s).
11. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.
12. In the event of the Unitholders not indicating the percentage of allocation/share for each of the nominees, Principal Mutual Fund/ Principal Asset Management Private Limited (AMC), by invoking default option shall settle the claim equally amongst all the nominees.
13. In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.

Mutual Fund

For investment related enquiries, Investor Grievance please contact
Principal Asset Management Private Limited
(Formerly known as Principal Pnb Asset Management Company Private Limited)
(CIN : U25000MH1991PTC064092)
Regd. Off.: Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051. • Toll Free: 1800 425 5600 • Fax: (022) 6772 0512
E-mail: customer@principalindia.com • Visit us at: www.principalindia.com



Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.