

APPLICATION COMPLETENESS VERIFICATION CHECKLIST

- Please ensure that:
 - All relevant particulars are filled in / ticked in the form
 - Full name of each holder
 - Full Address (Resident Individual - Indian address or NRI / FII - Foreign address & Local Address)
 - Bank mandate
 - Scheme / Plan / Option details
 - Payment details
 - Mode of holding
 - Legal Status
- PAN details & Proof of PAN are furnished. [For details please refer section on Knowledge Centre - PAN / KYC Norms]
- KYC acknowledgement letter is enclosed. [For details please refer section on Knowledge Centre - KYC Norms]
- Your investment is not less than the minimum investment amount.
- Your application is completed and signed by all applicants.
- Investors are urged to draw the Payment Instrument in favour of "Name of the Scheme A/c. First Investor Name" OR "Name of the Scheme A/c. Permanent Account Number" OR "Name of the Scheme A/c. Folio Number" and should be crossed "Account Payee Only".
- On the reverse of each payment Instrument submitted write the First Applicant's name, PAN and the Application Form number.

For Minor Applicants :

Please ensure that :

- The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- Only Natural Guardian (i.e. Father or Mother) or Court Appointed Legal Guardian shall be permitted.
- As a mandatory requirement a photocopy of Supporting document evidencing relationship of Guardian with Minor and Date of Birth of Minor is to be submitted along with the Application Form. Such Document can be a copy of Birth Certificate of the Minor, School Leaving Certificate / Mark sheet issued by Higher Secondary Board of Respective States, ICSE, CBSE etc. or Passport of the Minor or such other suitable proof evidencing the relationship with the Minor and the date of birth, duly attested by a Gazetted Officer or Bank Manager.
- In case of Legal Guardian, supporting documentary evidence shall be submitted duly attested by a Gazetted Officer or Bank Manager.

Accompanying documents:

Please submit the following documents with your application (where applicable). All documents should be true copies certified by a director / Trustee / Company Secretary / Authorised Signatory.

Documents	Companies	Societies	Partnership Firms	Investments through POA	Trusts	NRI	FIs	Individual
Resolution / Authorisation to invest	✓	✓	✓		✓		✓	
List of Authorised Signatories with Specimen signature(s)	✓	✓	✓	✓	✓	✓	✓	
Memorandum & Articles of Association	✓							
Trust Deed					✓			
Bye-laws		✓						
Partnership Deed			✓					
Overseas Auditors' Certificate							✓	
Notarised Power of Attorney				✓				
Bank confirmation of Non-Resident Account Type / FIRC						✓		
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓
Copy of KYC acknowledgement letter	✓	✓	✓	✓	✓	✓	✓	✓

Please Note: Principal Mutual Fund reserves the right to call for additional documents as it may deem fit. Decision of Principal Mutual Fund in this regard shall be final and binding.