

Unaudited Financial Results of the Schemes of Principal Mutual Fund for the Half Year Ended September 30, 2007 (Contd.)

14. Details of investment made by any scheme in company which has invested in any scheme of Principal Mutual Fund in excess of 5% of net assets of that scheme in terms of Regulation 25(11)					
Company Holding more than 5% of the NAV of any scheme in terms of Regulation 25(11)	Scheme by which investment is made in securities of the Company	Aggregate investment by schemes in securities of the company during 01/09/2005 to 30/09/2007		Aggregate Investment outstanding as on September 30, 2007 (At Market Value)	
		Nature of Investment	(Rs. in Lacs)	Nature of Investment	(Rs. in Lacs)
ALLAHABAD BANK	TAX96, PDYF	Equity Shares	500.89	Equity Shares	-
	FR-SMP, LIQUID, FMP-19, FR-FMP	Term Deposit	25,500.00	Term Deposit	-
	FR-SMP, INCOME, LIQUID, MINCOM, STP, FMP-21, FMP-23, FMP-24, FMP-26, FMP-29, FMP-32, FMP-33, FMP-31, FMP-35, FMP-36, FMP-37, FR-FMP	Certificate of Deposit	168,296.94	Certificate of Deposit	38,407.75
AIA ENGINEERING	FOCUS, GROWTH, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, JUNIOR, INFRA, LTFE, CHILD1	Equity Shares	3,211.63	Equity Shares	2,308.57
BAJAJ AUTO	GROWTH, INDEX, MINCOM, MIPPL, RIF, LCAP, FOCUS	Equity Shares	4,331.52	Equity Shares	6.85
BALAJI TELEFILMS	MINCOM, PBF, PDYF, RIF, TAX96, JUNIOR, INFRA, FDF, LTFE, . GROWTH	Equity Shares	4,232.32	Equity Shares	4,204.90
BHARTI FORGE	GROWTH, MINCOM, MIPPL, RIF, TAX96, JUNIOR, FOCUS	Equity Shares	2,893.78	Equity Shares	-
BHARTI AIRTEL	INDEX, MINCOM, MIPPL, PBF, RIF, LCAP, INFRA, FDF, GROWTH	Equity Shares	12,853.33	Equity Shares	4,474.07
BIRLA GLOBAL FINANCE	CHILD1	Equity Shares	7.61	Equity Shares	-
	FR-SMP, LIQUID, STP, FMP-21, FMP-34, FMP-31, FMP-35, FR-FMP	Debt	31,500.00	Debt	-
BRITANNIA INDUSTRIES	PTSF	Equity Shares	77.52	Equity Shares	-
	LIQUID	Debt	2,916.03	Debt	-
CORPORATION BANK	JUNIOR, INFRA, FOCUS	Equity Shares	1,074.47	Equity Shares	289.43
	FR-FMP	Term Deposit	20,000.00	Term Deposit	-
	LIQUID, STP, FR-FMP	Certificate of Deposit	5,685.66	Certificate of Deposit	460.21
DSP MERRILL LYNCH CAPITAL	FR-SMP, INCOME, LIQUID, FR-FMP, STP, FMP-22, FMP-24, FMP-31, FMP-33, FMP-36	Debt	338,548.71	Debt	24,806.92
EXPORT IMPORT BANK OF INDIA	FR-SMP, INCOME, LIQUID, PBF, STP, FR-FMP/FMP-22/FMP-35	Debt	53,178.21	Debt	9,461.70
GLAXO INDIA	INDEX, PDYF, RIF, DNIT95	Equity Shares	953.50	Equity Shares	123.96
GRASIM INDUSTRIES	GROWTH, INDEX, MINCOM, MIPPL, PDYF, RIF, TAX96, JUNIOR, LCAP, INFRA, FDF, LTFE, CHILD1	Equity Shares	10,158.68	Equity Shares	6,018.36
	LIQUID, FR-SMP	Debt	8,000.00	Debt	-
ULTRATECH CEMENT**	JUNIOR	Equity Shares	315.75	Equity Shares	345.61
	LIQUID, FR-FMP	Debt	10,000.00	Debt	-
GLOBAL TRADE FINANCE	FR-SMP, LIQUID, STP, FR-FMP	Debt	44,500.00	Debt	-
HCL TECHNOLOGIES	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, LCAP, INFRA, DNIT95	Equity Shares	13,369.46	Equity Shares	413.86
	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, LCAP, INFRA, FDF, CHILD1	Equity Shares	13,494.81	Equity Shares	6,173.22
HDFC BANK	FMP-21	Debt	2,461.47	Debt	-
	LIQUID, FR-SMP	Term Deposit	18,863.00	Term Deposit	-
	FR-SMP, INCOME, LIQUID, STP, LTFE, FR-FMP	Certificate of Deposit	50,157.79	Certificate of Deposit	-
HERO HONDA MOTORS	FOCUS, GROWTH, INDEX, PDYF, RIF, JUNIOR, LCAP, DNIT95	Equity Shares	7,413.17	Equity Shares	303.11
HINDALCO INDUSTRIES	LIQUID	Debt	4,000.00	Debt	-
	GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, JUNIOR, LCAP, INFRA, FDF, DNIT95	Equity Shares	9,336.41	Equity Shares	5.87
HINDUSTAN UNILEVER	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PDYF, PTF, RIF, LCAP, FDF, DNIT95	Equity Shares	7,679.48	Equity Shares	12.86
HINDUSTAN ZINC	RIF, LCAP, INFRA, GROWTH	Equity Shares	2,830.62	Equity Shares	1,114.05
ICI INDIA	GROWTH, MINCOM, MIPPL, PDYF, FOCUS	Equity Shares	792.10	Equity Shares	863.93
ICICI BANK	DNIT95, FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PDYF, PTF, RIF, TAX96, LCAP, INFRA, FDF, LTFE, CHILD1	Equity Shares	16,533.66	Equity Shares	3,466.50
	LIQUID, FR-FMP, FR-SMP, FMP-30, FMP-29, INCOME	Debt	19,719.79	Debt	2,489.68
	FR-SMP, INCOME, LIQUID, PBF, STP, FMP-21, FMP-23, FMP-24, FMP-25, FMP-26, FMP-27, FMP-29, FMP-32, FMP-31, FMP-35, LTFE, FMP-36, FMP-37, FR-FMP	Certificate of Deposit	199,813.35	Certificate of Deposit	46,590.17
INDUSTRIAL DEVELOPMENT BANK OF INDIA	INCOME, LIQUID, FR-SMP, STP, FR-FMP	Debt	11,912.16	Debt	5,963.39
	FR-SMP, LIQUID, FR-FMP	Term Deposit	16,000.00	Term Deposit	-
	FR-SMP, GROWTH, INCOME, LIQUID, STP, TAX96, LCAP, INFRA, LTFE, FR-FMP	Certificate of Deposit	81,219.71	Certificate of Deposit	24,118.86
INFOSYS TECHNOLOGIES	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, LCAP, INFRA, FDF, DNIT95	Equity Shares	20,127.52	Equity Shares	5,762.38
INFRASTRUCTURE DEVELOPMENT FINANCE COMPANY	TAX96, JUNIOR, RIF	Equity Shares	1,860.42	Equity Shares	207.34
	FR-SMP, INCOME, LIQUID, STP, FMP-29, FMP-33, FR-FMP	Debt	78,650.36	Debt	8,799.62
ITC	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, RIF, TAX96, LCAP, FDF, LTFE, DNIT95	Equity Shares	14,321.59	Equity Shares	4,081.68
INFOTECH ENTERPRISES LTD	FOCUS, MINCOM, MIPPL, PTF, RIF, TAX96, JUNIOR, LTFE, LTFE52, CHILD1	Equity Shares	7,047.57	Equity Shares	3,965.50
THE JAMMU & KASHMIR BANK	FR-SMP, INCOME, LIQUID, STP, INFRA, FMP-24, FMP-26, FR-FMP	Certificate of Deposit	105,355.61	Certificate of Deposit	37,801.28
KIRLOSKAR OIL	LIQUID	Debt	5,000.00	Debt	-
LARSEN & TOUBRO	GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, LCAP, INFRA, FDF, FOCUS	Equity Shares	7,994.18	Equity Shares	4,600.35
L&T INFRASTRUCTURE DEVELOPMENT PROJECTS ^	LIQUID, FR-SMP	Debt	10,000.00	Debt	-
		Equity Shares	-	Equity Shares	-
MAHINDRA & MAHINDRA	GROWTH, INDEX, MINCOM, MIPPL, PBF, PDYF, RIF, TAX96, JUNIOR, LCAP, FDF, LTFE, FOCUS	Equity Shares	10,706.13	Equity Shares	5.46
MARUTI UDYOG	GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, LCAP, FDF, FOCUS	Equity Shares	10,278.81	Equity Shares	1,527.73
MAX INDIA	TAX96, JUNIOR, PTF	Equity Shares	504.40	Equity Shares	-
NESTLE INDIA	PDYF, RIF, DNIT95	Equity Shares	1,316.37	Equity Shares	1,321.67
PATNI COMPUTERS SYSTEMS	JUNIOR, DNIT95	Equity Shares	791.32	Equity Shares	-
PTC INDIA	PTSF, RIF, CHILD1	Equity Shares	531.91	Equity Shares	380.34
PUNJAB NATIONAL BANK	INDEX, PBF, PDYF, DNIT95	Equity Shares	537.85	Equity Shares	58.98
	INCOME, MINCOM, MIPPL, PBF, STP, FDF, CHILD1	Debt	10,202.42	Debt	1,199.83
	FR-SMP, LIQUID, STP, TAX96, FMP-26, FR-FMP	Term Deposit	76,746.00	Term Deposit	495.00
	FR-SMP, LIQUID, STP, FMP-32, FMP-28, FMP-38, FR-FMP	Certificate of Deposit	106,995.35	Certificate of Deposit	34,743.52
RAYMOND	JUNIOR, LCAP, LTFE, RIF	Equity Shares	2,662.59	Equity Shares	484.90
	DNIT95, FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, LCAP, INFRA, CHILD1	Equity Shares	6,910.40	Equity Shares	7.33
RELIANCE ENERGY	LIQUID, FR-SMP	Debt	3,953.82	Debt	-
	DNIT95, FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, LCAP, INFRA, FDF, CHILD1	Equity Shares	21,050.82	Equity Shares	6,111.82
RELIANCE INDUSTRIES	FOCUS, GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, TAX96, LCAP, INFRA, FDF, CHILD1	Equity Shares	750.19	Equity Shares	17.79
RELIANCE PETROLEUM	GROWTH, INDEX, RIF, TAX96, JUNIOR, LCAP, INFRA, FOCUS	Equity Shares	1,404.56	Equity Shares	1,389.20
SINTEX INDUSTRIES	LTFE52, PTF	Equity Shares	2,508.85	Equity Shares	-
	FR-SMP, LIQUID, FR-FMP	Debt	2,508.85	Debt	-
SRF LIMITED	MINCOM, MIPPL, PDYF, GROWTH	Equity Shares	2,370.16	Equity Shares	-
	FR-SMP, FMP-30, FR-FMP	Debt	2,500.00	Debt	-
THE SOUTH INDIAN BANK	PTSF, TAX96, JUNIOR, CHILD1	Equity Shares	455.34	Equity Shares	-
STATE BANK OF HYDERABAD	FR-SMP, LIQUID, STP, FMP-27, FR-FMP	Certificate of Deposit	14,485.56	Certificate of Deposit	2,794.03
STERLITE INDUSTRIES	INDEX, MINCOM, MIPPL, PTF, RIF, TAX96, LCAP, INFRA, GROWTH	Equity Shares	6,901.60	Equity Shares	13.60
	STP, LIQUID	Debt	7,000.00	Debt	-
SUN PHARMACEUTICAL INDUSTRIES	INDEX, LCAP, GROWTH	Equity Shares	1,051.71	Equity Shares	5.40
	LIQUID	Debt	8,000.00	Debt	-
TATA CHEMICALS	GROWTH, INDEX, MINCOM, MIPPL, PBF, PDYF, LCAP, LTFE52, DNIT95	Equity Shares	6,930.33	Equity Shares	3,070.12
TATA CONSULTANCY SERVICES	GROWTH, INDEX, MINCOM, MIPPL, PBF, PTF, RIF, LCAP, INFRA, FDF, FOCUS	Equity Shares	10,253.03	Equity Shares	422.88
TATA IRON & STEEL COMPANY	GROWTH, INDEX, MINCOM, MIPPL, PBF, PDYF, RIF, LCAP, INFRA, DNIT95	Equity Shares	9,030.44	Equity Shares	653.26
TATA SONS	STP, FR-FMP, LIQUID	Debt	12,540.84	Debt	11,068.61
TATA TEA	INDEX	Equity Shares	0.40	Equity Shares	-
THERMAX	GROWTH, PBF, PDYF, PTF, TAX96, INFRA, FOCUS	Equity Shares	2,041.58	Equity Shares	814.70
VIDESH SANCHAR NIGAM	INDEX	Equity Shares	12.03	Equity Shares	3.72
UCC BANK	FR-SMP, INCOME, LIQUID, STP, FMP-21, FMP-20, FMP-23, FMP-34, FMP-35, LTFE, FR-FMP	Certificate of Deposit	108,352.70	Certificate of Deposit	38,854.97
UTI BANK	FOCUS, GROWTH, MINCOM, MIPPL, PBF, JUNIOR, INFRA, DNIT95	Equity Shares	5,988.61	Equity Shares	802.83
	FR-SMP, LIQUID, FMP-36, FMP-37, FR-FMP	Debt	26,321.41	Debt	12,576.84
	FR-SMP, LIQUID, FMP-30, FMP-32, FMP-34, FMP-35, FR-FMP	Term Deposit	28,500.00	Term Deposit	-
	FR-SMP, LIQUID, STP, FMP-20, FMP-27, FR-FMP	Certificate of Deposit	66,208.74	Certificate of Deposit	26,156.96
UNION BANK OF INDIA	JUNIOR	Equity Shares	595.82	Equity Shares	-
	STP, LIQUID	Term Deposit	7,500.00	Term Deposit	-
	FR-SMP, INCOME, LIQUID, PBF, STP, FMP-26, FMP-32, FMP-37, FMP-38, FR-FMP	Certificate of Deposit	127,054.57	Certificate of Deposit	46,772.46
WIPRO	GROWTH, INDEX, MINCOM, MIPPL, PBF, RIF, LCAP, INFRA, FDF, FOCUS	Equity Shares	12,214.09	Equity Shares	17.72
YES BANK	FR-SMP, INCOME, LIQUID, STP, FMP-33, FMP-36, FR-FMP	Debt	31,128.97	Debt	29,033.99
	FMP-35	Term Deposit	5,000.00	Term Deposit	-
	FR-SMP, LIQUID, FR-FMP	Certificate of Deposit	10,890.40	Certificate of Deposit	4,611.41

*L&T INFRASTRUCTURE DEVELOPMENT PROJECTS LTD is a subsidiary of L&T. **Ultratech Cement is a subsidiary of Grasim Industries Limited. Most of the above securities were purchased from the open secondary/primary market at relevant market/offer prices over a period of time based on the approved investment strategy. All the securities pertain to highly rated blue-chip companies. The AMC is of the view that the above investments are considered sound and in line with the investment objective of the relevant schemes. **This is an aggregate of all investments made during the above mentioned period in accordance with Regulation 25(11) without considering sale/redemptions and interscheme transactions, which might have occurred.

(Rs. in crores)

Name of the Associate	Instrument	CHILD1	DFD	FOCUS	FR-FMP	FR-SMP	GROWTH	INCOME	INDEX	INFRA	LCAP	LIQUID	MINCOM	MIPPL	PBF	PTSF	RIF	STP
Larsen & Toubro Ltd	Equity Shares	Aggregate Cost	-	-	-	-	-	-	0.08	-	5.07	-	-	-	5.85	4.97	1.13	-
	Floating Rate Bonds / Notes	Mkt Value as on 30/09/07	-	0.31	0.56	-	5.25	-	0.21	6.55	16.68	-	-	-	3.58	5.34	7.52	-
Punjab National Bank	Fixed Deposits	Aggregate Cost	-	-	-	4.95	-	-	-	-	-	-	-	-	-	-	-	-
		Mkt Value as on 30/09/07	-	-	-	4.95	-	-	-	-	-	-	-	-	-	-	-	-
	Certificate of Deposits	Aggregate Cost	-	-	-	340.97	65.08	-	-	-	-	215.18	-	-	-	-	-	14.01
		Mkt Value as on 30/09/07	-	-	-	158.26	27.87	-	-	-	-	161.31	-	-	-	-	-	0.00
Shriram Transport Finance Co.Ltd	Equity Shares	Aggregate Cost	-	-	-	-	-	-	0.02	-	-	-	-	-	-	-	-	-
		Mkt Value as on 30/09/07	-	-	-	-	-	-	0.05	-	-	-	-	-	0.54	-	-	-
	NCD	Aggregate Cost	4.03	1.00	-	-	-	44.36	-	-	-	2.02	2.02	3.02	-	-	-	-
	Mkt Value as on 30/09/07	2.02	0.00	-	-	-	6.96	-	-	-	1.01	1.01	1.01	-	-	-	-	
Commercial Paper	Aggregate Cost	-	-	-	9.83	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mkt Value as on 30/09/07	-	-	-	0.00	-	-	-	-	-	-	-	-	-	-	-	-	-
Floating Rate Bonds / Notes	Aggregate Cost	-	-	-	-	-	-	-	-	-	50.00	-	-	-	-	-	-	-
	Mkt Value as on 30/09/07	-	-	-	-	-	-	-	-	-	0.00	-	-	-	-	-	-	-

Scheme Codes	Scheme
CHILD1	PRINCIPAL CHILD BENEFIT FUND
FMP-19	PRINCIPAL DEPOSIT FUND - 371 DAYS FIXED MATURITY PLAN - MAR 2005
FMP-20	PRINCIPAL DEPOSIT FUND - 371 DAYS FIXED MATURITY PLAN - OCT 2005
FMP-21	PRINCIPAL DEPOSIT FUND - 91 DAYS FIXED MATURITY PLAN - NOV 2005
FMP-22	PRINCIPAL PNB FIXED MATURITY PLAN - 91 DAYS - SERIES I
FMP-23	PRINCIPAL PNB FIXED MATURITY PLAN - 460 DAYS - SERIES I
FMP-24	PRINCIPAL PNB FIXED MATURITY PLAN - 91 DAYS - SERIES II
FMP-25	PRINCIPAL PNB FIXED MATURITY PLAN - 385 DAYS - SERIES I
FMP-26	PRINCIPAL PNB FIXED MATURITY PLAN - 91 DAYS - SERIES III
FMP-27	PRINCIPAL PNB FIXED MATURITY PLAN - 385 DAYS - SERIES II
FMP-28	PRINCIPAL PNB FIXED MATURITY PLAN - 91 DAYS - SERIES IV
FOCUS	PRINCIPAL FOCUSSED ADVANTAGE FUND
FR-FMP	PRINCIPAL FLOATING RATE FUND - FLEXIBLE MATURITY PLAN
FR-SMP	PRINCIPAL FLOATING RATE FUND - SHORT MATURITY PLAN
GILT-I	PRINCIPAL GOVERNMENT SECURITIES FUND - INVESTMENT PLAN
GILT-P	PRINCIPAL GOVERNMENT SECURITIES FUND - PROVIDENT FUND PLAN
GILT-S	PRINCIPAL GOVERNMENT SECURITIES FUND - SAVINGS PLAN
GOF	PRINCIPAL GLOBAL OPPORTUNITIES FUND
GROWTH	PRINCIPAL GROWTH FUND
INCOME	PRINCIPAL INCOME FUND
INDEX	PRINCIPAL INDEX FUND
INFRA	PRINCIPAL INFRASTRUCTURE & SERVICES INDUSTRIES FUND
JUNIOR	PRINCIPAL JUNIOR CAP FUND
LCAP	PRINCIPAL LARGE CAP FUND
LIQUID	PRINCIPAL CASH MANAGEMENT FUND - LIQUID OPTION
MINCOM	PRINCIPAL MONTHLY INCOME PLAN
MIPPL	PRINCIPAL MONTHLY INCOME PLAN - MIP PLUS
MVBF	PRINCIPAL MONEY VALUE BOND FUND
PBF	PRINCIPAL BALANCED FUND
PDYF	PRINCIPAL DIVIDEND YIELD FUND
PTSF	PRINCIPAL PERSONAL TAX SAVER FUND
RIF	PRINCIPAL RESURGENT INDIA EQUITY FUND
STP	PRINCIPAL INCOME FUND - SHORT TERM PLAN
TAX96	PRINCIPAL TAX SAVINGS FUND
FDF	PRINCIPAL PNB FIXED DURATION FUND - 3 YEAR PLAN - SERIES I
FMP-29	PRINCIPAL PNB FIXED MATURITY PLAN - 460 DAYS - SERIES I
FMP-30	PRINCIPAL PNB FIXED MATURITY PLAN - 385 DAYS - SERIES V
FMP-31	PRINCIPAL PNB FIXED MATURITY PLAN - 385 DAYS -