

PRINCIPAL GROWTH FUND (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Equity Shares					
HDFC Bank Ltd.	INE040A01026	Banks	142,365	2,685.15	4.27
ICICI Bank Ltd.	INE090A01021	Banks	778,587	2,167.20	3.44
Infosys Ltd.	INE009A01021	Software	164,142	1,857.76	2.95
Reliance Industries Ltd.	INE002A01018	Petroleum Products	207,332	1,830.12	2.91
Larsen & Toubro Ltd.	INE018A01030	Construction Project	137,696	1,805.06	2.87
Housing Development Finance Corporation Ltd.	INE001A01036	Finance	93,303	1,703.34	2.71
ITC Ltd.	INE154A01025	Consumer Non Durables	581,201	1,484.97	2.36
Bajaj Electricals Ltd.	INE193E01025	Consumer Durables	262,209	1,479.12	2.35
HCL Technologies Ltd.	INE860A01027	Software	148,986	1,443.08	2.29
State Bank of India	INE062A01020	Banks	543,759	1,358.85	2.16
United Spirits Ltd.	INE854D01016	Consumer Non Durables	41,692	1,304.81	2.07
NTPC Ltd.	INE733E01010	Power	737,700	1,251.88	1.99
KEC International Ltd.	INE389H01022	Construction Project	307,552	1,198.68	1.90
Tata Consultancy Services Ltd.	INE467B01029	Software	41,230	1,174.70	1.87
Tata Power Company Ltd.	INE245A01021	Power	1,450,000	1,145.50	1.82
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	18,416	1,123.39	1.78
Bombay Burmah Trading Corporation Ltd.	INE050A01025	Consumer Non Durables	95,728	1,120.78	1.77
Phillips Carbon Black Ltd.	INE602A01015	Chemicals	102,619	1,114.03	1.77
Chambal Fertilisers and Chemicals Ltd.	INE085A01013	Fertilisers	663,350	1,091.69	1.73
Dabur India Ltd.	INE016A01026	Consumer Non Durables	329,351	1,081.59	1.72
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	21,639	1,075.59	1.71
Asahi India Glass Ltd.	INE439A01020	Auto Ancillaries	323,315	1,073.41	1.71
Maruti Suzuki India Ltd.	INE585B01010	Auto	12,016	1,064.75	1.69
Jain Irrigation Systems Ltd.	INE175A01038	Industrial Products	944,272	1,005.18	1.60
Ramkrishna Forgings Ltd.	INE399G01015	Industrial Products	137,252	964.40	1.53
The India Cements Ltd.	INE383A01012	Cement	679,284	962.89	1.53
Rain Industries Ltd.	INE855B01012	Chemicals	238,963	895.27	1.42
Hero MotoCorp Ltd.	INE158A01026	Auto	25,122	890.02	1.41
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	82,252	861.84	1.37
Kalpataru Power Transmission Ltd.	INE220B01022	Power	169,704	821.79	1.31
Coal India Ltd.	INE522F01014	Minerals/Mining	276,857	784.34	1.25
Rico Auto Industries Ltd.	INE209B01025	Auto Ancillaries	969,860	759.89	1.21
Interglobe Aviation Ltd.	INE646L01027	Transportation	58,709	757.61	1.20
The Indian Hotels Company Ltd.	INE053A01029	Hotels, Resorts and Other Recreational Activities	579,907	750.40	1.19
Mahindra & Mahindra Ltd.	INE101A01026	Auto	100,125	739.82	1.18
Shriram Transport Finance Company Ltd.	INE721A01013	Finance	48,012	691.18	1.10
Dishman Carbogen Amcis Ltd.	INE385W01011	Pharmaceuticals	204,217	654.21	1.04
Kanur Vysya Bank Ltd.	INE036D01028	Banks	623,711	626.52	1.00
Bank of Baroda	INE028A01039	Banks	434,753	618.65	0.98
Dewan Housing Finance Corporation Ltd.	INE202B01012	Finance	120,593	615.21	0.98
Hindustan Zinc Ltd.	INE267A01025	Non - Ferrous Metals	200,927	603.89	0.96
The Federal Bank Ltd.	INE171A01029	Banks	676,878	603.78	0.96
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	121,679	602.43	0.95
Birla Corporation Ltd.	INE340A01012	Cement	83,502	596.79	0.95
Tata Motors Ltd.	INE155A01022	Auto	177,516	580.21	0.92
Abbott India Ltd.	INE358A01016	Pharmaceuticals	10,546	575.07	0.91
Raymond Ltd.	INE301A01014	Textile Products	63,940	574.02	0.91
City Union Bank Ltd.	INE491A01021	Banks	323,668	558.17	0.89
Ultra Tech Cement Ltd.	INE481G01011	Cement	13,982	552.29	0.88
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	97,828	545.73	0.87
JK Cement Ltd.	INE823G01014	Cement	53,292	540.94	0.86
Axis Bank Ltd.	INE238A01034	Banks	97,342	496.93	0.79
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	122,695	489.18	0.78
Gayatri Projects Ltd.	INE396H01023	Construction	236,000	474.12	0.75
Gujarat Narmada Valley Fertilizers & Chemicals Ltd.	INE113A01013	Chemicals	125,479	456.68	0.73
Vijaya Bank	INE705A01016	Banks	853,115	444.05	0.71
National Aluminium Company Ltd.	INE139A01034	Non - Ferrous Metals	654,548	434.95	0.69
Canara Bank	INE476A01014	Banks	154,999	409.04	0.65
CL Educate Ltd.	INE201M01011	Diversified Consumer Services	199,566	378.18	0.60
Syndicate Bank	INE667A01018	Banks	666,967	369.83	0.59
Hindustan Construction Company Ltd.	INE549A01026	Construction Project	1,365,791	303.21	0.48
Rattanindia Power Ltd.	INE399K01017	Power	3,622,594	186.56	0.30
Milestone Global Ltd. **	INE151H01018	Construction	100,000	5.54	0.01
Chennai Super Kings Ltd. @**	INE852S01026	Media & Entertainment	511,578	0.00	***
<b>Total</b>				<b>56,816.25</b>	<b>90.30</b>
<b>B) Privately Placed - Unlisted \$</b>					
i) Equity Shares					
Balmer Lawrie Freight Containers Ltd.	-	Travel	54,000	0.00	***
Sangam Health Care Products Ltd.	INE431E01011	Health Care Equipment	200,000	0.00	***
Virtual Dynamics Software Ltd.	INE406B01019	IT Consulting & Services	176,305	0.00	***
Noble Brothers Impex Ltd.	-	Personal Products	93,200	0.00	***
Precision Fasteners Ltd.	INE604A01011	Auto Ancillaries	200	0.00	***
Crescent Finstock Ltd.	INE147E01013	Diversified Financial Services	39,500	0.00	***
Mukerian Papers Ltd.	INE348C01011	Paper Products	50,800	0.00	***
<b>Total</b>				<b>0.00</b>	<b>***</b>
<b>II. Money Market Instruments</b>					
i) Reverse Repo and CBLO					
			5,936.86	9.43	
<b>Total</b>				<b>5,936.86</b>	<b>9.43</b>
<b>III. Investment Funds/Mutual Funds</b>					
Principal Short Term Income Fund - Direct Plan - Growth Option					
	INF173K01GP0		2,014,992	638.41	1.01
<b>Total</b>				<b>638.41</b>	<b>1.01</b>
<b>IV. Cash &amp; Cash Equivalents</b>					
<b>V. Other Receivables - (Payables)</b>					
			21.46	0.03	
			(468.72)	(0.77)	
<b>Grand Total</b>				<b>62,944.27</b>	<b>100.00</b>

PRINCIPAL EQUITY SAVINGS FUND (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry/ Rating	Quantity	Market value (₹ in lakhs)	% of NAV	Derivative % of NAV
<b>I. Equity &amp; Equity Related Instruments</b>						
<b>A) Listed - awaiting listing on the stock exchanges</b>						
i) Equity Shares						
Larsen & Toubro Ltd.	INE018A01030	Construction Project	10,200	133.71	1.86	
Housing Development Finance Corporation Ltd.	INE001A01036	Finance	6,600	120.49	1.68	
Infosys Ltd.	INE009A01021	Software	10,400	117.71	1.64	
HDFC Bank Ltd.	INE040A01026	Banks	6,200	116.94	1.63	
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	1,809	110.35	1.54	
Coal India Ltd.	INE522F01014	Minerals/Mining	38,300	107.74	1.50	
Mahindra & Mahindra Ltd.	INE101A01026	Auto	12,656	93.52	1.30	
HCL Technologies Ltd.	INE860A01027	Software	7,550	73.13	1.02	
Dabur India Ltd.	INE016A01026	Consumer Non Durables	22,000	72.25	1.01	
Tata Power Company Ltd.	INE245A01021	Power	90,000	71.10	0.99	
NTPC Ltd.	INE733E01010	Power	40,514	68.75	0.96	
Tata Consultancy Services Ltd.	INE467B01029	Software	2,350	66.96	0.93	
ITC Ltd.	INE154A01025	Consumer Non Durables	25,834	66.01	0.92	
Interglobe Aviation Ltd.	INE646L01027	Transportation	5,032	64.94	0.90	
Mahindra & Mahindra Financial Services Ltd.	INE774D01024	Finance	13,400	62.08	0.86	
United Spirits Ltd.	INE854D01016	Consumer Non Durables	1,970	61.65	0.86	
Zee Entertainment Enterprises Ltd.	INE256A01028	Media & Entertainment	10,400	59.85	0.83	
Power Grid Corporation of India Ltd.	INE752E01010	Power	30,000	57.98	0.81	
Hero MotoCorp Ltd.	INE158A01026	Auto	1,506	53.35	0.74	
Bajaj Auto Ltd.	INE917I01010	Auto	1,930	52.97	0.74	
State Bank of India	INE062A01020	Banks	20,908	52.25	0.73	
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	1,027	51.05	0.71	
ICICI Bank Ltd.	INE090A01021	Banks	17,966	50.01	0.70	
Steel Authority of India Ltd.	INE114A01011	Ferrous Metals	66,000	46.33	0.65	
Axis Bank Ltd.	INE238A01034	Banks	6,645	33.92	0.47	
Maruti Suzuki India Ltd.	INE585B01010	Auto	378	33.49	0.47	
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	5,766	32.17	0.45	
<b>Total</b>				<b>1,930.68</b>	<b>26.90</b>	
ii) Cash Future Arbitrage						
Dewan Housing Finance Corporation Ltd.	INE202B01012	Finance	102,000	520.35	7.25	
Dewan Housing Finance Corporation Ltd. - Apr. 2018	-	Stock Future	(102,000)	(523.52)	(7.29)	
CESC Ltd.	INE486A01013	Power	28,050	271.02	3.78	
CESC Ltd. - Apr. 2018	-	Stock Future	(28,050)	(271.99)	(3.79)	
Century Textiles & Industries Ltd.	INE055A01016	Cement	22,000	251.37	3.50	
Century Textiles & Industries Ltd. - Apr. 2018	-	Stock Future	(22,000)	(252.20)	(3.51)	
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	56,100	223.67	3.12	
Bharti Airtel Ltd. - Apr. 2018	-	Stock Future	(56,100)	(224.43)	(3.13)	
Ashok Leyland Ltd.	INE208A01029	Auto	133,000	193.45	2.70	
Ashok Leyland Ltd. - Apr. 2018	-	Stock Future	(133,000)	(193.91)	(2.70)	
Maruti Suzuki India Ltd.	INE585B01010	Auto	1,875	166.15	2.32	
Maruti Suzuki India Ltd. - Apr. 2018	-	Stock Future	(1,875)	(166.97)	(2.33)	
Tata Motors Ltd. A-DVR	INE9155A01020	Auto	82,500	151.22	2.11	
Tata Motors Ltd. A-DVR - Apr. 2018	-	Stock Future	(82,500)	(151.92)	(2.12)	
Jain Irrigation Systems Ltd.	INE175A01038	Industrial Products	99,000	105.39	1.47	
Jain Irrigation Systems Ltd. - Apr. 2018	-	Stock Future	(99,000)	(106.03)	(1.48)	
Lupin Ltd.	INE326A01037	Pharmaceuticals	13,200	97.13	1.35	
Lupin Ltd. - Apr. 2018	-	Stock Future	(13,200)	(97.68)	(1.36)	
Hindalco Industries Ltd.	INE038A01020	Non - Ferrous Metals	42,000	90.11	1.26	
Hindalco Industries Ltd. - Apr. 2018	-	Stock Future	(42,000)	(90.15)	(1.26)	
Bank of Baroda	INE028A01039	Banks	56,000	79.69	1.11	
Bank of Baroda - Apr. 2018	-	Stock Future	(56,000)	(79.88)	(1.11)	
State Bank of India	INE062A01020	Banks	30,000	74.97	1.04	
State Bank of India - Apr. 2018	-	Stock Future	(30,000)	(75.36)	(1.05)	
Dr. Reddy's Laboratories Ltd.	INE089A01023	Pharmaceuticals	3,500	72.82	1.01	
Dr. Reddy's Laboratories Ltd. - Apr. 2018	-	Stock Future	(3,500)	(73.26)	(1.02)	
Bata India Ltd.	INE176A01028	Consumer Durables	9,900	72.27	1.01	
Bata India Ltd. - Apr. 2018	-	Stock Future	(9,900)	(72.70)	(1.01)	
Reliance Infrastructure Ltd.	INE036A01016	Power	16,900	72.25	1.01	
Reliance Infrastructure Ltd. - Apr. 2018	-	Stock Future	(16,900)	(72.29)	(1.01)	
Exide Industries Ltd.	INE302A01020	Auto Ancillaries	32,000	71.31	0.99	
Exide Industries Ltd. - Apr. 2018	-	Stock Future	(32,000)	(71.38)	(0.99)	
Balrampur Chini Mills Ltd.	INE119A01028	Consumer Non Durables	91,000	68.84	0.96	
Balrampur Chini Mills Ltd. - Apr. 2018	-	Stock Future	(91,000)	(69.39)	(0.97)	
Sun TV Network Ltd.	INE424H01027	Media & Entertainment	6,000	50.92	0.71	
Sun TV Network Ltd. - Apr. 2018	-	Stock Future	(6,000)	(51.13)	(0.71)	
DLF Ltd.	INE271C01023	Construction	25,000	50.31	0.70	
DLF Ltd. - Apr. 2018	-	Stock Future	(25,000)	(50.61)	(0.71)	
Dish TV India Ltd.	INE836F01026	Media & Entertainment	70,000	49.88	0.69	
Dish TV India Ltd. - Apr. 2018	-	Stock Future	(70,000)	(50.12)	(0.70)	
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	8,800	49.09	0.68	
Aurobindo Pharma Ltd. - Apr. 2018	-	Stock Future	(8,800)	(49.35)	(0.69)	
IRB Infrastructure Developers Ltd.	INE821I01014	Construction	20,000	44.60	0.62	
IRB Infrastructure Developers Ltd. - Apr. 2018	-	Stock Future	(20,000)	(44.83)	(0.62)	
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	800	39.76	0.55	
Britannia Industries Ltd. - Apr. 2018	-	Stock Future	(800)	(39.76)	(0.55)	
Yes Bank Ltd.	INE528G01027	Banks	10,500	32.01	0.45	
Yes Bank Ltd. - Apr. 2018	-	Stock Future	(10,500)	(32.21)	(0.45)	
NBCC (India) Ltd.	INE095N01023	Construction	15,000	28.56	0.40	
NBCC (India) Ltd. - Apr. 2018	-	Stock Future	(15,000)	(28.72)	(0.40)	
Reliance Capital Ltd.	INE013A01015	Finance	3,000	12.70	0.18	
Reliance Capital Ltd. - Apr. 2018	-	Stock Future	(3,000)	(12.78)	(0.18)	
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	2,200	10.89	0.15	
Sun Pharmaceutical Industries Ltd. - Apr. 2018	-	Stock Future	(2,200)	(10.94)	(0.15)	
<b>Total</b>				<b>2,950.72</b>	<b>41.12</b>	<b>(41.29)</b>
<b>II. Debt Instruments</b>						
<b>A) Listed - Awaiting listing on the stock exchanges</b>						
i) Non-Convertible Debentures						
11.55% Suryoday Small Finance Bank Ltd.-M-28-Oct-2019 **	INE428Q08040	[ICRA]A-	200	202.98	2.83	
8.15% Piramal Enterprises Ltd.-M-14-Jun-2019 **	INE140A07344	[ICRA]AA	17	169.87	2.37	
8.80% Indiabulls Housing Finance Ltd.-M-10-Aug-2018 **	INE148I07F04	BWR AAA	13	130.30	1.82	
7.50% Power Finance Corporation Ltd.-M-17-Sep-2020	INE134E08IW3	CRISIL AAA	10	99.82	1.39	
10.35% Ess Kay Fincorp Ltd.-M-27-Dec-2019 **	INE124N07085	IND A (SO)	10	87.62</		



**PRINCIPAL DIVIDEND YIELD FUND**  
 (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Equity Shares					
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	75,280	1,003.75	7.87
ITC Ltd.	INE154A01025	Consumer Non Durables	255,108	651.80	5.11
Maruti Suzuki India Ltd.	INE585B01010	Auto	7,350	651.29	5.10
Infosys Ltd.	INE009A01021	Software	47,850	541.57	4.24
Hero MotoCorp Ltd.	INE158A01026	Auto	13,500	478.28	3.75
Reliance Industries Ltd.	INE002A01018	Petroleum Products	47,490	419.19	3.28
HDFC Bank Ltd.	INE040A01026	Banks	21,800	411.17	3.22
Dalimia Bharat Ltd.	INE439L01019	Cement	13,569	389.99	3.06
ICICI Bank Ltd.	INE090A01030	Banks	132,000	367.42	2.88
VST Industries Ltd.	INE710A01016	Consumer Non Durables	10,800	317.07	2.48
Ultratech Cement Ltd.	INE481G01011	Cement	7,800	308.10	2.41
Cyent Ltd.	INE136B01020	Software	43,500	302.26	2.37
Tata Consultancy Services Ltd.	INE467B01029	Software	10,260	292.32	2.29
Bharat Petroleum Corporation Ltd.	INE029A01011	Petroleum Products	66,900	285.96	2.24
Hindustan Petroleum Corporation Ltd.	INE094A01015	Petroleum Products	81,900	282.43	2.21
State Bank of India	INE062A01020	Banks	103,800	259.40	2.03
IndusInd Bank Ltd.	INE095A01012	Banks	12,900	231.78	1.82
Aarti Industries Ltd.	INE769A01020	Chemicals	19,608	224.86	1.76
Indraprastha Gas Ltd.	INE203G01027	Gas	78,000	217.97	1.71
Colgate Palmolive (India) Ltd.	INE259A01022	Consumer Non Durables	18,900	199.75	1.57
Bajaj Holdings & Investment Ltd.	INE118A01012	Finance	6,900	183.85	1.44
GAIL (India) Ltd.	INE129A01011	Gas	55,002	180.71	1.42
KEC International Ltd.	INE389H01022	Construction Project	45,600	177.73	1.39
Sasken Technologies Ltd.	INE231F01020	Software	24,900	174.37	1.37
Petronet LNG Ltd.	INE347G01014	Gas	73,800	170.44	1.34
Larsen & Toubro Ltd.	INE018A01030	Construction Project	12,750	167.14	1.31
HCL Technologies Ltd.	INE860A01027	Software	16,980	164.47	1.29
HEG Ltd.	INE545A01016	Industrial Products	4,938	157.28	1.23
Hindustan Zinc Ltd.	INE267A01025	Non - Ferrous Metals	51,900	155.99	1.22
MPF Ltd.	INE883A01011	Auto Ancillaries	207	150.10	1.18
PI Industries Ltd.	INE063J01030	Pesticides	16,800	148.71	1.17
Exide Industries Ltd.	INE302A01020	Auto Ancillaries	64,800	144.41	1.13
Hindalco Industries Ltd.	INE038A01020	Non - Ferrous Metals	66,900	143.53	1.12
Tamil Nadu Newsprint & Papers Ltd.	INE107A01015	Paper	40,500	140.88	1.10
Uflex Ltd.	INE156A01017	Industrial Products	40,500	138.55	1.09
SRF Ltd.	INE647A01010	Textile Products	7,080	138.42	1.08
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	12,600	132.02	1.03
Oil India Ltd.	INE274J01014	Oil	59,850	129.49	1.01
Asahi India Glass Ltd.	INE439A01020	Auto Ancillaries	37,608	124.86	0.98
Himadri Speciality Chemical Ltd.	INE019C01026	Chemicals	84,900	123.08	0.97
Bajaj Auto Ltd.	INE917I01010	Auto	4,500	123.51	0.97
City Union Bank Ltd.	INE491A01021	Banks	70,590	121.73	0.95
Phillips Carbon Black Ltd.	INE802A01015	Chemicals	10,800	117.24	0.92
Raymond Ltd.	INE301A01014	Textile Products	12,900	115.81	0.91
Indian Oil Corporation Ltd.	INE242A01010	Petroleum Products	64,800	114.44	0.90
Orient Electric Ltd. @**	INE142Z01019	Consumer Durables	118,800	113.62	0.89
Texmaco Rail & Engineering Ltd.	INE621L01012	Industrial Capital Goods	135,000	112.59	0.88
Ashok Leyland Ltd.	INE208A01029	Auto	77,400	112.58	0.88
NBCC (India) Ltd.	INE095N01023	Construction	57,000	108.53	0.85
Kirloskar Ferrous Industries Ltd.	INE884B01025	Ferrous Metals	128,283	108.47	0.85
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	27,000	107.65	0.84
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	18,900	105.43	0.83
Himatsingka Seide Ltd.	INE049A01027	Textile Products	27,000	94.35	0.74
Rama Steel Tubes Ltd.	INE230R01027	Ferrous Metals	33,000	58.33	0.46
Orient Paper & Industries Ltd.	INE592A01026	Consumer Durables	118,800	46.87	0.37
Apollo Tyres Ltd. #		Auto Ancillaries	16,500	0.00	***
<b>Total</b>				<b>12,444.55</b>	<b>97.51</b>
<b>B) Privately Placed - Unlisted \$</b>					
i) Equity Shares					
Timihannah Company Ltd. ~		Consumer Non Durables	50,000	0.00	***
Minerava Holdings Ltd. ~		Finance	20	0.00	***
Crystal Cable Industries Ltd. ~		Telecom - Services	50,000	0.00	***
Western Paques (India) Ltd. ~		Construction	900	0.00	***
Sandur Laminates Ltd. ~		Construction Project	200,000	0.00	***
<b>Total</b>				<b>0.00</b>	<b>***</b>
<b>II. Money Market Instruments</b>					
i) Reverse Repo and CBLO					
				345.60	2.71
<b>Total</b>				<b>345.60</b>	<b>2.71</b>
<b>III. Investment Funds/Mutual Funds</b>					
Principal Cash Management Fund - Growth Option	INF173K01DA9		1,318	22.21	0.17
<b>Total</b>				<b>22.21</b>	<b>0.17</b>
<b>IV. Cash &amp; Cash Equivalents</b>					
<b>V. Other Receivables - (Payables)</b>					
				<b>(63.56)</b>	<b>(0.48)</b>
<b>Grand Total</b>				<b>12,760.90</b>	<b>100.00</b>

**PRINCIPAL INDEX FUND - NIFTY**  
 (An open-ended Index Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Equity Shares					
HDFC Bank Ltd.	INE040A01026	Banks	9,187	173.28	9.85
Reliance Industries Ltd.	INE002A01018	Petroleum Products	15,492	136.75	7.78
Housing Development Finance Corporation Ltd.	INE001A01036	Finance	7,176	131.01	7.45
Infosys Ltd.	INE009A01021	Software	8,980	101.64	5.78
ITC Ltd.	INE154A01025	Consumer Non Durables	38,338	97.95	5.75
ICICI Bank Ltd.	INE090A01030	Banks	28,856	80.32	4.57
Larsen & Toubro Ltd.	INE018A01030	Construction Project	5,540	72.62	4.13
Tata Consultancy Services Ltd.	INE467B01029	Software	2,236	63.71	3.62
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	5,990	62.76	3.57
Maruti Suzuki India Ltd.	INE585B01010	Auto	597	52.90	3.01
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	3,210	42.80	2.43
State Bank of India	INE062A01020	Banks	16,679	41.68	2.37
IndusInd Bank Ltd.	INE095A01012	Banks	2,289	41.13	2.34
Axis Bank Ltd.	INE238A01034	Banks	7,144	36.47	2.07
Mahindra & Mahindra Ltd.	INE101A01026	Auto	4,190	30.96	1.76
Tata Motors Ltd.	INE155A01022	Auto	8,304	27.14	1.54
Yes Bank Ltd.	INE528G01027	Banks	8,259	25.18	1.43
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	4,959	24.55	1.40
HCL Technologies Ltd.	INE860A01027	Software	2,502	24.23	1.38
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	5,928	23.63	1.34
NTPC Ltd.	INE733E01010	Power	13,709	23.26	1.32
Vedanta Ltd.	INE205A01025	Non - Ferrous Metals	8,352	23.21	1.32
Asian Paints Ltd.	INE021A01026	Consumer Non Durables	2,026	22.70	1.29
Oil & Natural Gas Corporation Ltd.	INE213A01029	Oil	12,687	22.56	1.28
Hero MotoCorp Ltd.	INE158A01026	Auto	583	20.65	1.17
Tata Steel Ltd.	INE081A01012	Ferrous Metals	3,493	19.95	1.13
Power Grid Corporation of India Ltd.	INE752E01010	Power	9,874	19.08	1.08
Bajaj Finance Ltd.	INE296A01024	Finance	1,062	18.77	1.07
Ultratech Cement Ltd.	INE481G01011	Cement	469	18.53	1.05
Indiabulls Housing Finance Ltd.	INE148I01020	Finance	1,455	18.00	1.02
Tech Mahindra Ltd.	INE669C01036	Software	2,810	17.95	1.02
Eicher Motors Ltd.	INE066A01013	Auto	60	17.02	0.97
Bajaj Auto Ltd.	INE917I01010	Auto	611	16.77	0.95
Coal India Ltd.	INE522F01014	Minerals/Mining	5,858	16.60	0.94
Indian Oil Corporation Ltd.	INE242A01010	Petroleum Products	9,164	16.18	0.92
Bharat Petroleum Corporation Ltd.	INE029A01011	Petroleum Products	3,509	15.00	0.85
Wipro Ltd.	INE075A01022	Software	5,081	14.29	0.81
Zee Entertainment Enterprises Ltd.	INE256A01028	Media & Entertainment	2,460	14.16	0.80
Hindalco Industries Ltd.	INE038A01020	Non - Ferrous Metals	6,557	14.07	0.80
GAIL (India) Ltd.	INE129A01019	Gas	3,850	12.65	0.72
Cipla Ltd.	INE059A01026	Pharmaceuticals	2,279	12.43	0.71
Adani Ports and Special Economic Zone Ltd.	INE742F01042	Transportation	4,423	12.19	0.69
UPL Ltd.	INE628A01036	Pesticides	1,645	12.01	0.68
Bharti Infratel Ltd.	INE121J01017	Telecom - Equipment & Accessories	3,491	11.73	0.67
Hindustan Petroleum Corporation Ltd.	INE094A01015	Petroleum Products	3,355	11.57	0.66
Dr. Reddy's Laboratories Ltd.	INE089A01023	Pharmaceuticals	544	11.32	0.64
Lupin Ltd.	INE326A01037	Pharmaceuticals	1,076	7.92	0.45
<b>Total</b>				<b>1,731.28</b>	<b>98.40</b>
<b>II. Money Market Instruments</b>					
i) Reverse Repo and CBLO					
				7.57	0.43
<b>Total</b>				<b>7.57</b>	<b>0.43</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<b>IV. Other Receivables - (Payables)</b>					
				<b>0.88</b>	<b>0.05</b>
				<b>18.94</b>	<b>1.12</b>
<b>Grand Total</b>				<b>1,758.67</b>	<b>100.00</b>

**PRINCIPAL EMERGING BLUECHIP FUND**  
 (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Equity Shares					
Eicher Motors Ltd.	INE066A01013	Auto	15,516	4,402.30	2.66
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	78,900	3,921.80	2.37
IndusInd Bank Ltd.	INE095A01012	Banks	183,900	3,304.22	1.99
AIA Engineering Ltd.	INE212H01026	Industrial Products	213,900	3,083.15	1.86
HDFC Bank Ltd.	INE040A01026	Banks	135,600	2,557.55	1.54
MRF Ltd.	INE883A01011	Auto Ancillaries	3,510	2,545.22	1.54
Bajaj Finance Ltd.	INE296A01024	Finance	141,900	2,508.15	1.51
Cyent Ltd.	INE136B01020	Software	357,900	2,486.87	1.50
Navin Fluorine International Ltd.	INE048G01026	Chemicals	318,900	2,464.62	1.49
Petronet LNG Ltd.	INE347G01014	Gas	1,054,890	2,436.27	1.47
ICICI Bank Ltd.	INE090A01030	Banks	864,090	2,405.19	1.45
Volta Ltd.	INE226A01021	Construction Project	378,900	2,352.59	1.42
Indraprastha Gas Ltd.	INE203G01027	Gas	840,900	2,349.90	1.42
KEC International Ltd.	INE389H01022	Construction Project	600,900	2,342.01	1.41
Bajaj Electricals Ltd.	INE193E01025	Consumer Durables	414,000	2,335.37	1.41
Security and Intelligence Services (I) Ltd.	INE285J01010	Commercial Services	201,900	2,265.02	1.37
Mahindra & Mahindra Financial Services Ltd.	INE774D01024	Finance	486,900	2,255.56	1.36
Shree Cement Ltd.	INE070A01015	Cement	13,800	2,235.11	1.35
Infosys Ltd.	INE009A01021	Software	195,767	2,215.69	1.34
Exide Industries Ltd.	INE302A01020	Auto Ancillaries	969,900	2,161.42	1.30
Container Corporation of India Ltd.	INE111A01017	Transportation	168,108	2,093.11	1.26
L&T Technology Services Ltd.	INE10V01017	Software	168,000	2,075.81	1.25
Dalmia Bharat Ltd.	INE439L01019	Cement	72,108	2,072.49	1.25
Hindustan Petroleum Corporation Ltd.	INE094A01015	Petroleum Products	597,900	2,061.86	1.24
Finolex Industries Ltd.	INE183A01016	Industrial Products	308,700	2,036.65	1.23
Tata Consultancy Services Ltd.	INE467B01029	Software	70,800	2,017.20	1.22
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	189,900	1,989.77	1.20
Bharat Financial Inclusion Ltd.	INE180K01011	Finance	178,890	1,962.15	1.18
Tata Chemicals Ltd.	INE092A01019	Chemicals	288,000	1,950.19	1.18
Torrent Pharmaceuticals Ltd.	INE685A01028	Pharmaceuticals	156,0		



**PRINCIPAL SMART EQUITY FUND**  
(An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry/Rating	Quantity	Market value (₹ in lakhs)	% of NAV	Derivative % of NAV
<b>I. Equity &amp; Equity Related Instruments</b>						
<b>A) Listed - awaiting listing on the stock exchanges</b>						
i) Equity Shares						
HDFC Bank Ltd.	INE040A01026	Banks	18,561	350.08	2.02	
NTPC Ltd.	INE733E01010	Power	155,429	263.76	1.53	
Ultratech Cement Ltd.	INE481G01011	Cement	6,300	248.85	1.44	
State Bank of India	INE062A01020	Banks	99,000	247.40	1.43	
Mahindra & Mahindra Ltd.	INE101A01026	Auto	31,064	229.53	1.33	
Tata Consultancy Services Ltd.	INE467B01029	Software	7,945	228.36	1.31	
Infosys Ltd.	INE090A01021	Software	19,602	221.86	1.28	
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	4,269	212.19	1.23	
United Spirits Ltd.	INE854D01016	Consumer Non Durables	6,693	209.47	1.21	
Power Grid Corporation of India Ltd.	INE752E01010	Power	106,893	206.57	1.19	
HCL Technologies Ltd.	INE018A01027	Software	21,045	203.84	1.18	
Housing Development Finance Corporation Ltd.	INE001A01036	Finance	10,944	199.79	1.16	
Hero MotoCorp Ltd.	INE158A01026	Auto	5,620	199.11	1.15	
Larsen & Toubro Ltd.	INE018A01030	Construction Project	15,146	198.55	1.15	
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	6,000	197.04	1.14	
ICICI Bank Ltd.	INE090A01021	Consumer Non Durables	3,155	192.46	1.11	
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	67,617	188.21	1.09	
Tata Power Company Ltd.	INE245A01021	Power	236,144	186.55	1.08	
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	17,412	182.44	1.06	
Coal India Ltd.	INE522F01014	Minerals/Mining	63,647	180.31	1.04	
Reliance Industries Ltd.	INE002A01018	Petroleum Products	19,599	173.00	1.00	
Interglobe Aviation Ltd.	INE646L01027	Transportation	12,900	166.47	0.96	
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	28,300	157.87	0.91	
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	18,016	89.20	0.52	
<b>Total</b>				<b>5,321.40</b>	<b>30.78</b>	
ii) Cash Future Arbitrage						
Dewan Housing Finance Corporation Ltd.	INE202B01012	Finance	237,000	1,209.06	6.99	
Dewan Housing Finance Corporation Ltd. - Apr. 2018		Stock Future	(237,000)	(1,216.40)	(7.03)	
Reliance Industries Ltd.	INE002A01018	Petroleum Products	111,000	979.80	5.67	
Reliance Industries Ltd. - Apr. 2018		Stock Future	(111,000)	(984.74)	(5.70)	
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	174,400	972.89	5.63	
Aurobindo Pharma Ltd. - Apr. 2018		Stock Future	(174,400)	(978.12)	(5.66)	
Maruti Suzuki India Ltd.	INE585B01010	Auto	6,000	531.67	3.07	
Maruti Suzuki India Ltd. - Apr. 2018		Stock Future	(6,000)	(534.31)	(3.09)	
Hindalco Industries Ltd.	INE038A01020	Non - Ferrous Metals	206,500	443.05	2.56	
Hindalco Industries Ltd. - Apr. 2018		Stock Future	(206,500)	(443.25)	(2.56)	
Century Textiles & Industries Ltd.	INE055A01016	Cement	38,500	439.90	2.54	
Century Textiles & Industries Ltd. - Apr. 2018		Stock Future	(38,500)	(441.34)	(2.55)	
Indiabulls Housing Finance Ltd.	INE148I01020	Finance	34,400	425.61	2.46	
Indiabulls Housing Finance Ltd. - Apr. 2018		Stock Future	(34,400)	(427.59)	(2.47)	
State Bank of India	INE062A01020	Banks	162,000	404.84	2.34	
State Bank of India - Apr. 2018		Stock Future	(162,000)	(406.94)	(2.35)	
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	63,800	315.87	1.83	
Sun Pharmaceutical Industries Ltd. - Apr. 2018		Stock Future	(63,800)	(317.37)	(1.84)	
Escorts Ltd.	INE042A01014	Auto	24,200	197.98	1.14	
Escorts Ltd. - Apr. 2018		Stock Future	(24,200)	(199.30)	(1.15)	
Bajaj Auto Ltd.	INE917I01010	Auto	6,250	171.54	0.99	
Bajaj Auto Ltd. - Apr. 2018		Stock Future	(6,250)	(172.55)	(1.00)	
Chennai Petroleum Corporation Ltd.	INE178A01016	Petroleum Products	43,500	142.40	0.82	
Chennai Petroleum Corporation Ltd. - Apr. 2018		Stock Future	(43,500)	(143.22)	(0.83)	
Reliance Power Ltd.	INE614G01033	Power	182,000	65.70	0.38	
Reliance Power Ltd. - Apr. 2018		Stock Future	(182,000)	(66.07)	(0.38)	
Tata Motors Ltd. A-DVR	INE195A01020	Auto	35,000	64.16	0.37	
Tata Motors Ltd. A-DVR - Apr. 2018		Stock Future	(35,000)	(64.45)	(0.37)	
Balrampur Chini Mills Ltd.	INE119A01028	Consumer Non Durables	42,000	31.77	0.18	
Balrampur Chini Mills Ltd. - Apr. 2018		Stock Future	(42,000)	(32.03)	(0.19)	
<b>Total</b>				<b>6,396.23</b>	<b>36.97</b>	<b>(37.17)</b>
<b>II. Debt Instruments</b>						
<b>A) Listed - Awaiting listing on the stock exchanges</b>						
i) Non-Convertible Debentures**						
8.70% JM Financial Products Ltd. -M-25-Jul-2019	INE523H07841	CRISIL AA	40	398.37	2.30	
10.30% Manappuram Finance Ltd. -M-28-Jul-2018	INE522D07941	CARE AA	33	331.72	1.92	
8.15% Primal Enterprises Ltd. -M-14-Jun-2019	INE140A07344	[ICRA]AA	30	299.76	1.73	
9.10% Dewan Housing Finance Corporation Ltd. -M-09-Sep-2019	INE202B071K1	BWR AAA	20,000	201.28	1.16	
8.85% Power Grid Corporation of India Ltd. -M-19-Oct-2019	INE752E07KE8	CRISIL AAA	8	101.92	0.59	
8.80% Indiabulls Housing Finance Ltd. -M-10-Aug-2018	INE148I07FQ4	BWR AAA	4	40.09	0.23	
<b>Total</b>				<b>1,373.15</b>	<b>7.93</b>	
ii) Treasury Bill						
TBILL 91 DAY-M-07-Jun-2018	IN002017X544	Sovereign	85,000	84.07	0.49	
<b>Total</b>				<b>84.07</b>	<b>0.49</b>	
iii) Reverse Repo and CBLO						
<b>Total</b>				<b>335.83</b>	<b>1.94</b>	
<b>Total</b>				<b>335.83</b>	<b>1.94</b>	
<b>IV. Investment Funds/Mutual Funds</b>						
Principal Cash Management Fund - Growth Option						
INF173K01DA9			20,340	342.81	1.98	
<b>Total</b>				<b>342.81</b>	<b>1.98</b>	
<b>V. Cash &amp; Cash Equivalents</b>						
<b>Total</b>				<b>2.37</b>	<b>0.01</b>	
<b>VI. Other Receivables - (Payables)</b>						
<b>Total</b>				<b>1,768.65</b>	<b>10.26</b>	
<b>Grand Total</b>				<b>17,291.03</b>	<b>100.00</b>	

**PRINCIPAL MONEY MANAGER FUND**  
(An open-ended Money Market Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Money Market Instruments</b>					
i) Certificate of Deposit**					
ICICI Bank Ltd.-M-31-May-2018	INE090A16006	CARE A1+	400	395.82	5.97
The Federal Bank Ltd.-M-25-May-2018	INE171A16GU4	CRISIL A1+	400	395.62	5.97
Andhra Bank-M-22-Jun-2018	INE434A16PC5	IND A1+	200	197.18	2.98
<b>Total</b>				<b>988.62</b>	<b>14.92</b>
ii) Commercial Paper**					
India Infoline Housing Finance Ltd. -M-02-May-2018	INE477L14CL5	CRISIL A1+	120	596.07	9.00
Avanse Financial Services Ltd. -M-28-May-2018	INE087P14374	CRISIL A1+	120	592.59	8.94
Kribhco Fertilizers Ltd.-M-14-Jun-2018	INE486H14888	[ICRA]A1+	120	590.81	8.92
Cox & Kings Ltd.-M-07-Sep-2018	INE008H14JK1	BWR A1+	118	569.41	8.59
Power Finance Corporation Ltd. -M-25-Jun-2018	INE134E14972	CRISIL A1+	100	492.57	7.43
HCL Infosystems Ltd.-M-25-May-2018	INE236A14HJ1	[ICRA]A1	100	492.28	7.43
IL&FS Financial Services Ltd.-M-25-Jun-2018	INE121H14JE7	[ICRA]A1+	100	491.25	7.41
Indiabulls Housing Finance Ltd. -M-22-Jun-2018	INE148I14SU5	CRISILA1+	82	403.91	6.10
Reliance Jio Infocomm Ltd.-M-18-May-2018	INE110L14GV2	CRISILA1+	70	346.57	5.23
SREI Equipment Finance Ltd. -M-22-May-2018	INE881J14N15	[ICRA]A1+	60	296.54	4.48
Cox & Kings Ltd.-M-26-Nov-2018	INE008H14KL7	BWR A1+	60	284.23	4.29
SREI Equipment Finance Ltd. -M-14-Jun-2018	INE881J14NM7	[ICRA]A1+	52	255.68	3.86
HCL Infosystems Ltd.-M-21-Jun-2018	INE236A14HK9	[ICRA]A1	20	97.80	1.48
HSIL Ltd.-M-08-May-2018	INE415A14BP1	[ICRA]A1+	6	29.77	0.45
<b>Total</b>				<b>5,539.48</b>	<b>83.61</b>
iii) Treasury Bill					
TBILL 91 DAY-M-07-Jun-2018	IN002017X544	Sovereign	30,000	29.67	0.45
<b>Total</b>				<b>29.67</b>	<b>0.45</b>
iv) Reverse Repo and CBLO					
<b>Total</b>				<b>79.98</b>	<b>1.21</b>
<b>Total</b>				<b>79.98</b>	<b>1.21</b>
<b>II. Cash &amp; Cash Equivalents</b>					
<b>Total</b>				<b>0.34</b>	<b>0.01</b>
<b>III. Other Receivables - (Payables)</b>					
<b>Total</b>				<b>(12.23)</b>	<b>(0.20)</b>
<b>Grand Total</b>				<b>6,625.88</b>	<b>100.00</b>

**PRINCIPAL TAX SAVINGS FUND**  
(An open-ended Equity Linked Savings Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Equity Shares					
HDFC Bank Ltd.	INE040A01026	Banks	89,534	1,688.70	4.50
ICICI Bank Ltd.	INE090A01021	Banks	477,443	1,328.96	3.54
Infosys Ltd.	INE009A01021	Software	103,297	1,169.12	3.11
Larsen & Toubro Ltd.	INE018A01030	Construction Project	85,362	1,119.01	2.98
Reliance Industries Ltd.	INE002A01018	Petroleum Products	125,452	1,107.36	2.95
Housing Development Finance Corporation Ltd.	INE001A01036	Finance	58,670	1,071.08	2.85
Bajaj Electricals Ltd.	INE193E01025	Consumer Durables	166,066	936.78	2.50
ITC Ltd.	INE154A01025	Consumer Non Durables	361,866	924.57	2.46
HCL Technologies Ltd.	INE860A01027	Software	90,069	872.41	2.32
State Bank of India	INE062A01020	Banks	333,301	832.92	2.22
United Spirits Ltd.	INE854D01016	Consumer Non Durables	26,016	814.21	2.17
KEC International Ltd.	INE389H01022	Construction Project	198,268	772.75	2.06
NTPC Ltd.	INE733E01010	Power	447,200	758.90	2.02
Tata Consultancy Services Ltd.	INE467B01029	Software	26,320	749.90	2.00
Asahi India Glass Ltd.	INE439A01020	Auto Ancillaries	224,228	744.44	1.98
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	14,166	704.14	1.88
Phillips Carbon Black Ltd.	INE062A01015	Chemicals	64,462	699.80	1.86
Chambal Fertilisers and Chemicals Ltd.	INE085A01013	Fertilisers	424,966	699.71	1.86
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	11,310	689.92	1.84
Dabur India Ltd.	INE016A01026	Consumer Non Durables	208,229	683.82	1.82
Bombay Burmah Trading Corporation Ltd.	INE050A01025	Consumer Non Durables	58,253	682.03	1.82
Tata Power Company Ltd.	INE245A01021	Power	855,000	675.45	1.80
Jain Irrigation Systems Ltd.	INE175A01038	Industrial Products	588,703	626.67	1.67
The India Cements Ltd.	INE383A01012	Cement	435,230	616.94	1.64
Hero MotoCorp Ltd.	INE158A01026	Auto	16,598	588.03	1.57
Ramkrishna Forgings Ltd.	INE399G01015	Industrial Products	83,088	583.82	1.56
Maruti Suzuki India Ltd.	INE585B01010	Auto	6,577	582.79	1.55
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	54,915	575.40	1.53
Rain Industries Ltd.	INE237A01028	Chemicals	151,654	568.17	1.51
Kalpataru Power Transmission Ltd.	INE220B01027	Power	107,294	519.57	1.38
Interglobe Aviation Ltd.	INE646L01027	Transportation	38,581	497.87	1.33
Coal India Ltd.	INE522F01014	Minerals/Mining	172,412	488.44	1.30
Rico Auto Industries Ltd.	INE209B01025	Auto Ancillaries	616,360	482.92	1.29
The Indian Hotels Company Ltd.	INE053A01029	Hotels, Resorts and Other Recreational Activities	364,687	471.90	1.26
Mahindra & Mahindra Ltd.	INE101A01026	Auto	60,750	448.88	1.20
Shriam Transport Finance Company Ltd.	INE721A01013	Finance	29,674	427.19	1.14
Dishman Carbogen Amcis Ltd.	INE385W01011	Pharmaceuticals	128,801	412.61	1.10
Abbott India Ltd.	INE358A01014	Pharmaceuticals	7,446	406.03	1.08
Karur Vysya Bank Ltd.	INE036D01028	Banks	396,203	397.99	1.06
City Union Bank Ltd.	INE491A01021	Banks	226,331	390.31	1.04
Bank of Baroda	INE202B01012	Banks	273,565	389.28	1.04
Dewan Housing Finance Corporation Ltd.	INE202B01012	Finance	75,858	386.99	1.03
UltraTech Cement Ltd.	INE481G01011	Cement	9,782	386.39	1.03
JK Cement Ltd.	INE823G01014	Cement			



### PRINCIPAL LOW DURATION FUND (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Non-Convertible Debentures**					
8.55% Indiabulls Housing Finance Ltd. -M-02-Mar-2020	INE148107FX0	BWR AAA	500	5,034.24	10.29
8.15% Piramal Enterprises Ltd. -M-14-Jun-2019	INE140A07344	[ICRA]AA	480	4,796.19	9.81
9.20% Avance Financial Services Ltd. -Put/Call-04-Jan-2019	INE087P07071	CARE AA+ (SO)	250	2,503.40	5.12
8.70% JM Financial Products Ltd. -M-25-Jul-2019	INE523H07841	CRISIL AA	250	2,489.79	5.09
10.30% Manappuram Finance Ltd. -M-09-Sep-2019	INE202B07J3	BWR AAA	243,000	2,444.46	5.00
8.13% Tata Motors Ltd.-M-18-Jul-2018	INE522D07941	CARE AA	242	2,432.63	4.97
7.63% PNB Housing Finance Ltd. -M-14-Jul-2020	INE155A08290	CARE AA+	235	2,352.43	4.81
10.70% Aspire Home Finance Corporation Ltd. -M-05-Jun-2019	INE572E09460	IND AAA	182	1,802.42	3.68
10.35% Ess Kay Fincorp Ltd. -M-27-Dec-2019	INE658R07141	[ICRA]AA-	140	1,418.30	2.90
11.15% Suryoday Small Finance Bank Ltd. -Put/Call-06-Jun-2018	INE124N07085	IND A (SO)	150	1,314.36	2.69
<b>Total</b>			100	1,001.67	<b>2.05</b>
<b>B) Unlisted</b>					
i) Zero Coupon Bonds					
Sprit Infrapower & Multiventures Pvt. Ltd. -Put/Call-09-Aug-2018	INE069R07117	BWR AA-	400	4,227.87	8.64
<b>Total</b>				<b>4,227.87</b>	<b>8.64</b>
<b>II. Money Market Instruments</b>					
i) Certificate of Deposit**					
Axis Bank Ltd.-M-06-Jun-2018	INE238A16S56	CRISIL A1+	2,500	2,471.34	5.05
DCB Bank Ltd.-M-20-Jun-2018	INE503A16FA2	CRISIL A1+	650	640.60	1.31
<b>Total</b>				<b>3,111.93</b>	<b>6.36</b>
ii) Commercial Paper**					
Rural Electrification Corporation Ltd. -M-04-Sep-2018	INE020B14516	CRISIL A1+	1,000	4,856.37	9.93
Cox & Kings Ltd. -M-26-Nov-2018	INE00814K17	BWR A1+	440	2,084.34	4.26
HCL Infosystems Ltd.-M-21-Jun-2018	INE236A14HK9	[ICRA]A1	400	1,956.05	4.00
HCL Infosystems Ltd.-M-25-May-2018	INE236A14HJ1	[ICRA]A1	340	1,673.75	3.42
Cox & Kings Ltd. -M-07-Sep-2018	INE00814JK1	BWR A1+	162	781.74	1.60
Krihoo Fertilizers Ltd. -M-14-Jun-2018	INE486H14888	[ICRA]A1+	80	393.88	0.81
Indiabulls Housing Finance Ltd. -M-22-Jun-2018	INE14814SU5	CRISIL A1+	18	88.66	0.18
<b>Total</b>				<b>11,834.78</b>	<b>24.20</b>
iii) Treasury Bill					
TBILL 91 DAY-M-07-Jun-2018	IN002017X544	Sovereign	312,600	309.17	0.63
<b>Total</b>				<b>309.17</b>	<b>0.63</b>
iv) Reverse Repo and CBLO					
				526.40	1.08
<b>Total</b>				<b>526.40</b>	<b>1.08</b>
<b>III. Cash &amp; Cash Equivalents</b>					
				9.41	0.02
<b>IV. Other Receivables - (Payables)</b>					
				1,304.33	2.66
<b>Grand Total</b>				<b>48,913.77</b>	<b>100.00</b>

Note :- Aggregate investments by other schemes of Principal Mutual Fund at the end of the period is ₹ 93.38 Lakhs.

\*\*\* Value below 0.01% of NAV

\*\* Thinly traded / Non - traded / Unlisted securities

§§ Illiquid securities

# Valued at Nil as these equity shares have been pending under objection for considerable period of time.

@ Pending Listing on Stock Exchange

~ The shares have been acquired on account of merger of Principal Equity Fund with Principal Dividend Yield Fund

**Notes :**

- Total NPAs provided for and its percentage to NAV during the half year ended March 31, 2018 - Nil
- Total value and percentage of illiquid equity shares - Shown as part of the respective portfolios
- NAV at the beginning and end of the half-year period and any dividend, bonus etc declared during the period - Annexure I
- Parking of Funds in Short Term Deposits as on March 31, 2018 - Nil
- Total outstanding exposure in derivative instruments as on March 31, 2018 is as under:

Name of the Scheme	Position Type	Amount (₹ Lakh)
Principal Arbitrage Fund	Short	542.37
Principal Emerging Bluechip Fund	Long	1,356.32
Principal Equity Savings Fund	Short	2,963.51
Principal Smart Equity Fund	Short	6,427.69

Pursuant to SEBI Circular no. CIR/MD/DF/11/2010 dated August 18, 2010, the disclosure for investments in derivative instruments has been given as per Annexure II

6 Total investments in foreign securities at the end of the period in Principal Global Opportunities Fund is ₹ 1,692.06 Lakhs (97.80% of the scheme's assets).

### PRINCIPAL DEBT SAVINGS FUND (An open-ended Income Fund)

Name of Instrument	ISIN	Rating	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Non-Convertible Debentures					
8.22% National Bank for Agriculture and Rural Development -M-25-Feb-2028	INE261F08AA4	CRISIL AAA	20	205.70	9.69
10.85% Aspire Home Finance Corporation Ltd. -M-08-Jun-2018 **	INE658R07042	CRISIL A+	20	200.53	9.45
7.59% National Housing Bank -Put/Call-15-Mar-2019 **	INE557F08FA4	CRISIL AAA	20	200.35	9.44
8.15% Piramal Enterprises Ltd. -M-14-Jun-2019 **	INE140A07344	[ICRA]AA	18	179.86	8.47
7.63% PNB Housing Finance Ltd. -M-14-Jul-2020 **	INE572E09460	IND AAA	18	178.26	8.40
7.99% Power Finance Corporation Ltd. -M-20-Dec-2022 **	INE134E08J08	CRISIL AAA	15	150.95	7.11
10.30% Manappuram Finance Ltd. -M-28-Jul-2018 **	INE522D07941	CARE AA	15	150.78	7.10
8.13% Tata Motors Ltd.-M-18-Jul-2018 **	INE155A08290	CARE AA+	15	150.15	7.08
8.10% NTPC Ltd. -M-27-May-2021 **	INE733E07KB4	CRISIL AAA	10	101.52	4.78
9.15% ICICI Bank Ltd. -M-20-Jun-2023 **	INE090A08UB4	[ICRA]AA+	10	100.76	4.75
9.10% Dewan Housing Finance Corporation Ltd. -M-09-Sep-2019 **	INE202B07K1	BWR AAA	10,000	100.64	4.74
9.05% Dewan Housing Finance Corporation Ltd. -M-09-Sep-2019 **	INE202B07J3	BWR AAA	1,000	10.06	0.47
<b>Total</b>				<b>1,729.57</b>	<b>81.48</b>
ii) Government Securities					
6.79% Government of India Security -M-15-May-2027	IN0020170026	Sovereign	300,000	285.08	13.43
6.84% Government of India Security -M-19-Dec-2022	IN0020160050	Sovereign	20,000	19.62	0.92
<b>Total</b>				<b>304.71</b>	<b>14.35</b>
<b>II. Money Market Instruments</b>					
i) Treasury Bill					
TBILL 91 DAY-M-07-Jun-2018	IN002017X544	Sovereign	20,000	19.78	0.93
<b>Total</b>				<b>19.78</b>	<b>0.93</b>
ii) Reverse Repo and CBLO					
				19.83	0.93
<b>Total</b>				<b>19.83</b>	<b>0.93</b>
<b>III. Cash &amp; Cash Equivalents</b>					
				0.35	0.02
<b>IV. Other Receivables - (Payables)</b>					
				48.01	2.29
<b>Grand Total</b>				<b>2,122.25</b>	<b>100.00</b>

Note :- Aggregate investments by other schemes of Principal Mutual Fund at the end of the period is ₹ 93.38 Lakhs.

There are no investments in foreign securities/ ADRs/ GDRs at the end of the period in any other schemes of Principal Mutual Fund.

7 Portfolio Turnover Ratio & Average Maturity of portfolio is as follows:

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days / Yrs)*\$
Principal Growth Fund	0.35	
Principal Index Fund - Nifty	0.18	
Principal Large Cap Fund	0.63	
Principal Dividend Yield Fund	0.56	
Principal Emerging Bluechip Fund	0.70	
Principal Personal Tax Saver Fund	0.57	
Principal Smart Equity Fund	6.53	
Principal Tax Savings Fund	0.45	
Principal Low Duration Fund	-	312 Days
Principal Credit Opportunities Fund	-	1.43 Years
Principal Dynamic Bond Fund	-	5.26 Years
Principal Short Term Income Fund	-	2.20 Years
Principal Equity Savings Fund	6.50	276 Days
Principal Debt Savings Fund	-	3.57 Years
Principal Balanced Fund (Excluding Debt Mutual Fund Units)	-	3.61 Years
Principal Balanced Fund (Debt Mutual Fund Units - Principal Credit Opportunities Fund)	1.39	1.43 Years

### PRINCIPAL CREDIT OPPORTUNITIES FUND (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed - awaiting listing on the stock exchanges</b>					
i) Non-Convertible Debentures					
11.55% Suryoday Small Finance Bank Ltd.-M-28-Oct-2019 **	INE428Q08040	[ICRA]A-	800	811.92	9.27
10.35% Ess Kay Fincorp Ltd. -M-27-Dec-2019 **	INE124N07085	IND A (SO)	70	613.37	7.00
8.15% Piramal Enterprises Ltd. -M-14-Jun-2019 **	INE140A07344	[ICRA]AA	55	549.56	6.27
9.05% Dewan Housing Finance Corporation Ltd. -M-09-Sep-2019 **	INE202B07J3	BWR AAA	51,000	513.04	5.86
8.10% Reliance Jio Infocomm Ltd. -M-29-Apr-2019 **	INE110L07054	CRISIL AAA	50	502.67	5.74
6.98% National Bank for Agriculture and Rural Development -M-30-Sep-2020	INE261F08097	CRISIL AAA	50	494.11	5.64
6.78% Reliance Industries Ltd. -M-16-Sep-2020	INE002A08484	CRISIL AAA	50	491.31	5.61
8.85% Power Grid Corporation of India Ltd. -M-19-Oct-2019 **	INE752E07KE8	CRISIL AAA	32	407.70	4.65
9.15% ICICI Bank Ltd. -M-20-Jun-2023 **	INE090A08UB4	[ICRA]AA+	40	403.06	4.60
9.10% Dewan Housing Finance Corporation Ltd. -M-09-Sep-2019 **	INE202B07K1	BWR AAA	30,000	301.92	3.45
7.50% Power Finance Corporation Ltd. -M-17-Sep-2020	INE134E08IW3	CRISIL AAA	30	299.46	3.42
8.13% Piramal Enterprises Ltd. -M-17-Sep-2019 **	INE140A07369	[ICRA]AA	20	199.76	2.28
9.48% Rural Electrification Corporation Ltd. -M-10-Aug-2021 **	INE020B08591	CRISIL AAA	10	105.26	1.20
8.55% Indian Railway Finance Corporation Ltd. -M-15-Jun-2019 **	INE053F09FU0	CRISIL AAA	10	101.10	1.15
10.30% Manappuram Finance Ltd. -M-28-Jul-2018 **	INE522D07941	CARE AA	10	100.52	1.15
10.85% Aspire Home Finance Corporation Ltd. -M-08-Jun-2018 **	INE658R07042	CRISIL A+	10	100.27	1.14
<b>Total</b>				<b>5,995.01</b>	<b>68.43</b>
<b>B) Unlisted</b>					
i) Zero Coupon Bonds					
Sprit Infrapower & Multiventures Pvt. Ltd. -Put/Call-09-Aug-2018	INE069R07117	BWR AA-	50	528.48	6.03
<b>Total</b>				<b>528.48</b>	<b>6.03</b>
<b>II. Money Market Instruments</b>					
i) Commercial Paper**					
HCL Infosystems Ltd. -M-21-Jun-2018	INE236A14HK9	[ICRA]A1	100	489.01	5.58
Cox & Kings Ltd. -M-07-Sep-2018	INE00814JK1	BWR A1+	80	386.04	4.41
Cox & Kings Ltd. -M-22-Nov-2018	INE00814KK9	BWR A1+	80	379.30	4.33
HCL Infosystems Ltd. -M-25-May-2018	INE236A14HJ1	[ICRA]A1	60	295.37	3.37
<b>Total</b>				<b>1,549.73</b>	<b>17.69</b>
ii) Treasury Bill					
TBILL 91 DAY-M-07-Jun-2018	IN002017X544	Sovereign	15,000	14.84	0.17
<b>Total</b>				<b>14.84</b>	<b>0.17</b>
iii) Reverse Repo and CBLO					
				421.71	4.81
<b>Total</b>				<b>421.71</b>	<b>4.81</b>
<b>III. Cash &amp; Cash Equivalents</b>					
				0.61	0.01
<b>IV. Other Receivables - (Payables)</b>					
				248.19	2.86
<b>Grand Total</b>				<b>8,758.56</b>	<b>100.00</b>

Note :- Aggregate investments by other schemes of Principal Mutual Fund at the end of the period is ₹ 1532.65 Lakhs.

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days / Yrs)*\$
Principal Cash Management Fund	-	62 Days
Principal Money Manager Fund	-	79 Days
Principal Arbitrage Fund	9.91	-

\* In respect of Floating Rate Instruments, the average maturity has been computed after considering the next reset date as the maturity date.

\$ Average Maturity of the portfolio is for the debt component of the portfolio as on March 31, 2018 of the respective schemes.

8 Industry classification as released by Association of Mutual Funds in India (AMFI).

9 Pursuant to SEBI circular no. SEBI/HO/MD/DF3/CI/CP/2017/114 dated October 6, 2017 pertaining to Categorization and Rationalization of Mutual Fund Schemes, SEBI has approved fundamental attribute changes in the following schemes of Principal Mutual Fund:

Principal Emerging Bluechip Fund	Principal Large Cap Fund	Principal Balanced Fund
Principal Low Duration Fund	Principal Equity Savings Fund	Principal Index Fund - Nifty
Principal Credit Opportunities Fund	Principal Money Manager Fund	Principal Asset Allocation Fund of Funds Conservative Plan
Principal Global Opportunities Fund	Principal Debt Savings Fund	Principal Asset Allocation Fund of Funds Aggressive Plan
Principal Short Term Income Fund	Principal Smart Equity Fund	Principal Asset Allocation Fund of Funds Moderate Plan

The changes in the scheme features based on the approval from SEBI are in the process of being carried out in SID and KIM.

10 All the information provided is as on March 31, 2018 unless specified otherwise.

**ANNEXURE I:**

Sr. No.	Name of the Scheme	Principal Balanced Fund				Principal Dividend Yield Fund				Principal Credit Opportunities Fund			
		Regular		Direct		Regular		Direct		Regular		Direct	
		Monthly Dividend	Growth	Monthly Dividend	Growth	Half Yearly Dividend	Growth	Half Yearly Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend
1	NAV at the beginning of the period (₹ per unit)\$^a	27.94	70.35	31.47	73.39	23.89	48.19	27.93	49.29	2633.0930	1237.9036	2675.3965	1240.9736
2	NAV at the end of the period (₹ per Unit)\$^b	27.53	73.61	31.27	77.43	21.76	50.62	29.37	51.84	2723.1586	1239.9977	2770.3797	1243.4476
3	Aggregate dividend declared during the period												
	a) Individuals/HUF (₹ Per Unit)	1.7390	-	1.9667	-	3.50	-	-	-	-	28.5943347	-	29.52454004
	b) Others (₹ Per Unit)	1.7390	-	1.9667	-	3.50	-	-	-	-	26.49210386	-	27.35392129

Sr. No.	Name of the Scheme	Principal Low Duration Fund				Principal Growth Fund							
		Regular		Direct		Regular		Direct					
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend				
1	NAV at the beginning of the period (₹ per unit)\$^a	2679.8489	1005.7164	1006.1572	1141.5532	2763.5432	1007.4134	1006.1796	1143.6877	37.54	133.66	42.82	137.82
2	NAV at the end of the period (₹ per Unit)\$^b	2766.0451	1005.7164	1008.5328	1143.1750	2858.0665	1007.4134	1008.6106	1145.2867	34.46	139.36	43.22	144.32

**ANNEXURE I (Contd.):**

Sr. No.	Name of the Scheme	Principal Global Opportunities Fund				Principal Large Cap Fund				Principal Tax Savings Fund			
		Regular		Direct		Regular		Direct		Regular		Direct	
		Dividend	Growth	Dividend	Growth	Half Yearly Dividend	Growth	Half Yearly Dividend	Growth	Growth	Half Yearly Dividend	Growth	Half Yearly Dividend
1	NAV at the beginning of the period (₹ per unit)\$^a	27.7413	27.7413	28.2889	28.2889	26.38	57.34	26.69	59.11	196.60	196.6	200.96	200.96
2	NAV at the end of the period (₹ per Unit)\$#	29.5343	29.5343	30.1824	30.1824	24.85	58.37	25.63	60.39	204.62	204.62	209.63	209.63
3	Aggregate dividend declared during the period												
	a) Individuals/HUF (₹ Per Unit)	-	-	-	-	2.11	-	1.72	-	-	-	-	-
	b) Others (₹ Per Unit)	-	-	-	-	2.11	-	1.72	-	-	-	-	-

  

Sr. No.	Name of the Scheme	Principal Smart Equity Fund				Principal Emerging Bluechip Fund			
		Regular		Direct		Regular		Direct	
		Monthly Dividend	Growth	Monthly Dividend	Growth	Half Yearly Dividend	Growth	Half Yearly Dividend	Growth
1	NAV at the beginning of the period (₹ per unit)\$^a	15.55	19.28	16.96	20.16	44.54	101.29	80.24	105.39
2	NAV at the end of the period (₹ per Unit)\$#	14.91	19.56	16.38	20.62	40.10	103.84	82.82	108.78
3	Aggregate dividend declared during the period								
	a) Individuals/HUF (₹ Per Unit)	0.8691	-	0.9511	-	5.92	-	-	-
	b) Others (₹ Per Unit)	0.8691	-	0.9511	-	5.92	-	-	-

  

Sr. No.	Name of the Scheme	Principal Asset Allocation Fund-of-Funds - Moderate Plan		Principal Asset Allocation Fund-of-Funds - Conservative Plan		Principal Asset Allocation Fund-of-Funds - Aggressive Plan		Principal Arbitrage Fund			
		Regular	Direct	Regular	Direct	Regular	Direct	Regular		Direct	
		Growth	Growth	Growth	Growth	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth
1	NAV at the beginning of the period (₹ per unit)\$^a	12.0094	12.1727	11.7688	11.8599	12.8302	12.9912	10.4934	10.8043	10.5355	10.9137
2	NAV at the end of the period (₹ per Unit)\$#	12.2736	12.4768	12.0454	12.1689	13.1038	13.3021	10.7229	11.1038	10.8004	11.2520
3	Aggregate dividend declared during the period										
	a) Individuals/HUF (₹ Per Unit)	-	-	-	-	-	-	0.0611	-	0.0616	-
	b) Others (₹ Per Unit)	-	-	-	-	-	-	0.0611	-	0.0616	-

Note : - indicates NIL. \$^a September 30, 2017 being a non-business day for all schemes, the NAV p.u. have been provided as of September 29, 2017. \$^# March 31, 2018 being a non-business day for all schemes, the NAV p.u. have been provided as of March 28, 2018.

**ANNEXURE II :**

**Disclosure for investments in derivative instruments**

**Hedging Positions through Futures as on 31st March 2018 :**

Scheme	Underlying	Position Type	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (Hedging positions) as a % age to net assets
Principal Arbitrage Fund	Aurobindo Pharma Ltd.(April 2018)	Short	555.95	560.85	6.32	4.89%
Principal Arbitrage Fund	Bharti Airtel Ltd.(April 2018)	Short	413.73	400.05	10.88	8.23%
Principal Arbitrage Fund	CESC Ltd.(April 2018)	Short	911.03	969.65	5.49	3.87%
Principal Arbitrage Fund	Dewan Housing Finance Corporation Ltd.(April 2018)	Short	508.81	513.25	10.60	4.66%
Principal Arbitrage Fund	IDFC Ltd.(April 2018)	Short	49.61	48.9	10.55	7.03%
Principal Arbitrage Fund	The India Cements Ltd.(April 2018)	Short	140.71	142.45	8.17	6.04%
Principal Arbitrage Fund	IRB Infrastructure Developers Ltd.(April 2018)	Short	218.79	224.15	6.13	4.75%
Principal Arbitrage Fund	Jet Airways (India) Ltd.(April 2018)	Short	614.34	612.45	6.34	3.56%
Principal Arbitrage Fund	Lupin Ltd.(April 2018)	Short	778.99	740	12.49	9.68%
Principal Arbitrage Fund	Reliance Capital Ltd.(April 2018)	Short	415.98	425.85	3.73	1.55%
Principal Arbitrage Fund	Reliance Industries Ltd.(April 2018)	Short	900.45	887.15	1.39	1.07%
Principal Arbitrage Fund	Steel Authority of India Ltd.(April 2018)	Short	76.29	70.65	12.88	5.13%
Principal Arbitrage Fund	Yes Bank Ltd.(April 2018)	Short	294.38	306.8	6.72	5.20%
Principal Equity Savings Fund	Ashok Leyland Ltd.(April 2018)	Short	144.82	145.8	30.35	2.70%
Principal Equity Savings Fund	Aurobindo Pharma Ltd.(April 2018)	Short	554.86	560.85	7.73	0.69%
Principal Equity Savings Fund	Balrampur Chini Mills Ltd.(April 2018)	Short	82.50	76.25	29.04	0.97%
Principal Equity Savings Fund	Bank of Baroda(April 2018)	Short	137.41	142.65	16.24	1.11%
Principal Equity Savings Fund	Bata India Ltd.(April 2018)	Short	739.67	734.35	11.36	1.01%
Principal Equity Savings Fund	Bharti Airtel Ltd.(April 2018)	Short	414.44	400.05	35.89	3.13%
Principal Equity Savings Fund	Britannia Industries Ltd.(April 2018)	Short	4,909.55	4969.95	6.24	0.55%
Principal Equity Savings Fund	Century Textiles & Industries Ltd.(April 2018)	Short	1,154.91	1146.35	39.41	3.51%
Principal Equity Savings Fund	CESC Ltd.(April 2018)	Short	905.69	969.65	46.68	3.79%
Principal Equity Savings Fund	Dewan Housing Finance Corporation Ltd.(April 2018)	Short	504.94	513.25	144.16	7.29%
Principal Equity Savings Fund	Dish TV India Ltd.(April 2018)	Short	71.55	71.6	16.34	0.70%
Principal Equity Savings Fund	DLF Ltd.(April 2018)	Short	206.34	202.45	13.83	0.71%
Principal Equity Savings Fund	Dr. Reddy's Laboratories Ltd.(April 2018)	Short	2,108.47	2093	11.44	1.02%
Principal Equity Savings Fund	Exide Industries Ltd.(April 2018)	Short	219.08	223.05	11.20	0.99%
Principal Equity Savings Fund	Hindalco Industries Ltd.(April 2018)	Short	210.83	214.65	14.48	1.26%
Principal Equity Savings Fund	IRB Infrastructure Developers Ltd.(April 2018)	Short	218.58	224.15	7.01	0.62%
Principal Equity Savings Fund	Jain Irrigation Systems Ltd.(April 2018)	Short	107.20	107.1	29.30	1.48%
Principal Equity Savings Fund	Lupin Ltd.(April 2018)	Short	787.38	740	15.26	1.36%
Principal Equity Savings Fund	Maruti Suzuki India Ltd.(April 2018)	Short	8,781.52	8905.2	26.08	2.33%
Principal Equity Savings Fund	NBCC (India) Ltd.(April 2018)	Short	191.03	191.45	4.49	0.40%
Principal Equity Savings Fund	Reliance Capital Ltd.(April 2018)	Short	414.53	425.85	3.73	0.18%
Principal Equity Savings Fund	Reliance Infrastructure Ltd.(April 2018)	Short	426.35	427.75	14.26	1.01%
Principal Equity Savings Fund	State Bank of India(April 2018)	Short	242.79	251.2	12.30	1.05%
Principal Equity Savings Fund	Sun Pharmaceutical Industries Ltd.(April 2018)	Short	507.50	497.45	1.71	0.15%
Principal Equity Savings Fund	Sun TV Network Ltd.(April 2018)	Short	842.94	852.15	8.37	0.71%
Principal Equity Savings Fund	Tata Motors Ltd.(April 2018)	Short	186.52	184.15	23.79	2.12%
Principal Equity Savings Fund	Yes Bank Ltd.(April 2018)	Short	295.20	306.8	5.04	0.45%
Principal Smart Equity Fund	Aurobindo Pharma Ltd.(April 2018)	Short	557.47	560.85	153.20	5.66%
Principal Smart Equity Fund	Bajaj Auto Ltd.(April 2018)	Short	2,795.30	2760.75	26.95	1.00%

Scheme	Underlying	Position Type	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Smart Equity Fund	Balrampur Chini Mills Ltd.(April 2018)	Short	80.50	76.25	13.40	0.19%
Principal Smart Equity Fund	Century Textiles & Industries Ltd.(April 2018)	Short	1,145.93	1146.35	68.96	2.55%
Principal Smart Equity Fund	Chennai Petroleum Corp. Ltd.(April 2018)	Short	324.42	329.25	23.77	0.83%
Principal Smart Equity Fund	Dewan Housing Finance Corp. Ltd.(April 2018)	Short	509.33	513.25	334.97	7.03%
Principal Smart Equity Fund	Escorts Ltd.(April 2018)	Short	813.71	823.55	31.13	1.15%
Principal Smart Equity Fund	Hindalco Industries Ltd.(April 2018)	Short	205.99	214.65	71.19	2.56%
Principal Smart Equity Fund	Indiabulls Housing Finance Ltd.(April 2018)	Short	1,220.74	1243	66.86	2.47%
Principal Smart Equity Fund	Maruti Suzuki India Ltd.(April 2018)	Short	8,751.84	8905.2	83.45	3.09%
Principal Smart Equity Fund	Reliance Industries Ltd.(April 2018)	Short	903.54	887.15	153.87	5.70%
Principal Smart Equity Fund	Reliance Power Ltd.(April 2018)	Short	37.36	36.3	16.09	0.38%
Principal Smart Equity Fund	State Bank of India(April 2018)	Short	242.07	251.2	66.44	2.35%
Principal Smart Equity Fund	Sun Pharmaceutical Industries Ltd.(April 2018)	Short	501.80	497.45	49.61	1.84%
Principal Smart Equity Fund	Tata Motors Ltd.-DVR (April 2018)	Short	186.57	184.15	10.09	0.37%

For the period 01st October 2017 to 31st March 2018, following hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were Sold (opening balance)	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were Sold (opening balance)	Gross Notional Value of contracts where futures were bought	Gross Notional Value of contracts where futures were sold	Net Profit/ Loss value on all contracts combined	
Principal Arbitrage Fund		96	531	435	61,629,089	347,730,262	290,146,939	4,045,766
Principal Equity Savings Fund	123	1,569	1,446	90,928,763	1,091,479,700	1,036,346,161	35,795,224	
Principal Large Cap Fund	-	12	12	-	10,375,835	10,233,807	(142,028)	
Principal Smart Equity Fund	1,326	6,781	5,455	845,288,521	4,636,067,126	3,789,431,616	(1,346,990)	

Other than Hedging Positions through Futures as on 31st March 2018 :

Scheme	Underlying	Position Type	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Emerging Bluechip Fund	Dewan Housing Finance Corporation Ltd.(April 2018)	Long	524.28	513.25	153.10	0.33%
Principal Emerging Bluechip Fund	Mahindra & Mahindra Ltd.(April 2018)	Long	739.45	742.60	126.00	0.48%

For the period 01st October 2017 to 31st March 2018, following non-hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were bought (opening balance)	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought (opening balance)	Gross Notional Value of contracts where futures were bought	Gross Notional Value of contracts where futures were sold	Net Profit/ Loss value on all contracts combined
Principal Dividend Yield Fund	-	36	36	-	29,516,989	29,651,634.49	134,645.77
Principal Emerging Bluechip Fund	-	2,344	2,344	-	1,825,934,266	1,748,599,963	(77,334,303)
Principal Large Cap Fund	61	229	290	43,398,996.64	193,840,713	244,207,399.36	6,967,689

Hedging Position through Put Option as on 31st March 2018 : Nil

For the period 01st October 2017 to 31st March 2018, hedging transactions through options which have been squared off/expired : Nil

Other than Hedging Positions through Options as on 31st March 2018 : Nil

For the period 01st October 2017 to 31st March 2018, non-hedging transactions through options have been squared off/expired : Nil

Note : In case of derivative transactions end of the day position on the date of such transaction is considered as the basis to assess the nature of transaction as hedge / non-hedge.

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**NOTICE**

Disclosure of Half Yearly Unaudited Financial Results  
 NOTICE IS HEREBY GIVEN THAT, Half Yearly Unaudited Financial Results of the Schemes of Principal Mutual Fund for the period ended March 31, 2018, has been hosted on our website - [www.principalindia.com](http://www.principalindia.com).

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**