

Investor Complaints Report

Redressal of Complaints received against Mutual Funds (MFs) during 2011-2012

Name of Mutual Fund : Principal Mutual Fund

Total Number of Folios : 7,57,423

Complaint Code	Type of Complaints#	(a) No. of complaints pending at the beginning of the year	(b) No. of Complaints received during the year	Action on (a) and (b)									
				Resolved				Non Actionable *	Pending				
				Within 30 days	30 - 60 days	60 - 180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months	
I A	Non receipt of Dividend on Units	0	15	14	1	0	0	0	0				
I C	Non receipt of Redemption Proceeds	0	128	123	4	0	0	0	0	1	0	0	0
I D	Interest on delayed payment of Redemption	0	1	1	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	8	8	0	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	12	12	0	0	0	0	0	0	0	0	0
II C	Data corrections in Investor details	7	633	635	0	0	0	0	0	5	0	0	0
III A	Wrong switch between Schemes	0	6	6	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	1	110	111	0	0	0	0	0	0	0	0	0
IV	Others	6	138	141	0	0	0	0	0	3	0	0	0
Total		14	1051	1051	5	0	0	0	0	9	0	0	0