



Redressal of Complaints received against Principal Mutual Fund during 2014-2015:

Principal Mutual Fund

Total Number of Folios as on March 31, 2015 - 4,55,660

Complaint Code	Type of Complaints#	Action on (a) and (b)										
		(a) No. of complaints pending at the beginning of the year	(b) No. of Complaints received during the year	Resolved				Non Actionable*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	-	7	7	-	-	-	-	-	-	-	-
I B	Interest on delay payment of dividend	-	-	-	-	-	-	-	-	-	-	-
I C	Non receipt of Redemption Proceeds	4	214	217	-	-	-	-	1	-	-	-
I D	Interest on delay payment of redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	1	1	-	-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account	2	864	866	-	-	-	-	-	-	-	-
II C	Non receipt of Annual Report/Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong switch between Schemes	-	8	8	-	-	-	-	-	-	-	-
III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Schemes attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	-	216	216	-	-	-	-	-	-	-	-
IV	Others	-	243	237	-	-	-	-	6	-	-	-
	Total	6	1553	1552	0	0	0	0	7	0	0	0

Notes:

#including against its authorised persons/distributors/employees etc.

*Non actionable means the compliants that are incomplete/outside the scope of Mutual Fund