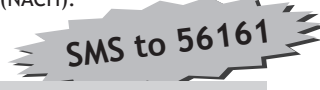


To register for this facility, please submit this form duly filled and signed by all the holders to the folio, as per the mode of holding. Kindly note that the facility will be available to investors who have accounts with select banks participating in National Automated Clearing House (NACH).

Existing KYC compliant individual investors including Non-Resident Indians (NRI), HUFs and Sole Proprietors can now buy/ redeem units of Principal Mutual Fund by sending SMS to 56161.



Unitholder Information (Fields marked with * are mandatory)

Name of First/Sole Unitholder/Guardian*

PAN of first holder* KYC* Yes No

Name of Second Unitholder

PAN of Second Holder KYC* Yes No

Name of Third Unitholder

PAN of Third Holder KYC* Yes No

Customer Folio / Account Number*

Mobile Number* (Note: Charges may be levied by your mobile phone service provider, as applicable)

Kindly update my mobile number in the folio no(s) mentioned above.

E-mail ID*

I/We have read the Terms and conditions of account opening and am/are aware that Principal Mutual Fund and its Electronic Debit Service Provider(s) are authorised to process transactions through the Electronic Debit Arrangement. For this purpose, I/we authorize Principal Mutual Fund and its designated service provider(s) to debit my/our account with your branch. The payments should be effected to Principal Mutual Fund and / or its service providers. I also hereby agree to read the respective SID & SAI of the scheme(s) before investing in any scheme of Principal Mutual Fund using this facility.

Signature of First/Sole Holder	Signature of Second Holder	Signature of Third Holder
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SAMPLE SYNTAX	Transaction	SMS Format	Sample SMS*	Transaction Processed
	PURCHASE	PUR <Folio No><scheme code> <amount>	PUR 12345678 LFZG 10000 PUR 12345678 LFPG 10000	Purchase would be processed into growth option under direct Plan (LFZG) / regular plan (LFPG) of the corresponding scheme code. (Regular Plan subscription will carry the last transacted broker code)
	REDEMPTION (AMOUNT)	RED <Folio No><scheme code> <amount>	RED 12345678 EBZG 5000 RED 12345678 EBRG 5000	Redemption would be processed from the growth option under direct plan (EBZG) / regular plan (EBRG) for requested amount in the corresponding scheme code
	REDEMPTION (ALL UNITS)	RED <Folio No><scheme code> <ALL>	RED 12345678 AFZG ALL RED 12345678 AFRG ALL	All available units would be redeemed growth option under direct plan (AFZG) / regular plan (AFRG) in the corresponding scheme code

*List of scheme codes available at <http://principalindia.com/Uploads/Downloads/SMSTransaction-PrincipalRegularPlanSchemeCodes.pdf> or <http://principalindia.com/Uploads/Downloads/SMSTransactionPrincipalDirectPlanSchemeCodes.pdf>

Mandate Form for NACH/ECS/DIRECT DEBIT

[Applicable for Lumpsum Additional Purchases as well as SIP Registrations]

*UMRN Date

Tick (✓) CREATE MODIFY CANCEL

*Sponsor Bank Code *Utility Code

I/We, hereby authorize To debit (tick ✓)

Bank A/c. Number:

With Bank IFSC or MICR

An amount of Rupees (In Words) ₹ (In Figures)

Frequency Mthly Qtrly H-Yrly Yrly As & when presented DEBIT TYPE Fixed Amount Maximum Amount

Reference 1 Phone No.

Reference 2 Email ID

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

PERIOD From To

Or Until cancelled

Signature of 1st Account holder Signature of 2nd Account holder Signature of 3rd Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

• This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me.

• I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/ECS/Direct Debit/Standing Instructions. I/We hereby confirm adherence to the terms of Mandate Facility offered by Principal Mutual Fund and as amended form time to time and of NACH/ECS (Debits)/Direct Debits /Standing Instructions.

Authorisation to Bank: This is to inform that I/We have registered for ECS / NACH (Debit Clearing) / Direct Debit / Standing instructions facility and that my/our payment towards my/our investment in Principal Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the representatives of Principal Mutual Fund carrying this mandate form to get it verified and executed. I/We authorize the bank to debit my account for any charges towards mandate verification, registration, transactions, returns, etc., as applicable.

The above Mandate needs to be submitted only once for registration with or without SIP form. Once the mandate is registered, investor need not submit mandate again and can do lump sum investments, start new SIP registration, using Physical Forms.

***For official use only. Investors are advised to leave these fields as blank.**

SMS Transaction - Registration Form Acknowledgement	Principal Mutual Fund	ISC Stamp
Investor Name: <input type="text"/>	Folio No./Application No. <input type="text"/>	

Please Sign

SMS TRANACT – TERMS & CONDITIONS

- Both the forms given overleaf are required to be filled for registration of this facility to transact via SMS.
- First time investors in Principal Mutual Fund ("the Fund"), should submit this completed form along with the subscription form for new investment, while existing investors need to submit only this mandate form duly completed, at any of the official points of acceptance. The Form needs to be signed by all Unitholder(s).
- Mobile Number Registration: Unit holder(s) of the Fund will have to register a mobile number allotted in India, in their folio for availing this facility. The mobile number provided in the registration form shall be the same as updated in the folio for which the facility is contemplated. Transaction alerts and/or confirmations would be sent to this mobile number. Change in mobile number should be submitted in the proper service request format, duly signed by all the holders in the account, as per the mode of holding. The request is liable to be rejected if it is incomplete or in case of any ambiguity. In case of accounts with more than one holder, the mobile number communicated with this registration form will overwrite all previous communication of mobile number received under this folio.
- Email Id Registration: Unit holder(s) of the Fund will have to register an email id in their folio for availing this facility. The email id provided in the registration form shall be updated in the folio for which the facility is contemplated. Transaction alerts account statements, annual reports and/or other Statutory Information, as the case may be, shall be sent via email instead of a Physical document.
- The time taken to verify and register for this facility will be upto 25 days from receipt of duly completed Registration Form. On successful registration for SMS Facility, a confirmation would be communicated to the unitholder via SMS and email on the registered mobile no. and email ID.
- This facility is currently available to KYC compliant Unitholders who are Residents Individuals, with "Single" / "Anyone or Survivor" status as the mode of holding (in case the mode of holding of the folio is 'Anyone or Survivor', Principal Mutual Fund shall offer this facility in favour of the first holder mentioned in the folio), HUFs and Proprietor Firms.
- Currently, this facility is extended for subscription and redemptions. Unitholder(s) will have to register a single bank mandate for purchase. Where multiple bank has been registered, the debit will be affected from the default bank specified by the investor. This bank account will be debited towards subscription. The redemption proceeds will be credited only in the default bank account registered in this customer folio / account number separately. Unitholder(s) should submit duly filled Multiple Bank Mandate registration form to any of our investor service centres, in case they would like to change / modify the default bank mandate.
- Unitholder(s) can send a transaction SMS only through the registered mobile number with the pre-defined keywords only (available on the website www.principalindia.com)
- The minimum purchase/redemption amount in respective plan/option of the Schemes of the Fund as applicable from time to time will be applicable for each transaction.
- For investments in Regular Plan, the Distributor ARN will be the same as registered against the folio in which the transaction is being done. In case a folio has multiple brokers registered, the transaction made under the Distributor ARN of the last transaction in the folio will be considered.
- By opting for the facility the Investor/s hereby authorizes and instructs the AMC to do all such acts as may find necessary to provide the Facility.
- The Investor/s shall at all times be bound by the Terms and Conditions applicable for providing this facility.
- The Investor/s shall take responsibility of the information provided in this registration form or any other application form for this facility and for all the transactions conducted by using the facility and will abide by the record of transactions generated by the AMC. The investor agrees that the AMC will not be held liable for any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the facility arising from or caused by any reason whatsoever.
- The Investor/s agrees that use of the facility will be deemed acceptance of the Terms and Conditions and the Investor/s will unequivocally be bound by these Terms and Conditions. The SEBI Regulation related to NAV applicability will determine the pricing of the units. Unitholder(s) are deemed to have read and accepted the terms and conditions as stated in the latest Statement of Additional Information (SAI), Scheme Information Document (SID) of the Fund, the Key Information Memorandum (KIM) and the Addenda issued till date, Product Labels details of the respective scheme, the Know Your Customer (KYC) norms and bind themselves to the terms and conditions of the SMS transaction facility upon signing this SMS Transaction form. For subscription in Equity and Debts schemes for an amount of ₹ 2 lacs or above and for any value in Liquid Scheme, units will be allotted only when the funds are available for utilisation which may take upto 3 working days.
- The AMC shall not be held liable for
 - any breach or non-compliance by the unitholder(s) of the rules/ terms and conditions stated in the Scheme Information Document.
 - accepting instructions given by any one of the Investor/s in case of joint account/s having mode of operations as "Either or Survivor" or "anyone or survivor".
 - accepting instructions given by any one of the unitholder(s) or his/her authorized person.
- Any transaction request on a non-Business Day will be processed on the next Business Day in accordance with the provisions provided in the Scheme Information Document ('SID') of the Schemes and/or Statement of Additional Information ('SAI').
- The offer of this facility is solely at the discretion of Principal Asset Management Pvt. Ltd. The AMC is not bound and/or obliged in any way to give access to the facility to Investor/s. The Investor/s agrees and confirms that the AMC may at its sole discretion suspend the facility in whole or in part at any time without prior notice
- If the transaction is delayed or not effected at all, for reasons of incomplete or incorrect information / key word or due to nonreceipt of the SMS message by the RTA or due to late receipt of SMS due to mobile network congestions or due to non connectivity or due to any reason whatsoever, the Unit holder(s) will not hold the Fund, AMC/Fund /Directors/ Shareholders responsible or liable for the same.
- The request for transaction is to be considered as accepted, subject to realization of funds towards purchases, and/only on the receipt of the confirmation from RTA on the registered mobile number or email id of the Unit holder(s) of the Fund. In case of multiple confirmation SMSes from the AMC against a single transaction request or non-receipt of such a mail / SMS from Principal India Mutual Fund within a reasonable time, Unitholder(s) are advised to immediately call up the investor helpline on toll free nos. 1800 425 5600 to confirm the status of the transaction request.
- This facility is available with certain banks and branches that participate in RBI facilities like ECS (Debit) / NECS / RECS or where the AMC may have a specific tie-up with the bank. Please note that the list of banks and branches may be modified/ updated/ changed/ removed at any time in future at the sole discretion of the AMC without assigning any reasons or prior notice. Some banks and branches may levy charges (in whatsoever manner) for mandate registration and / or transactions to their bank account holders, which will be borne by the account holder only and will not be borne by the AMC or the fund.
- The Unitholder(s) shall check his / her / its account records carefully and promptly. If the Unitholder(s) believes that there has been an error in any transaction using this facility, or that an unauthorized transaction has been effected, the Unitholder(s) shall notify Principal Mutual Fund immediately. The Unitholder(s) shall ensure availability of clear funds in their respective bank account, as specified in the registration form, at the time of requesting a transaction using this facility. Principal Mutual Fund shall not be held liable for any unsuccessful Transaction due to non-availability of funds in the bank account of the Unitholder or due to incorrect/ erroneous bank account details provided by the investor. Principal Mutual Fund shall attempt to settle the transaction by requesting the registered bank for release of funds. However, in case of non-receipt of the funds, the transaction shall stand cancelled and the units allotted, if any, would be reversed.
- The Unitholder(s) hereby confirms, acknowledges and undertakes to make payments for Subscription of Units of the Scheme from their respective bank account(s) in compliance with applicable provisions relating to third party payments detailed in the Scheme Information Document and that the payment will be through legitimate sources only.

OTM INSTRUCTIONS: TERMS & CONDITIONS

- Investors who have already submitted an OTM form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, such investors if wish to add a new bank account towards OTM facility may fill the form.
- Other investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Registration process for OTM forms submitted during the NFO period will commence after the closure and allotment of NFO applications.
- Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of Principal Mutual Fund.

MANDATORY FIELDS

- Date:** Date is mandatory
- CREATE/MODIFY/CANCEL:** tick is mandatory for create/modify & cancel if not ticked mandate will be rejected
- To Debit (tick):** account type is mandatory
- Bank A/c Number:** Investor debit bank a/c number mandatory
- With Bank:** Investor bank name is mandatory
- IFSC / MICR:** Correct IFSC code or MICR code is mandatory
- Frequency:** As & when presented is mandatory
- Debit Type:** For Lump sum Maximum Amount is mandatory
- Folio No.:** For Existing Investor only
- Phone No./ Email ID:** Phone No. or Email ID is Mandatory
- PAN Number:** Mandatory
- Signature of Account Holder:** Signature is mandatory as per bank record
- Name of the Bank A/c Holder:** Name of the Bank A/c Holder is mandatory
- An amount of Rupees:** Amount in words is mandatory
- ₹: Amount in figures is mandatory

PRINCIPAL MUTUAL FUND - DIRECT PLAN SCHEME CODES

Scheme Category	ISIN	Scheme Name	Scheme Code
Debt (Other than assured return schemes)	INF173K01FY4	Principal Credit Risk Fund - Direct Plan Dividend Monthly Payout	FRZM
Debt (Other than assured return schemes)	INF173K01FZ1	Principal Credit Risk Fund - Direct Plan Dividend Monthly Reinvestment	FRZR
Debt (Other than assured return schemes)	INF173K01GA2	Principal Credit Risk Fund - Direct Plan Dividend Monthly Sweep	FRZS
Debt (Other than assured return schemes)	INF173K01FX6	Principal Credit Risk Fund - Direct Plan Growth	FRZG
Debt (Other than assured return schemes)	INF173K01GI5	Principal Dynamic Bond Fund - Direct Plan Dividend Annually Payout	IDZP
Debt (Other than assured return schemes)	INF173K01GJ3	Principal Dynamic Bond Fund - Direct Plan Dividend Annually Reinvestment	IDZR
Debt (Other than assured return schemes)	INF173K01GK1	Principal Dynamic Bond Fund - Direct Plan Dividend Annually Sweep	IDZS
Debt (Other than assured return schemes)	INF173K01GM7	Principal Dynamic Bond Fund - Direct Plan Dividend Quarterly Payout	IIZP
Debt (Other than assured return schemes)	INF173K01GN5	Principal Dynamic Bond Fund - Direct Plan Dividend Quarterly Reinvestment	IIZR
Debt (Other than assured return schemes)	INF173K01GO3	Principal Dynamic Bond Fund - Direct Plan Dividend Quarterly Sweep	IIZS
Debt (Other than assured return schemes)	INF173K01GL9	Principal Dynamic Bond Fund - Direct Plan Growth	IIZG
Debt (Other than assured return schemes)	INF173K01FR8	Principal Low Duration Fund - Direct Plan Dividend Daily Reinvestment	FFZD
Debt (Other than assured return schemes)	INF173K01FT4	Principal Low Duration Fund - Direct Plan Dividend Monthly Payout	FFZM
Debt (Other than assured return schemes)	INF173K01FU2	Principal Low Duration Fund - Direct Plan Dividend Monthly Reinvestment	FFZR
Debt (Other than assured return schemes)	INF173K01FV0	Principal Low Duration Fund - Direct Plan Dividend Monthly Sweep	FFZS
Debt (Other than assured return schemes)	INF173K01FW8	Principal Low Duration Fund - Direct Plan Dividend Weekly Reinvestment	FFZW
Debt (Other than assured return schemes)	INF173K01FS6	Principal Low Duration Fund - Direct Plan Growth	FFZG
Debt (Other than assured return schemes)	INF173K01GQ8	Principal Short Term Debt Fund - Direct Plan Dividend Monthly Payout	ISZM
Debt (Other than assured return schemes)	INF173K01GR6	Principal Short Term Debt Fund - Direct Plan Dividend Monthly Reinvestment	ISZR
Debt (Other than assured return schemes)	INF173K01GS4	Principal Short Term Debt Fund - Direct Plan Dividend Monthly Sweep	ISZS
Debt (Other than assured return schemes)	INF173K01GP0	Principal Short Term Debt Fund - Direct Plan Growth	ISZG
Debt (Other than assured return schemes)	INF173K01BZ0	Principal Ultra Short Term Fund - Direct Plan Dividend Daily Reinvestment	MMZD
Debt (Other than assured return schemes)	INF173K01HK9	Principal Ultra Short Term Fund - Direct Plan Dividend Monthly Payout	MMZM
Debt (Other than assured return schemes)	INF173K01HJ1	Principal Ultra Short Term Fund - Direct Plan Dividend Monthly Reinvestment	MMZR
Debt (Other than assured return schemes)	INF173K01HL7	Principal Ultra Short Term Fund - Direct Plan Dividend Monthly Sweep	MMZS
Debt (Other than assured return schemes)	INF173K01CA1	Principal Ultra Short Term Fund - Direct Plan Dividend Weekly Reinvestment	MMZW
Debt (Other than assured return schemes)	INF173K01HI3	Principal Ultra Short Term Fund - Direct Plan Growth	MMZG
Equity - ELSS	INF173K01FA4	Principal Personal Tax Saver Fund - Direct Plan Growth	STZG
Equity - ELSS	INF173K01HZ7	Principal Tax Savings Fund - Direct Plan Growth	TFZG
Equity - ELSS	INF173K01NN1	Principal Tax Savings Fund Direct Plan - Half Yearly Dividend Option	TFZD
Equity - Others	INF173K01NC4	Principal Arbitrage Fund - Direct Plan - Monthly Dividend Payout	AFZD
Equity - Others	INF173K01NE0	Principal Arbitrage Fund - Direct Plan - Monthly Dividend Reinvestment	AFZR
Equity - Others	INF173K01ND2	Principal Arbitrage Fund - Direct Plan - Monthly Dividend Sweep	AFZS
Equity - Others	INF173K01NF7	Principal Arbitrage Fund - Direct Plan Growth	AFZG
Equity - Others	INF173K01FF3	Principal Balanced Advantage Fund - Direct Plan - Monthly Dividend Payout	SAZP
Equity - Others	INF173K01FG1	Principal Balanced Advantage Fund - Direct Plan - Monthly Dividend Reinvestment	SAZR
Equity - Others	INF173K01FH9	Principal Balanced Advantage Fund - Direct Plan - Monthly Dividend Sweep	SAZS
Equity - Others	INF173K01FI7	Principal Balanced Advantage Fund - Direct Plan Growth	SAZG
Equity - Others	INF173K01ES9	Principal Dividend Yield Fund - Direct Plan - Half Yearly Dividend Payout	DYZP
Equity - Others	INF173K01ET7	Principal Dividend Yield Fund - Direct Plan - Half Yearly Dividend Reinvestment	DYZR
Equity - Others	INF173K01EU5	Principal Dividend Yield Fund - Direct Plan - Half Yearly Dividend Sweep	DYZS
Equity - Others	INF173K01EV3	Principal Dividend Yield Fund - Direct Plan Growth	DYZG
Equity - Others	INF173K01EI0	Principal Emerging Bluechip Fund - Direct Plan - Half Yearly Dividend Payout	EBZP
Equity - Others	INF173K01EH2	Principal Emerging Bluechip Fund - Direct Plan - Half Yearly Dividend Reinvestment	EBZR
Equity - Others	INF173K01EJ8	Principal Emerging Bluechip Fund - Direct Plan - Half Yearly Dividend Sweep	EBZS
Equity - Others	INF173K01EG4	Principal Emerging Bluechip Fund - Direct Plan Growth	EBZG
Equity - Others	INF173K01HE2	Principal Equity Savings Fund - Direct Plan - Half Yearly Dividend Sweep	MIZS
Equity - Others	INF173K01HC6	Principal Equity Savings Fund - Direct Plan - Half Yearly Dividend Payout	MIZM
Equity - Others	INF173K01HD4	Principal Equity Savings Fund - Direct Plan - Half Yearly Dividend Reinvestment	MIZR
Equity - Others	INF173K01GZ9	Principal Equity Savings Fund - Direct Plan - Growth	MIZG
Equity - Others	INF173K01HF9	Principal Equity Savings Fund - Direct Plan - Quarterly Dividend Payout	MIZQ
Equity - Others	INF173K01HG7	Principal Equity Savings Fund - Direct Plan - Quarterly Dividend Reinvestment	MIZA
Equity - Others	INF173K01HH5	Principal Equity Savings Fund - Direct Plan - Quarterly Dividend Sweep	MIZB
Equity - Others	INF173K01HA0	Principal Equity Savings Fund - Direct Plan - Growth (formerly known as Principal Debt Savings Fund - Direct Plan MIP AEP Monthly Growth)	MIZY
Equity - Others	INF173K01HB8	Principal Equity Savings Fund - Direct Plan - Growth (Formerly known as Principal Debt Savings Fund - Direct Plan MIP AEP Quarterly Growth)	MIZZ
Equity - Others	INF173K01EM2	Principal Focused Multicap Fund - Direct Plan - Half Yearly Dividend Payout	LCZP
Equity - Others	INF173K01EL4	Principal Focused Multicap Fund - Direct Plan - Half Yearly Dividend Reinvestment	LCZR
Equity - Others	INF173K01EN0	Principal Focused Multicap Fund - Direct Plan - Half Yearly Dividend Sweep	LCZS

PRINCIPAL MUTUAL FUND - DIRECT PLAN SCHEME CODES (CONTD.)

Scheme Category	ISIN	Scheme Name	Scheme Code
Equity - Others	INF173K01EK6	Principal Focused Multicap Fund - Direct Plan Growth	LCZG
Equity - Others	INF173K01FB2	Principal Hybrid Equity Fund - Direct Plan - Monthly Dividend Payout	SBZP
Equity - Others	INF173K01FC0	Principal Hybrid Equity Fund - Direct Plan - Monthly Dividend Reinvestment	SBZR
Equity - Others	INF173K01FD8	Principal Hybrid Equity Fund - Direct Plan - Monthly Dividend Sweep	SBZS
Equity - Others	INF173K01FE6	Principal Hybrid Equity Fund - Direct Plan Growth	SBZG
Equity - Others	INF173K01FN7	Principal Multi Cap Growth Fund - Direct Plan - Half Yearly Dividend Payout	GFZP
Equity - Others	INF173K01FO5	Principal Multi Cap Growth Fund - Direct Plan - Half Yearly Dividend Reinvestment	GFZR
Equity - Others	INF173K01FP2	Principal Multi Cap Growth Fund - Direct Plan - Half Yearly Dividend Sweep	GFZS
Equity - Others	INF173K01FQ0	Principal Multi Cap Growth Fund - Direct Plan Growth	GFZG
Equity - Others	INF173K01EW1	Principal Nifty 100 Equal Weight Fund - Direct Plan Dividend Payout	XFZP
Equity - Others	INF173K01EX9	Principal Nifty 100 Equal Weight Fund - Direct Plan Dividend Reinvestment	XFZR
Equity - Others	INF173K01EY7	Principal Nifty 100 Equal Weight Fund - Direct Plan Dividend Sweep	XFZS
Equity - Others	INF173K01EZ4	Principal Nifty 100 Equal Weight Fund - Direct Plan Growth	XFZG
Equity - Others	INF173K01MT0	Principal Retirement Savings Fund - Conservative Plan - Direct Plan Sub Plan Growth	ACZG
Equity - Others	INF173K01NU6	Principal Retirement Savings Fund - Conservative Plan Direct - Dividend Payout	ACZD
Equity - Others	INF173K01NW2	Principal Retirement Savings Fund - Conservative Plan Direct - Dividend Reinvestment	ACZR
Equity - Others	INF173K01NV4	Principal Retirement Savings Fund - Conservative Plan Direct - Dividend Sweep	ACZS
Equity - Others	INF173K01MV6	Principal Retirement Savings Fund - Moderate Plan - Direct Sub Plan Growth	AMZG
Equity - Others	INF173K01OA6	Principal Retirement Savings Fund - Moderate Plan Direct - Dividend Payout	AMZD
Equity - Others	INF173K01OC2	Principal Retirement Savings Fund - Moderate Plan Direct - Dividend Reinvestment	AMZR
Equity - Others	INF173K01OB4	Principal Retirement Savings Fund - Moderate Plan Direct - Dividend Sweep	AMZS
Equity - Others	INF173K01MX2	Principal Retirement Savings Fund - Progressive Plan - Direct Sub Plan Growth	AGZG
Equity - Others	INF173K01NO9	Principal Retirement Savings Fund - Progressive Plan Direct - Dividend Payout	AGZD
Equity - Others	INF173K01NQ4	Principal Retirement Savings Fund - Progressive Plan Direct - Dividend Reinvestment	AGZR
Equity - Others	INF173K01NP6	Principal Retirement Savings Fund - Progressive Plan Direct - Dividend Sweep	AGZS
FOF-Offshore	INF173K01FJ5	Principal Global Opportunities Fund - Direct Plan Dividend Payout	GOZP
FOF-Offshore	INF173K01FK3	Principal Global Opportunities Fund - Direct Plan Dividend Reinvestment	GOZR
FOF-Offshore	INF173K01FL1	Principal Global Opportunities Fund - Direct Plan Dividend Sweep	GOZS
FOF-Offshore	INF173K01FM9	Principal Global Opportunities Fund - Direct Plan Growth	GOZG
Growth/Equity Oriented Schemes	INF173K01OT6	Principal Midcap Fund - Direct Dividend Payout	MCZP
Growth/Equity Oriented Schemes	INF173K01OV2	Principal Midcap Fund - Direct Dividend Reinvestment	MCZR
Growth/Equity Oriented Schemes	INF173K01OU4	Principal Midcap Fund - Direct Dividend Sweep	MCZS
Growth/Equity Oriented Schemes	INF173K01OS8	Principal Midcap Fund - Direct Growth	MCZG
Growth/Equity Oriented Schemes	INF173K01OL3	Principal Small Cap Fund - Direct Plan - Dividend Payout	SCZP
Growth/Equity Oriented Schemes	INF173K01ON9	Principal Small Cap Fund - Direct Plan - Dividend Reinvestment	SCZR
Growth/Equity Oriented Schemes	INF173K01OM1	Principal Small Cap Fund - Direct Plan - Dividend Sweep	SCZS
Growth/Equity Oriented Schemes	INF173K01OK5	Principal Small Cap Fund - Direct Plan - Growth	SCZG
Liquid	INF173K01GT2	Principal Cash Management Fund - Direct Plan Dividend Daily Reinvestment	LFZD
Liquid	INF173K01GV8	Principal Cash Management Fund - Direct Plan Dividend Monthly Payout	LFZM
Liquid	INF173K01GW6	Principal Cash Management Fund - Direct Plan Dividend Monthly Reinvestment	LFZR
Liquid	INF173K01GX4	Principal Cash Management Fund - Direct Plan Dividend Monthly Sweep	LFZS
Liquid	INF173K01GY2	Principal Cash Management Fund - Direct Plan Dividend Weekly Reinvestment	LFZW
Liquid	INF173K01GU0	Principal Cash Management Fund - Direct Plan Growth	LFZG

PRINCIPAL MUTUAL FUND - REGULAR PLAN SCHEME CODES

Scheme Category	ISIN	Scheme Name	Scheme Code
Debt (Other than assured return schemes)	INF173K01DN2	Principal Credit Risk Fund - Regular Plan Dividend Monthly Payout	FSPM
Debt (Other than assured return schemes)	INF173K01DO0	Principal Credit Risk Fund - Regular Plan Dividend Monthly Reinvestment	FRIM
Debt (Other than assured return schemes)	INF173K01DP7	Principal Credit Risk Fund - Regular Plan Dividend Monthly Sweep	FSRM
Debt (Other than assured return schemes)	INF173K01DM4	Principal Credit Risk Fund - Regular Plan Growth	FSIG
Debt (Other than assured return schemes)	INF173K01AX7	Principal Dynamic Bond Fund - Regular Plan Dividend Annually Payout	IDPA
Debt (Other than assured return schemes)	INF173K01AY5	Principal Dynamic Bond Fund - Regular Plan Dividend Annually Reinvestment	IDRA
Debt (Other than assured return schemes)	INF173K01AZ2	Principal Dynamic Bond Fund - Regular Plan Dividend Annually Sweep	IDSA
Debt (Other than assured return schemes)	INF173K01AU3	Principal Dynamic Bond Fund - Regular Plan Dividend Quarterly Payout	IIPQ
Debt (Other than assured return schemes)	INF173K01AV1	Principal Dynamic Bond Fund - Regular Plan Dividend Quarterly Reinvestment	IIRQ
Debt (Other than assured return schemes)	INF173K01AW9	Principal Dynamic Bond Fund - Regular Plan Dividend Quarterly Sweep	IISQ
Debt (Other than assured return schemes)	INF173K01AT5	Principal Dynamic Bond Fund - Regular Plan Growth	IIGP
Debt (Other than assured return schemes)	INF173K01DH4	Principal Low Duration Fund - Regular Plan Dividend Daily Reinvestment	FIRD
Debt (Other than assured return schemes)	INF173K01DJ0	Principal Low Duration Fund - Regular Plan Dividend Monthly Payout	FIPM
Debt (Other than assured return schemes)	INF173K01DK8	Principal Low Duration Fund - Regular Plan Dividend Monthly Reinvestment	FIRM
Debt (Other than assured return schemes)	INF173K01DL6	Principal Low Duration Fund - Regular Plan Dividend Monthly Sweep	FISM
Debt (Other than assured return schemes)	INF173K01DI2	Principal Low Duration Fund - Regular Plan Dividend Weekly Reinvestment	FIRW
Debt (Other than assured return schemes)	INF173K01DG6	Principal Low Duration Fund - Regular Plan Growth	FFIG
Debt (Other than assured return schemes)	INF173K01BB1	Principal Short Term Debt Fund - Regular Plan Dividend Monthly Payout	ISIP
Debt (Other than assured return schemes)	INF173K01BC9	Principal Short Term Debt Fund - Regular Plan Dividend Monthly Reinvestment	ISIR
Debt (Other than assured return schemes)	INF173K01BD7	Principal Short Term Debt Fund - Regular Plan Dividend Monthly Sweep	ISIS
Debt (Other than assured return schemes)	INF173K01BA3	Principal Short Term Debt Fund - Regular Plan Growth	ISIG
Debt (Other than assured return schemes)	INF173K01CX3	Principal Ultra Short Term Fund - Regular Plan - Dividend - Monthly	MMIM
Debt (Other than assured return schemes)	INF173K01CV7	Principal Ultra Short Term Fund - Regular Plan Dividend Daily Reinvestment	MMRI
Debt (Other than assured return schemes)	INF173K01CY1	Principal Ultra Short Term Fund - Regular Plan Dividend Monthly Reinvestment	MRMI
Debt (Other than assured return schemes)	INF173K01CZ8	Principal Ultra Short Term Fund - Regular Plan Dividend Monthly Sweep	MSMI
Debt (Other than assured return schemes)	INF173K01CW5	Principal Ultra Short Term Fund - Regular Plan Dividend Weekly Reinvestment	MRWI
Debt (Other than assured return schemes)	INF173K01CW5	Principal Ultra Short Term Fund - Regular Plan Dividend Weekly Reinvestment	MRWI
Debt (Other than assured return schemes)	INF173K01CU9	Principal Ultra Short Term Fund - Regular Plan Growth	MMIG
Debt (Other than assured return schemes)	INF173K01CU9	Principal Ultra Short Term Fund - Regular Plan Growth	MMIG
Equity - ELSS	INF173K01353	Principal Personal Tax Saver Fund - Regular Plan Growth	STGP
Equity - ELSS	INF173K01361	Principal Tax Savings Fund - Regular Plan Growth	TFGP
Equity - ELSS	INF173K01361	Principal Tax Savings Fund - Regular Plan Growth	TFGP
Equity - ELSS	INF173K01NM3	Principal Tax Savings Fund Regular Plan - Half Yearly Dividend Option	TFRD
Equity - ELSS	INF173K01NM3	Principal Tax Savings Fund Regular Plan - Half Yearly Dividend Option	TFRD
Equity - Others	INF173K01MY0	Principal Arbitrage Fund - Regular Plan - Monthly Dividend Payout	AFRD
Equity - Others	INF173K01NA8	Principal Arbitrage Fund - Regular Plan - Monthly Dividend Reinvestment	AFDR
Equity - Others	INF173K01MZ7	Principal Arbitrage Fund - Regular Plan - Monthly Dividend Sweep	AFRS
Equity - Others	INF173K01NB6	Principal Arbitrage Fund - Regular Plan Growth	AFRG
Equity - Others	INF173K01551	Principal Balanced Advantage Fund - Regular Plan - Monthly Dividend Payout	SAPD
Equity - Others	INF173K01569	Principal Balanced Advantage Fund - Regular Plan - Monthly Dividend Reinvestment	SARD
Equity - Others	INF173K01577	Principal Balanced Advantage Fund - Regular Plan - Monthly Dividend Sweep	SASD
Equity - Others	INF173K01585	Principal Balanced Advantage Fund - Regular Plan Growth	SAGP
Equity - Others	INF173K01AD9	Principal Dividend Yield Fund - Regular Plan - Half Yearly Dividend Payout	DYPD
Equity - Others	INF173K01AE7	Principal Dividend Yield Fund - Regular Plan - Half Yearly Dividend Reinvestment	DYRD
Equity - Others	INF173K01AF4	Principal Dividend Yield Fund - Regular Plan - Half Yearly Dividend Sweep	DYSD
Equity - Others	INF173K01AC1	Principal Dividend Yield Fund - Regular Plan Growth	DYGP
Equity - Others	INF173K01163	Principal Emerging Bluechip Fund - Regular Plan - Half Yearly Dividend Payout	EBPD
Equity - Others	INF173K01171	Principal Emerging Bluechip Fund - Regular Plan - Half Yearly Dividend Reinvestment	EBRD
Equity - Others	INF173K01AK4	Principal Emerging Bluechip Fund - Regular Plan - Half Yearly Dividend Sweep	EBSD
Equity - Others	INF173K01155	Principal Emerging Bluechip Fund - Regular Plan Growth	EBGP
Equity - Others	INF173K01247	Principal Equity Savings Fund - Regular Plan - - Half Yearly Dividend Payout	MIPM
Equity - Others	INF173K01254	Principal Equity Savings Fund - Regular Plan - - Half Yearly Dividend Reinvestment	MIRM
Equity - Others	INF173K01254	Principal Equity Savings Fund - Regular Plan - - Half Yearly Dividend Reinvestment	MIRM
Equity - Others	INF173K01CE3	Principal Equity Savings Fund - Regular Plan - - Half Yearly Dividend Sweep	MISM
Equity - Others	INF173K01CE3	Principal Equity Savings Fund - Regular Plan - - Half Yearly Dividend Sweep	MISM
Equity - Others	INF173K01262	Principal Equity Savings Fund - Regular Plan - Quarterly Dividend Payout	MIPQ
Equity - Others	INF173K01270	Principal Equity Savings Fund - Regular Plan - Quarterly Dividend Reinvestment	MIRQ
Equity - Others	INF173K01CF0	Principal Equity Savings Fund - Regular Plan - Quarterly Dividend Sweep	MISQ
Equity - Others	INF173K01213	Principal Equity Savings Fund - Regular Plan - Growth	MIGP
Equity - Others	INF173K01197	Principal Focused Multicap Fund - Regular Plan - Half Yearly Dividend Payout	LCPD

PRINCIPAL MUTUAL FUND - REGULAR PLAN SCHEME CODES (CONTD.)

Scheme Category	ISIN	Scheme Name	Scheme Code
Equity - Others	INF173K01205	Principal Focused Multicap Fund - Regular Plan - Half Yearly Dividend Reinvestment	LCRD
Equity - Others	INF173K01AL2	Principal Focused Multicap Fund - Regular Plan - Half Yearly Dividend Sweep	LCSD
Equity - Others	INF173K01189	Principal Focused Multicap Fund - Regular Plan Growth	LCGP
Equity - Others	INF173K01CI4	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Payout	SBDP
Equity - Others	INF173K01CI4	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Payout	SBDP
Equity - Others	INF173K01CJ2	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Reinvestment	SBRD
Equity - Others	INF173K01CJ2	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Reinvestment	SBRD
Equity - Others	INF173K01CK0	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Sweep	SBSD
Equity - Others	INF173K01CK0	Principal Hybrid Equity Fund - Regular Plan - Monthly Dividend Sweep	SBSD
Equity - Others	INF173K01CL8	Principal Hybrid Equity Fund - Regular Plan Growth	SBGP
Equity - Others	INF173K01CL8	Principal Hybrid Equity Fund - Regular Plan Growth	SBGP
Equity - Others	INF173K01957	Principal Multi Cap Growth Fund - Regular Plan - Half Yearly Dividend Payout	GDPA
Equity - Others	INF173K01965	Principal Multi Cap Growth Fund - Regular Plan - Half Yearly Dividend Reinvestment	GDRA
Equity - Others	INF173K01973	Principal Multi Cap Growth Fund - Regular Plan - Half Yearly Dividend Sweep	GDSA
Equity - Others	INF173K01940	Principal Multi Cap Growth Fund - Regular Plan Growth	GFGP
Equity - Others	INF173K01AH0	Principal Nifty 100 Equal Weight Fund - Regular Plan Dividend Payout	XFPA
Equity - Others	INF173K01A18	Principal Nifty 100 Equal Weight Fund - Regular Plan Dividend Reinvestment	XFRA
Equity - Others	INF173K01AJ6	Principal Nifty 100 Equal Weight Fund - Regular Plan Dividend Sweep	XFSA
Equity - Others	INF173K01AG2	Principal Nifty 100 Equal Weight Fund - Regular Plan Growth	XFGP
Equity - Others	INF173K01MS2	Principal Retirement Savings Fund - Conservative Plan - Regular Sub plan Growth	ACRG
Equity - Others	INF173K01MS2	Principal Retirement Savings Fund - Conservative Plan - Regular Sub plan Growth	ACRG
Equity - Others	INF173K01NY8	Principal Retirement Savings Fund - Conservative Plan Regular - Dividend Sweep	ACRS
Equity - Others	INF173K01NX0	Principal Retirement Savings Fund - Conservative Plan Regular - Dividend Payout	ACRD
Equity - Others	INF173K01NZ5	Principal Retirement Savings Fund - Conservative Plan Regular - Dividend Reinvestment	ACDR
Equity - Others	INF173K01MU8	Principal Retirement Savings Fund - Moderate Plan - Regular Sub Plan Growth	AMRG
Equity - Others	INF173K01MU8	Principal Retirement Savings Fund - Moderate Plan - Regular Sub Plan Growth	AMRG
Equity - Others	INF173K01OD0	Principal Retirement Savings Fund - Moderate Plan Regular - Dividend Payout	AMRD
Equity - Others	INF173K01OF5	Principal Retirement Savings Fund - Moderate Plan Regular - Dividend Reinvestment	AMDR
Equity - Others	INF173K01OE8	Principal Retirement Savings Fund - Moderate Plan Regular - Dividend Sweep	AMRS
Equity - Others	INF173K01MW4	Principal Retirement Savings Fund - Progressive Plan - Regular Sub Plan Growth	AGRG
Equity - Others	INF173K01NT8	Principal Retirement Savings Fund - Progressive Plan Regular - Dividend Reinvestment	AGDR
Equity - Others	INF173K01NS0	Principal Retirement Savings Fund - Progressive Plan Regular - Dividend Sweep	AGRS
Equity - Others	INF173K01NR2	Principal Retirement Savings Fund-Progressive Plan Regular - Dividend Payout	AGRD
Equity - Others	INF173K01NR2	Principal Retirement Savings Fund-Progressive Plan Regular - Dividend Payout	AGRD
FOF-Offshore	INF173K01CQ7	Principal Global Opportunities Fund - Regular Plan Dividend Payout	GOPA
FOF-Offshore	INF173K01CR5	Principal Global Opportunities Fund - Regular Plan Dividend Reinvestment	GORA
FOF-Offshore	INF173K01CS3	Principal Global Opportunities Fund - Regular Plan Dividend Sweep	GOSA
FOF-Offshore	INF173K01CT1	Principal Global Opportunities Fund - Regular Plan Growth	GOGP
Growth/Equity Oriented Schemes	INF173K01OP4	Principal Midcap Fund - Regular Dividend Payout	MCRP
Growth/Equity Oriented Schemes	INF173K01OQ2	Principal Midcap Fund - Regular Dividend Reinvestment	MCRR
Growth/Equity Oriented Schemes	INF173K01OR0	Principal Midcap Fund - Regular Dividend Sweep	MCRS
Growth/Equity Oriented Schemes	INF173K01OO7	Principal Midcap Fund - Regular Growth	MCRG
Growth/Equity Oriented Schemes	INF173K01OH1	Principal Small Cap Fund - Regular Plan - Dividend Payout	SCRP
Growth/Equity Oriented Schemes	INF173K01OI9	Principal Small Cap Fund - Regular Plan - Dividend Reinvestment	SCRR
Growth/Equity Oriented Schemes	INF173K01OJ7	Principal Small Cap Fund - Regular Plan - Dividend Sweep	SCRS
Growth/Equity Oriented Schemes	INF173K01OG3	Principal Small Cap Fund - Regular Plan - Growth	SCRG
Liquid	INF173K01DB7	Principal Cash Management Fund - Regular Plan Dividend Daily Reinvestment	LPRD
Liquid	INF173K01DD3	Principal Cash Management Fund - Regular Plan Dividend Monthly Payout	LPPM
Liquid	INF173K01DE1	Principal Cash Management Fund - Regular Plan Dividend Monthly Reinvestment	LPRM
Liquid	INF173K01DF8	Principal Cash Management Fund - Regular Plan Dividend Monthly Sweep	LPSM
Liquid	INF173K01DC5	Principal Cash Management Fund - Regular Plan Dividend Weekly Reinvestment	LPRW
Liquid	INF173K01DA9	Principal Cash Management Fund - Regular Plan Growth	LPGP