

PRINCIPAL PNB ASSET MANAGEMENT COMPANY PRIVATE LIMITED
(Regd. Office: Exchange Plaza, B Wing, Ground Floor, NSE Building, Bandra Kurla
Complex, Bandra East, Mumbai - 400051)
Tel: 022 67720555; Fax: 022 67720512, CIN: U25000MH1991PTC064092

Addendum to Notice dated 17th September, 2018 convening 26th Annual General Meeting of the Company scheduled to be held on Tuesday, 25th September, 2018 at 03:00 p.m. at Exchange Plaza, B Wing, Ground Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai - 400051.

Attention of the members is invited to the Notice of 26th Annual General Meeting of the members of the Company dated 17th September, 2018 already sent to all the Shareholders. Notice is further given that the following items of business shall be included in the aforesaid notice as a new Item No. 3 and 4 under Special Business:

3. To consider and, if thought fit, to pass with or without modification(s), the following resolution as a Special Resolution:

ALTERATION OF THE MEMORANDUM OF ASSOCIATION OF THE COMPANY

“RESOLVED THAT pursuant to the provisions of Sections 13 of the Companies Act, 2013 (including any statutory modification or re-enactment thereof for the time being in force) read with the Rules framed thereunder, as amended from time to time and the Articles of Association of the Company, the approval of the shareholders be and is hereby accorded to amend the existing Memorandum of Association of the Company in the following manner:

- a) The existing Clause I of the Memorandum of Association of the Company be deleted and replaced with new Clause I as under:

“The name of the Company is Principal Asset Management Private Limited”

- b) The existing name of the Company to be replaced with “Principal Asset Management Private Limited”, wherever appearing in the Memorandum of Association of the Company

4. To consider and, if thought fit, to pass with or without modification(s), the following resolution as a Special Resolution:

ALTERATION OF THE ARTICLES OF ASSOCIATION OF THE COMPANY

“RESOLVED THAT pursuant to the provisions of Sections 14 of the Companies Act, 2013 (including any statutory modification or re-enactment thereof for the time being in force) read with the Rules framed thereunder, as amended from time to time and the Articles of Association of the Company, the approval of the shareholders be and is hereby accorded to amend the existing Articles of Association of the Company to replace the existing name of the Company with “Principal Asset Management Private Limited”, wherever appearing in the Articles of Association of the Company.”

EXPLANATORY STATEMENT

(Pursuant to the provisions of Section 102 of the Companies Act, 2013)

ITEM NO. 3

ALTERATION OF THE MEMORANDUM OF ASSOCIATION OF THE COMPANY

The Board of Directors in their meeting held on August 28, 2018, approved certain alteration to the existing Memorandum of Association of the Company including the change in name of the Company from “Principal Pnb Asset Management Company Private Limited” to “Principal Asset Management Company Private Limited”, which was approved by the members of the Company in the meeting held on August 28, 2018. However, in accordance with the rules and procedures prescribed under the Companies Act, 2013, the jurisdictional Registrar of Companies, vide approval letter dated September 19, 2018 permitted the Company to use the name “Principal Asset Management Private Limited”, thereby requiring partial modification of the resolution passed in this context to the extent stated herein.

In accordance with the provisions of Section 13 of the Companies Act, 2013 read with the applicable Rules, the consent of the members of the Company by way of a Special Resolution is required for alteration of the Memorandum of Association of the Company to capture the aforementioned approved name of the Company.

None of the directors and Key Managerial Personnel or their relatives are in any way concerned or interested in the proposed resolution.

The Board recommends the resolution as stated in item no. 3 for the approval of the Members as a Special Resolution.

ITEM NO. 4

ALTERATION OF THE ARTICLES OF ASSOCIATION OF THE COMPANY

In accordance with the rules and procedures prescribed under the Companies Act, 2013, the jurisdictional Registrar of Companies, vide approval letter dated September 19, 2018 permitted the Company to use the name “Principal Asset Management Private Limited”.

In accordance with the provisions of Section 14 of the Companies Act, 2013 read with the applicable Rules, the consent of the members of the Company by way of a Special Resolution is required for alteration of the Articles of Association of the Company to change the existing name of the Company to “Principal Asset Management Private Limited”, thereby requiring partial modification of the resolution passed in this context to the extent stated herein.



None of the directors and Key Managerial Personnel or their relatives are in any way concerned or interested in the proposed resolution.

The Board recommends the resolution as stated in item no. 4 for the approval of the Members as a Special Resolution.

By Order of the Board of Directors

**Sd/-
Nidhi Shah
Company Secretary**

Date: September 24, 2018

Place: Mumbai

Principal Pnb Asset Management Company Private Limited

CIN: U25000MH1991PTC064092

Exchange Plaza, B Wing, Ground Floor,

NSE Building, Bandra Kurla Complex,

Bandra (East), Mumbai – 400051

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